

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT**

**FORM A** Page 1 of 6  
For use by Members, officers, and employees

DAVID PHILLIP ROE  
(Full Name)

(423)929-7671  
(Daytime Telephone)

**Filer Status**

☒ Member of the U.S. House of Representatives  
State: TN  
District: 01

☐ Officer Or Employee  
Employing Office: \_\_\_\_\_

**Report Type**

☒ Annual (May 15) ☐ Amendment ☐ Termination

Termination Date: \_\_\_\_\_

(Office Use Only)  
U.S. HOUSE OF REPRESENTATIVES

**A \$200 penalty shall be assessed against anyone who files more than 30 days late.**

**HAND DELIVERED**

LEGISLATIVE RESOURCE CENTER

2011 MAY 16 PM 12:00 MC

**PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<p>I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule I.</p>	<p>VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule VI.</p>
<p>II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule II.</p>	<p>VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule VII.</p>
<p>III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, complete and attach Schedule III.</p>	<p>VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, complete and attach Schedule VIII.</p>
<p>IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, complete and attach Schedule IV.</p>	<p>IX. Did you have any reportable agreement or arrangement with an outside entity? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p> <p>If yes, complete and attach Schedule IX.</p>
<p>V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/></p> <p>If yes, complete and attach Schedule V.</p>	<p>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</p>

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<p><b>Trusts-</b> Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p><b>Exemptions-</b> Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.</p>	<p>Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name DAVID PHILLIP ROE

<p><b>BLOCK A</b></p> <p><b>Asset and/or Income Source</b></p> <p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or</p>	<p><b>BLOCK B</b></p> <p><b>Year-End Value of Asset</b></p> <p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p><b>BLOCK C</b></p> <p><b>Type of Income</b></p> <p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p><b>BLOCK D</b></p> <p><b>Amount of Income</b></p> <p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p><b>BLOCK E</b></p> <p><b>Transaction</b></p> <p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
1.8% SOFHA REAL ESTATE PARTNERS	\$50,001 - \$100,000	RENT	NONE	
CONDO - FRISCO, CO	\$100,001 - \$250,000	RENT	\$5,001 - \$15,000	
DAVID PHILLIP ROE TRUST	\$250,001 - \$500,000	DIVIDENDS	\$5,001 - \$15,000	SEE ATTACHED SCHEDULE 1
FIDELITY INVESTMENT RETIREMENT ACCOUNT	\$250,001 - \$500,000	DIVIDENDS	\$1,001 - \$2,500	SEE ATTACHED SCHEDULE 5
FIRST COMMUNITY BANK	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name DAVID PHILLIP ROE

SP	MERRILL LYNCH - IRA	\$100,001 - \$250,000	DIVIDENDS	\$2,501 - \$5,000	SEE ATTACHED SCHEDULE 2
	MERRILL LYNCH - IRA	\$250,001 - \$500,000	DIVIDENDS	\$201 - \$1,000	SEE ATTACHED SCHEDULE 3
	MERRILL LYNCH - IRA MIDCAP GROWTH ACCOUNT	\$1,001 - \$15,000	DIVIDENDS	NONE	
	MERRILL LYNCH CMA ACCOUNT	\$15,001 - \$50,000	INTEREST	\$15,001 - \$50,000	
	MERRILL LYNCH RETIREMENT ACCOUNT	\$1,000,001 - \$5,000,000	DIVIDENDS	\$15,001 - \$50,000	SEE ATTACHED SCHEDULE 4
JT	RESIDENTIAL REAL ESTATE - JOHNSON CITY, TN	\$50,001 - \$100,000	RENT	\$2,501 - \$5,000	

# SCHEDULE IV - TRANSACTIONS

Name DAVID PHILLIP ROE

Page 4 of 6

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	DAVID PHILLIP ROE TRUST	PS&E	N/A	2010	SEE ATTACHED SCHEDULE 1
SP	MERRILL LYNCH - IRA	PS&E	Yes	2010	SEE ATTACHED SCHEDULE 2
	MERRILL LYNCH - IRA	PS&E	Yes	2010	SEE ATTACHED SCHEDULE 3
	MERRILL LYNCH RETIREMENT ACCOUNT	PS&E	Yes	2010	SEE ATTACHED SCHEDULE 4

**SCHEDULE V - LIABILITIES**

Name DAVID PHILLIP ROE

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. Exclude: Any mortgage on your personal residence (unless all or part of it is rented out); loans secured by automobiles, household furniture, or appliances; and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report "revolving charge accounts" (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor	Date Liability Incurred	Type of Liability	Amount of Liability
	GMAC MORTGAGE	OCT 1996	MORTGAGE ON CONDO IN FRISCO, CO	\$100,001 - \$250,000
	BANK OF TENNESSEE	JULY 2008	LINE OF CREDIT	\$100,001 - \$250,000
	SUNTRUST MORTGAGE, INC.	FEB 2008	MORTGAGE ON CONDO IN WASHINGTON DC	\$250,001 - \$500,000
	FIRST COMMUNITY BANK	OCT 2000	MORTGAGE ON REAL ESTATE IN JOHNSON CITY, TN	\$50,001 - \$100,000

## SCHEDULE VIII - POSITIONS

Name DAVID PHILLIP ROE

Page 6 of 6

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
PRESIDENT FOUNDATION BOARD	AUSTIN-PEAY STATE UNIVERSITY

**DAVID PHILIP ROE**

**SCHEDULE 1**



TOTAL MERRILL

Online at: [www.mymerrill.com](http://www.mymerrill.com)

24-Hour Assistance: (800) MERRILL

DR DAVID PHILIP ROE TTEE  
U/A DTD 08/08/2007  
DAVID PHILIP ROE CRUT  
#2 N CROSSBOW LN  
JOHNSON CITY TN 37604-3639

**Net Portfolio Value:****\$475,233.76****Your Financial Advisor:**

SMITH/CONKIN/HAUSMAN  
206 PRINCETON RD SUITE NO 1  
JOHNSON CITY TN 37601  
(423) 282-5191

**Trust**

This account is enrolled in the Personal Investment Advisory Program

December 01, 2010 - December 31, 2010

ASSETS	December 31	November 30
Cash/Money Accounts	51,196.00	44,777.52
Fixed Income	72,659.93	73,280.65
Equities	97,217.92	101,736.06
Mutual Funds	258,943.62	239,815.39
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	480,017.47	459,609.62
Estimated Accrued Interest	984.14	924.03
<b>TOTAL ASSETS</b>	<b>\$481,001.61</b>	<b>\$460,533.65</b>
<b>LIABILITIES</b>		
Debit Balance	(5,767.85)	-
Short Market Value	-	-
<b>TOTAL LIABILITIES</b>	<b>(5,767.85)</b>	<b>-</b>
<b>NET PORTFOLIO VALUE</b>	<b>\$475,233.76</b>	<b>\$460,533.65</b>

CASH FLOW	This Statement	Year to Date
Opening Cash/Money Accounts	\$44,777.52	
<b>CREDITS</b>		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	-	-
Subtotal	-	-
<b>DEBITS</b>		
Electronic Transfers	-	-
Margin Interest Charged	-	-
Other Debits	(5,967.22)	(30,450.60)
Visa Purchases (debits)	-	-
ATM/Cash Advances	-	-
Checks Written/Bill Payment	-	-
Subtotal	(5,967.22)	(30,450.60)
<b>Net Cash Flow</b>	<b>(\$5,967.22)</b>	<b>(\$30,450.60)</b>
Dividends/Interest Income	3,202.77	12,060.20
Dividend Reinvestments	(1,334.59)	(2,330.67)
Security Purchases/Debits	(17,831.12)	(277,664.46)
Security Sales/Credits	22,580.79	278,191.46
<b>Closing Cash/Money Accounts</b>	<b>\$45,428.15</b>	
Securities You Transferred In/Out	179.40	499.15

Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S) is a registered broker-dealer, Member Securities Investor Protection Corporation (SIPC) and a wholly owned subsidiary of Bank of America Corporation. Investment products: **Are Not FDIC Insured** **Are Not Bank Guaranteed** **May Lose Value**

+



Trust

24-Hour Assistance: (800) MERRILL

## YOUR TAA BANK DEPOSIT INTEREST SUMMARY

December 01, 2010 - December 31, 2010

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
FIA Card Services, N.A.	31,331	46,866	.40	15.73	51,188
Bank of America RI, N.A.	19	10	.40	0.00	8
<b>TOTAL ML Bank Deposit Program</b>	<b>31,350</b>			<b>15.73</b>	<b>51,196</b>

## ITEMS FOR ATTENTION

Security	Message	Date	Security	Message	Date
CISCO SYSTEMS INC	Maturing	02/22/11			

## YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

GOVERNMENT AND AGENCY SECURITIES <sup>1</sup>									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
Δ FEDERAL HOME LOAN BANK CALLABLE BONDS 04.000% MAR 30 2012 CUSIP: 3133X5H43 ORIGINAL UNIT/TOTAL COST: 104.5205/10,452.05	03/11/09	10,000	10,188.47	104.3690	10,436.90	248.43	100.00	400	3.83
U.S. TREASURY NOTE 3.250% MAY 31 2016 CUSIP: 912828KW9	06/11/09	2,000	1,962.81	105.4770	2,109.54	146.73	5.54	65	3.08
Δ U.S. TREASURY NOTE ORIGINAL UNIT/TOTAL COST: 102.2655/2,045.31	02/12/10	2,000	2,039.48	105.4770	2,109.54	70.06	5.54	65	3.08
Subtotal		4,000	4,002.29		4,219.08	216.79	11.08	130	3.08



**TOTAL MERRILL**

Trust

# **YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS**

December 01, 2010- December 31, 2010

<b>GOVERNMENT AND AGENCY SECURITIES <sup>1</sup> (continued)</b>									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
Δ FEDERAL HOME LN MTG CORP CALLABLE NOTES 04.250% DEC 12 2018 CUSIP: 3128X77G3 ORIGINAL UNIT/TOTAL COST: 101.5000/10,150.00	03/23/10	10,000	10,138.61	102.9390	<b>10,293.90</b>	155.29	22.43	<b>425</b>	4.12
<b>TOTAL</b>		<b>24,000</b>	<b>24,329.37</b>		<b>24,949.88</b>	<b>620.51</b>	<b>133.51</b>	<b>955</b>	<b>3.83</b>
<b>CORPORATE BONDS</b>									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
Δ CISCO SYSTEMS INC GLB 05.250% FEB 22 2011 MOODY'S: A1 S&P: A+ CUSIP: 17275RAB8 ORIGINAL UNIT/TOTAL COST: 101.1320/10,113.20	10/10/07	10,000	10,004.49	100.6270	<b>10,062.70</b>	58.21	188.13	<b>525</b>	5.21
GENERAL ELECTRIC COMPANY GLB 05.000% FEB 01 2013 MOODY'S: AA2 S&P: AA+ CUSIP: 369604AY9	10/11/07	15,000	14,916.33	106.8970	<b>16,034.55</b>	1,118.22	312.50	<b>750</b>	4.67
GOLDMAN SACHS GROUP LP GLB 05.000% OCT 01 2014 MOODY'S: A1 S&P: A CUSIP: 38143UAW1	10/11/07	10,000	9,670.00	107.1380	<b>10,713.80</b>	1,043.80	125.00	<b>500</b>	4.66
Δ WAL-MART STORES 04.500% JUL 01 2015 MOODY'S: AA2 S&P: AA CUSIP: 931142BY8 ORIGINAL UNIT/TOTAL COST: 103.4230/10,342.30	06/12/09	10,000	10,262.54	108.9900	<b>10,899.00</b>	636.46	225.00	<b>450</b>	4.12
<b>TOTAL</b>		<b>45,000</b>	<b>44,853.36</b>		<b>47,710.05</b>	<b>2,856.69</b>	<b>850.63</b>	<b>2,225</b>	<b>4.66</b>

+

Trust

24-Hour Assistance: (800) MERRILL

# YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Yield%
ANADARKO PETE CORP	APC	12/16/10	63	66.6468	4,198.75	76.1600	4,798.08	599.33	23	.47
		12/16/10	3	65.5866	196.76	76.1600	228.48	31.72	2	.47
Subtotal			66		4,395.51		5,026.56	631.05	25	.47
C.H. ROBINSON WORLDWIDE, INC. NEW	CHRW	05/05/10	71	60.9533	4,327.69	80.1900	5,693.49	1,365.80	83	1.44
CISCO SYSTEMS INC COM	CSCO	10/10/07	29	33.2903	965.42	20.2300	586.67	(378.75)		
		10/19/07	12	31.8800	382.56	20.2300	242.76	(139.80)		
		11/27/07	7	27.5100	192.57	20.2300	141.61	(50.96)		
		12/12/07	14	28.5500	399.70	20.2300	283.22	(116.48)		
		12/13/07	13	29.1500	378.95	20.2300	262.99	(115.96)		
		12/26/07	9	28.3500	255.15	20.2300	182.07	(73.08)		
		01/04/08	5	26.1500	130.75	20.2300	101.15	(29.60)		
		01/10/08	5	26.1500	130.75	20.2300	101.15	(29.60)		
		01/22/08	1	23.5100	23.51	20.2300	20.23	(3.28)		
		01/31/08	5	24.5900	122.95	20.2300	101.15	(21.80)		
		07/01/08	4	23.0000	92.00	20.2300	80.92	(11.08)		
		08/04/08	29	21.8996	635.09	20.2300	586.67	(48.42)		
		02/24/09	17	14.6600	249.22	20.2300	343.91	94.69		
		04/09/09	4	17.9900	71.96	20.2300	80.92	8.96		
		12/06/10	64	19.5200	1,249.28	20.2300	1,294.72	45.44		
Subtotal			218		5,279.86		4,410.14	(869.72)		
COCA COLA COM	KO	03/02/09	72	40.4890	2,915.21	65.7700	4,735.44	1,820.23	127	2.67
		08/27/09	6	48.9800	293.88	65.7700	394.62	100.74	11	2.67
Subtotal			78		3,209.09		5,130.06	1,920.97	138	2.67
CORNING INC	GLW	07/06/10	236	16.6628	3,932.44	19.3200	4,559.52	627.08	48	1.03

+



TOTAL MERRILL

Trust

## YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010- December 31, 2010

<b>EQUITIES</b> (continued)									
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income Yield%
FEDERATED INVESTRS B	FII	09/23/09	66	27.1019	1,788.73	26.1700	1,727.22	(61.51)	64 3.66
		09/25/09	13	25.5523	332.18	26.1700	340.21	8.03	13 3.66
		11/03/09	70	26.7867	1,875.07	26.1700	1,831.90	(43.17)	68 3.66
		11/05/09	9	26.4077	237.67	26.1700	235.53	(2.14)	9 3.66
		04/30/10	19	24.4494	464.54	26.1700	497.23	32.69	19 3.66
Subtotal			177		4,698.19		4,632.09	(66.10)	173 3.66
FORD MOTOR CO NEW	F	08/14/09	53	7.8522	416.17	16.7900	889.87	473.70	
		08/25/09	273	7.6000	2,074.80	16.7900	4,583.67	2,508.87	
		09/18/09	60	6.9900	419.40	16.7900	1,007.40	588.00	
Subtotal			386		2,910.37		6,480.94	3,570.57	
HESS CORP	HES	10/05/10	16	60.7943	972.71	76.5400	1,224.64	251.93	7 .52
		10/07/10	53	61.7500	3,272.75	76.5400	4,056.62	783.87	22 .52
Subtotal			69		4,245.46		5,281.26	1,035.80	29 .52
HONEYWELL INTL INC DEL	HON	10/13/08	74	33.0194	2,443.44	53.1600	3,933.84	1,490.40	90 2.27
		02/23/09	14	28.9200	404.88	53.1600	744.24	339.36	17 2.27
Subtotal			88		2,848.32		4,678.08	1,829.76	107 2.27
JACOBS ENGN GRP INC DELA	JEC	12/09/10	50	42.2000	2,110.00	45.8500	2,292.50	182.50	
		12/10/10	51	42.3374	2,159.21	45.8500	2,338.35	179.14	
Subtotal			101		4,269.21		4,630.85	361.64	
MARATHON OIL CORP	MRO	11/12/10	128	33.9043	4,339.76	37.0300	4,739.84	400.08	128 2.70
MCDONALDS CORP COM	MCD	06/03/08	57	57.6145	3,284.03	76.7600	4,375.32	1,091.29	140 3.17
		07/01/08	2	55.8000	111.60	76.7600	153.52	41.92	5 3.17
Subtotal			59		3,395.63		4,528.84	1,133.21	145 3.17
MCKESSON CORPORATION COM	MCK	08/05/10	67	62.3194	4,175.40	70.3800	4,715.46	540.06	49 1.02
PRUDENTIAL FINANCIAL INC	PRU	06/03/10	38	59.8200	2,273.16	58.7100	2,230.98	(42.18)	44 1.95
		06/08/10	22	54.9000	1,207.80	58.7100	1,291.62	83.82	26 1.95
		06/08/10	2	54.2550	108.51	58.7100	117.42	8.91	3 1.95
		11/03/10	20	53.2000	1,064.00	58.7100	1,174.20	110.20	23 1.95
Subtotal			82		4,653.47		4,814.22	160.75	96 1.95

+

Trust

24-Hour Assistance: (800) MERRILL

## YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

<b>EQUITIES (continued)</b>									
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income Yield%
QUEST DIAGNOSTICS INC	DGX	11/03/10	85	50.6037	4,301.32	53.9700	4,587.45	286.13	34 .74
TEVA PHARMACTCL INDS ADR	TEVA	06/24/08	63	44.8773	2,827.27	52.1300	3,284.19	456.92	43 1.27
		07/01/08	2	46.2700	92.54	52.1300	104.26	11.72	2 1.27
		02/05/09	6	42.3900	254.34	52.1300	312.78	58.44	4 1.27
		06/17/10	3	53.8466	161.54	52.1300	156.39	(5.15)	2 1.27
Subtotal			74		3,335.69		3,857.62	521.93	51 1.27
UNITED TECHS CORP COM	UTX	08/14/09	24	56.6862	1,360.47	78.7200	1,889.28	528.81	41 2.15
		08/28/09	32	59.5984	1,907.15	78.7200	2,519.04	611.89	55 2.15
Subtotal			56		3,267.62		4,408.32	1,140.70	96 2.15
VALERO ENERGY CORP NEW	VLO	04/22/10	201	19.1111	3,841.35	23.1200	4,647.12	805.77	41 .86
		06/23/10	20	18.1265	362.53	23.1200	462.40	99.87	4 .86
Subtotal			221		4,203.88		5,109.52	905.64	45 .86
WAL-MART STORES INC	WMT	12/29/08	45	54.9604	2,473.22	53.9300	2,426.85	(46.37)	55 2.24
		01/08/09	14	51.2500	717.50	53.9300	755.02	37.52	17 2.24
		08/25/09	18	51.9688	935.44	53.9300	970.74	35.30	22 2.24
		04/30/10	1	53.8600	53.86	53.9300	53.93	.07	2 2.24
Subtotal			78		4,180.02		4,206.54	26.52	96 2.24
WALGREEN CO	WAG	08/04/10	147	28.5510	4,197.01	38.9600	5,727.12	1,530.11	103 1.79
<b>TOTAL</b>					80,165.94		97,217.92	17,051.98	1,446 1.49

MUTUAL FUNDS/CLOSED END FUNDS/UIT									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2	353	3,276.42	11.2700	3,978.31	701.89	3,192	785	294	7.37
SYMBOL: AHIFX Initial Purchase:05/12/09									
Fixed Income 100%									
.3210 Fractional Share		3.60	11.2700	3.62	.02			1	7.37
ASTON/OPTIMUM MID CAP	176	5,340.75	32.3500	5,693.60	352.85	5,308	385		

+



TOTAL MERRILL

Trust

YOUR TAA STATEMENT OF PRINCIPAL  
INVESTMENTS

December 01, 2010- December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
FD CL I SYMBOL: ABMIX Initial Purchase:11/16/10 Equity 100% .2330 Fractional Share		7.57	32.3500	7.54	(0.03)				
BLACKROCK INFLATION PROTECTED BD FD INST CL SYMBOL: BPRIX Initial Purchase:08/20/09 Fixed Income 100% .3590 Fractional Share	727	7,875.57	10.8900	7,917.03	41.46	7,527	390	145	1.82
FIRST EAGLE OVERSEAS CL I SYMBOL: SGOIX Initial Purchase:09/25/08 Equity 100% .4430 Fractional Share	679	13,604.57	22.9800	15,603.42	1,998.85	12,248	3,354	253	1.61
GUGGENHEIM BULLETSHARES 2016 CORPORATE BOND ETF SYMBOL: BSCG Initial Purchase:09/16/10 Fixed Income 100%	192	4,042.27	20.6700	3,968.64	(73.63)	4,042	(73)	118	2.96
ISHARES S&P 500 VALUE INDEX FUND SYMBOL: IVE Initial Purchase:09/08/09 Equity 100%	193	10,445.61	59.5900	11,500.87	1,055.26	10,445	1,055	240	2.08
ISHARES TRUST S&P MIDCAP 400 INDEX FUND SYMBOL: UH Initial Purchase:11/16/10	63	5,370.82	90.6900	5,713.47	342.65	5,370	342	61	1.05

+

Trust

24-Hour Assistance: (800) MERRILL

# YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
Equity 100%									
ISHARES S&P GLBL HEALTH CARE SECTOR INDEX FUND SYMBOL: IXJ Initial Purchase:01/22/09 Equity 100%	115	4,979.86	51.7600	5,952.40	972.54	4,979	972	131	2.20
ISHARES S&P GLB TELECOM- MUNICATIONS SECTOR INDEX SYMBOL: IXP Initial Purchase:10/19/07 Equity 100%	107	6,169.43	58.2700	6,234.89	65.46	6,169	65	264	4.23
ISHARES MSCI EMERGING MKTS INDEX FD SYMBOL: EEM Initial Purchase:02/11/10 Equity 100%	298	11,572.77	47.6420	14,197.32	2,624.55	11,572	2,624	193	1.35
ISHARES BARCLAYS AGGRGT AGGREGATE BD FUND SYMBOL: AGG Initial Purchase:01/23/09 Fixed Income 100%	67	6,792.87	105.7500	7,085.25	292.38	6,792	292	248	3.48
ISHARES TR DOW JONES US SYMBOL: IHI Initial Purchase:10/10/07 Equity 100%	174	10,348.41	58.9100	10,250.34	(98.07)	10,348	(98)	15	.13
ISHARES BARCLAYS INTERME SYMBOL: CIU Initial Purchase:05/12/10 Fixed Income 100%	39	4,060.75	105.1800	4,102.02	41.27	4,060	41	171	4.16
ISHARES IBOX\$ HIGH YEL	46	3,909.71	90.2900	4,153.34	243.63	3,909	243	343	8.25

+



TOTAL MERRILL

Trust

## YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010- December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
CORPORATE BOND FUND									
SYMBOL: HYG Initial Purchase:06/29/10									
Fixed Income 100%									
ISHARES TR DOW JONES US	518	24,479.69	64.3800	33,348.84	8,869.15	24,479	8,869	143	.42
TECHNOLOGY SECTOR INDX F									
SYMBOL: IYW Initial Purchase:03/12/08									
Equity 100%									
KAYNE ANDERSON MLP	229	5,904.26	31.4700	7,206.63	1,302.37	5,904	1,302	445	6.16
SYMBOL: KYN Initial Purchase:08/18/10									
Equity 100%									
LORD ABBETT FLOATING	275	2,549.01	9.3200	2,563.00	13.99	2,512	50	137	5.32
RATE FUND CL F									
SYMBOL: LFRFX Initial Purchase:03/29/10									
Fixed Income 100%									
.0910 Fractional Share									
		0.85	9.3200	.85				1	5.32
OPPENHEIMER	739	4,862.88	6.5600	4,847.84	(15.04)	4,576	271	214	4.40
INTERNATIONAL BOND FD Y									
	1	N/A	6.5600	6.56	N/A			1	4.40
SYMBOL: OIBYX Initial Purchase:11/23/07									
Fixed Income 100%									
.1600 Fractional Share									
		1.04	6.5600	1.05	.01			1	4.40
PENNSYLVANIA MUTUAL FD	777	6,456.15	11.6500	9,052.05	2,595.90	6,428	2,623	42	.45
SYMBOL: PENNX Initial Purchase:07/22/09									
Equity 100%									
.2670 Fractional Share									
		3.05	11.6500	3.11	.06			1	.45

+



Trust

24-Hour Assistance: (800) MERRILL

# YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
PIMCO TOTAL RETURN FUND CL P SYMBOL: PTPPX Initial Purchase:05/21/10 Fixed Income 100% .5810 Fractional Share	300	3,336.03	10.8500	3,255.00	(81.03)	3,138	116	103	3.14
		6.27	10.8500	6.30	.03			1	3.14
POWERSHARES BUILD AMERIC BOND ETF SYMBOL: BAB Initial Purchase:02/12/10 Fixed Income 100%	243	6,052.85	25.0800	6,094.44	41.59	6,052	41	359	5.88
SECTOR SPDR ENERGY SYMBOL: XLE Initial Purchase:11/23/10 Equity 100%	222	13,848.03	68.2500	15,151.50	1,303.47	13,848	1,303	222	1.45
SECTOR SPDR FINANCIAL SYMBOL: XLF Initial Purchase:05/10/10 Equity 100%	572	8,205.01	15.9500	9,123.40	918.39	8,205	918	90	.97
SECTOR SPDR UTILITIES SYMBOL: XLU Initial Purchase:09/25/08 Equity 100%	365	10,925.88	31.3400	11,439.10	513.22	10,925	513	464	4.05
SPDR BARCLAYS CAPTL CONV SYMBOL: CWB Initial Purchase:09/11/09 Fixed Income 24% Equity 76%	132	4,874.16	41.0500	5,418.60	544.44	4,874	544	233	4.29
SPDR DB INTL GOV INFL SYMBOL: WIP Initial Purchase:04/23/08 Fixed Income 100%	90	5,471.32	58.1100	5,229.90	(241.42)	5,471	(241)	124	2.35

+



TOTAL MERRILL

Trust

YOUR TAA STATEMENT OF PRINCIPAL  
INVESTMENTS

December 01, 2010- December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
STANDARD&POORS DEP RCPT SYMBOL: SPY Initial Purchase:11/23/10 Equity 100%	47	5,585.01	125.7500	5,910.25	325.24	5,585	325	107	1.80
SUNAMERICA SENIOR FLOATING RATE FUND CL A SYMBOL: SASFX Initial Purchase:05/24/10 Fixed Income 100% .2410 Fractional Share	295	2,380.61	8.2700	2,439.65	59.04	2,372	67	102	4.14
		1.98	8.2700	1.99	.01			1	4.14
TCW TOTAL RETURN BOND FD CL I SYMBOL: TGLMX Initial Purchase:06/29/10 Fixed Income 100% .1030 Fractional Share	399	4,081.42	9.9200	3,958.08	(123.34)	3,941	16	304	7.66
		1.02	9.9200	1.02				1	7.66
TEMPLETON GLBL BOND FD ADV CL SYMBOL: TGBAX Initial Purchase:06/30/09 Fixed Income 100% .9030 Fractional Share	348	4,225.67	13.5600	4,718.88	493.21	4,136	582	214	4.52
		12.31	13.5600	12.24	(0.07)			1	4.52
VANGUARD FTSE ALL WORLD EX US SYMBOL: VEU Initial Purchase:12/04/09 Equity 100%	447	19,939.44	47.7300	21,335.31	1,395.87	19,939	1,395	466	2.13
Subtotal (Fixed Income)				65,649.38					
Subtotal (Equities)				191,852.36					

+

Trust

24-Hour Assistance: (800) MERRILL

## YOUR TAA STATEMENT OF PRINCIPAL INVESTMENTS

December 01, 2010 - December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
<b>TOTAL</b>		231,018.71		257,501.74	26,476.47		29,071	6,246	2.43
<b>TOTAL PRINCIPAL INVESTMENTS</b>		380,367.38		427,379.59	47,005.65			10,872	2.54

**Total Client Investment:** Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

**Cumulative Investment Return:** Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

**Unrealized Gain or (Loss):** Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

**Initial Purchase:** Date of your initial investment in this fund.

**Market Timing:** Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

### Notes

Δ Debt Instruments purchased at a premium show amortization

Θ Debt Instruments purchased at a discount show accretion

<sup>1</sup> Some agency securities are not backed by the full faith and credit of the United States government.

Total values exclude N/A items

For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

## YOUR TAA STATEMENT OF INCOME INVESTMENTS

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	31.11	31.11		31.11		
ML BANK DEPOSIT PROGRAM	51,196.00	51,196.00	1.0000	51,196.00	205	.40
<b>TOTAL</b>		51,227.11		51,227.11	205	.40

+

729-11096 Trust	Settled	12/29/2010	Journal Entry AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FULL SHARE ACCUM SHARE VALUE \$11.24	AHIFX	1		\$0.00
729-11096 Trust	Settled	12/30/2010	Journal Entry AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FULL SHARE ACCUM SHARE VALUE \$11.26	AHIFX	1		\$0.00
729-11096 Trust	Settled	12/30/2010	Journal Entry ASTON/OPTIMUM MID CAP FD CL I FULL SHARE ACCUM SHARE VALUE \$32.42	ABMIX	1		\$0.00
729-11096 Trust	Settled	12/30/2010	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE \$9.90	TGLMX	1		\$0.00
729-11096 Trust	Settled	12/30/2010	Journal Entry TCW TOTAL RETURN BOND FD CL I FULL SHARE ACCUM SHARE VALUE \$9.90	TGLMX	1		\$0.00
729-11096 Trust	Settled	12/31/2010	Journal Entry OPPENHEIMER INTERNATIONAL BOND FD Y FULL SHARE ACCUM SHARE VALUE \$6.56	OIBYX	1		\$0.00
729-11096 Trust	Settled	1/12/2010	Purchase AUTONATION INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AN	85	\$20.65	-\$1,754.94
729-11096 Trust	Settled	1/12/2010	Purchase GENUINE PARTS CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GPC	42	\$38.23	-\$1,605.70
729-11096 Trust	Settled	1/19/2010	Purchase ITT CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ITT	42	\$50.71	-\$2,129.98
729-11096 Trust	Settled	1/20/2010	Purchase ISHARES S&P 500 VALUE INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IVE	98	\$54.98	-\$5,388.46

729-11096 Trust	Settled	1/20/2010	Purchase VANGUARD FTSE ALL WORLD EX US ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VEU	124	\$45.42	-\$5,631.83
729-11096 Trust	Settled	1/20/2010	Purchase VANGUARD MID-CAP ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VO	20	\$62.19	-\$1,243.71
729-11096 Trust	Settled	1/26/2010	Purchase CALL IPI 00038.000 INTREPID POTASH INC EXP 02- 20-10 CLOSE TRAN IPI FEB 38.00000	IPI1020B3	1	\$0.05	-\$5.00
729-11096 Trust	Settled	2/8/2010	Purchase ITT CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ITT	40	\$49.74	-\$1,989.75
729-11096 Trust	Settled	2/9/2010	Purchase CALL XME 00056.000 SPDR S P METALS MINING EXP 02-20-10 CLOSE TRAN XME FEB 56.00000	XME1020E	2	\$0.04	-\$8.00
729-11096 Trust	Settled	2/9/2010	Purchase SPDR GOLD TRUST	GLD	27	\$104.12	-\$2,811.24
729-11096 Trust	Settled	2/16/2010	Purchase U.S. TREASURY NOTE 3.250% MAY 31 2016 PER THE PIA AGREEMENT YLD TO MATURITY 2.85% MATURITY DATE 5/31/16. 78 DAYS INTEREST	912828KW	2,000	\$102.27	-\$2,059.24
729-11096 Trust	Settled	2/16/2010	Purchase U.S. TREASURY NOTE 3.625% AUG 15 2019 PER THE PIA AGREEMENT YLD TO MATURITY 3.64% MATURITY DATE 8/15/19. 1 DAYS INTEREST	912828LJ7	2,000	\$99.80	-\$1,996.29
729-11096 Trust	Settled	2/17/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	EEM	88	\$38.14	-\$3,356.01
729-11096 Trust	Settled	2/18/2010	Purchase POWERSHARES BUILD AMERIC BOND ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	BAB	98	\$24.59	-\$2,410.14
729-11096 Trust	Settled	2/22/2010	Purchase CALL F 00011.000 FORD MOTOR CO NEW EXP 02-20- 10 CLOSE TRAN F FEB 11.00000	F1020B11	5	\$0.30	-\$150.00
729-11096 Trust	Settled	3/2/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD	EEM	92	\$38.00	-\$3,496.00

729-11096 Trust	Settled	3/8/2010	Purchase GENL DYNAMICS CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GD	56	\$74.04	-\$4,146.34
729-11096 Trust	Settled	3/9/2010	Purchase TORCHMARK CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TMK	87	\$48.61	-\$4,228.84
729-11096 Trust	Settled	3/22/2010	Purchase BLACKROCK INFLATION PROTECTED BD FD INST CL FRAC SHR QUANTITY .202 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR U SEPARATE COVER	BPRX	160	\$10.88	-\$1,743.00
729-11096 Trust	Settled	3/24/2010	Purchase FEDERAL HOME LN MTG CORP CALLABLE NOTES 04.250% DEC 12 2018 PER THE PIA AGREEMENT CALLABLE-MAY AFFECT YLD DETAILS UPON REQUEST YLD TO CALL 3.34% CALL DATE 12/12/11 CALL PRICE \$100.00. 102 DAYS INTEREST	3128X77G	10,000	\$101.50	-\$10,270.42
729-11096 Trust	Settled	3/30/2010	Purchase LORD ABBETT FLOATING RATE FUND CL F FRAC SHR QUANTITY .737 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR U SEPARATE COVER	LFRFX	271	\$9.27	-\$2,519.00
729-11096 Trust	Settled	4/1/2010	Purchase POWERSHARES BUILD AMERIC BOND ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	BAB	104	\$24.86	-\$2,585.33
729-11096 Trust	Settled	4/9/2010	Purchase LABORATORY CP AMER HLDGS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LH	55	\$77.19	-\$4,245.62
729-11096 Trust	Settled	4/9/2010	Purchase STATE STREET CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	STT	91	\$46.52	-\$4,233.08

729-11096 Trust	Settled	4/12/2010	Purchase ISHARES BARCLAYS MBS BON FUND ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	MBB	2	\$106.35	-\$212.70
729-11096 Trust	Settled	4/12/2010	Purchase SPDR DB INTL GOV INFL	WIP	10	\$55.53	-\$555.30
729-11096 Trust	Settled	4/14/2010	Purchase SPDR S P OIL GAS EXPLOR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XOP	39	\$44.40	-\$1,731.57
729-11096 Trust	Settled	4/16/2010	Purchase BLACKROCK INFLATION PROTECTED BD FD INST CL FRAC SHR QUANTITY .434 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR U SEPARATE COVER	BPRIX	156	\$10.88	-\$1,702.00
729-11096 Trust	Settled	4/27/2010	Purchase VALERO ENERGY CORP NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VLO	219	\$19.11	-\$4,185.35
729-11096 Trust	Settled	5/4/2010	Purchase VANGUARD FTSE ALL WORLD EX US ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VEU	133	\$44.11	-\$5,866.19
729-11096 Trust	Settled	5/5/2010	Purchase AUTONATION INC PER THE PIA AGREEMENT ML ACTED AS AGENT	AN	13	\$20.17	-\$262.20
729-11096 Trust	Settled	5/5/2010	Purchase EXXON MOBIL CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	XOM	3	\$68.53	-\$205.58
729-11096 Trust	Settled	5/5/2010	Purchase FEDERATED INVESTRS B PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	FII	19	\$24.45	-\$464.54
729-11096 Trust	Settled	5/5/2010	Purchase GENL DYNAMICS CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	GD	1	\$76.74	-\$76.74
729-11096 Trust	Settled	5/5/2010	Purchase GENUINE PARTS CO PER THE PIA AGREEMENT ML ACTED AS AGENT	GPC	2	\$43.12	-\$86.23

729-11096 Trust	Settled	5/5/2010	Purchase ISHARES S&P 500 VALUE INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IVE	41	\$57.75	-\$2,367.66
729-11096 Trust	Settled	5/5/2010	Purchase ISHARES TR DOW JONES US EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IHI	22	\$60.08	-\$1,321.79
729-11096 Trust	Settled	5/5/2010	Purchase SECTOR SPDR UTILITIES	XLU	4	\$30.51	-\$122.04
729-11096 Trust	Settled	5/5/2010	Purchase SPDR GOLD TRUST ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	GLD	3	\$115.51	-\$346.53
729-11096 Trust	Settled	5/5/2010	Purchase SPDR S P METALS MINING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XME	125	\$55.95	-\$6,993.21
729-11096 Trust	Settled	5/5/2010	Purchase STATE STREET CORP PER THE PIA AGREEMENT ML ACTED AS AGENT	STT	8	\$43.80	-\$350.39
729-11096 Trust	Settled	5/5/2010	Purchase VANGUARD FTSE ALL WORLD EX US	VEU	5	\$43.73	-\$218.65
729-11096 Trust	Settled	5/5/2010	Purchase WAL-MART STORES INC PER THE PIA AGREEMENT ML ACTED AS AGENT	WMT	1	\$53.86	-\$53.86
729-11096 Trust	Settled	5/5/2010	Purchase WISDOMTREE EUR S/C DIV EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	DFE	36	\$37.86	-\$1,362.96
729-11096 Trust	Settled	5/7/2010	Purchase CALL EEM 00046.000 ISHARES MSCI EMERGING EXP 06-19-10 CLOSE TRAN EEM JUN 46.00000	EEM1019F	1	\$0.07	-\$7.00
729-11096 Trust	Settled	5/10/2010	Purchase C.H. ROBINSON WORLDWIDE, INC. NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CHRW	71	\$60.95	-\$4,327.69



729-11096 Trust	Settled	5/10/2010	Purchase JACOBS ENGN GRP INC DELA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JEC	94	\$45.70	-\$4,295.46
729-11096 Trust	Settled	5/12/2010	Purchase STANDARD&POORS DEP RCPT ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	SPY	46	\$112.62	-\$5,180.52
729-11096 Trust	Settled	5/13/2010	Purchase SECTOR SPDR FINANCIAL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLF	170	\$15.89	-\$2,700.59
729-11096 Trust	Settled	5/17/2010	Purchase ISHARES BARCLAYS INTERME ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	CIU	39	\$104.12	-\$4,060.75
729-11096 Trust	Settled	5/19/2010	Purchase CALL F 00014.000 FORD MOTOR CO NEW EXP 06-19 10 CLOSE TRAN F JUN 14.00000	F1019F14	5	\$0.10	-\$50.00
729-11096 Trust	Settled	5/20/2010	Purchase HEWLETT PACKARD CO DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HPQ	43	\$47.43	-\$2,039.49
729-11096 Trust	Settled	5/24/2010	Purchase PIMCO TOTAL RETURN FUND CL P FRAC SHR QUANTITY .659 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	PTTPX	282	\$11.13	-\$3,145.99
729-11096 Trust	Settled	5/27/2010	Purchase SUNAMERICA SENIOR FLOATING RATE FUND CL A FRAC SHR QUANTITY .548 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER SALES CHG PD \$0.00 SALES CHG % 0.000	SASFX	294	\$8.07	-\$2,377.00
729-11096 Trust	Settled	5/28/2010	Purchase HEWLETT PACKARD CO DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HPQ	44	\$44.41	-\$1,953.89

729-11096 Trust	Settled	5/28/2010	Purchase KIMBERLY CLARK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KMB	65	\$59.99	-\$3,899.06
729-11096 Trust	Settled	5/28/2010	Purchase SECTOR SPDR FINANCIAL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLFX	188	\$13.93	-\$2,619.70
729-11096 Trust	Settled	6/1/2010	Purchase ASSURANT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AIZ	57	\$34.73	-\$1,979.84
729-11096 Trust	Settled	6/2/2010	Purchase POWERSHARES BUILD AMERIC BOND ETF EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	BAB	41	\$25.79	-\$1,057.38
729-11096 Trust	Settled	6/8/2010	Purchase ASSURANT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AIZ	2	\$35.94	-\$71.88
729-11096 Trust	Settled	6/8/2010	Purchase PRUDENTIAL FINANCIAL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PRU	38	\$59.82	-\$2,273.16
729-11096 Trust	Settled	6/9/2010	Purchase ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F EXECUTED ON MULTIPLE MAR PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IYW	59	\$54.73	-\$3,228.79
729-11096 Trust	Settled	6/11/2010	Purchase PRUDENTIAL FINANCIAL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PRU	22	\$54.90	-\$1,207.80

729-11096 Trust	Settled	6/11/2010	Purchase PRUDENTIAL FINANCIAL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PRU	2	\$54.25	-\$108.51
729-11096 Trust	Settled	6/15/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD	EEM	35	\$38.28	-\$1,339.78
729-11096 Trust	Settled	6/16/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	EEM	18	\$38.48	-\$692.62
729-11096 Trust	Settled	6/22/2010	Purchase TEVA PHARMACTCL INDS ADR PER THE PIA AGREEMENT WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	TEVA	3	\$53.85	-\$161.54
729-11096 Trust	Settled	6/24/2010	Purchase CALL F 00013.000 FORD MOTOR CO NEW EXP 07-17 10 CLOSE TRAN F JUL 13.00000	F1017G13	3	\$0.02	-\$6.00
729-11096 Trust	Settled	6/28/2010	Purchase VALERO ENERGY CORP NEW PER THE PIA AGREEMENT ML ACTED AS AGENT	VLO	20	\$18.13	-\$362.53
729-11096 Trust	Settled	6/30/2010	Purchase AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FRAC SHR QUANTITY .992 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	AHIFX	26	\$10.67	-\$288.00
729-11096 Trust	Settled	6/30/2010	Purchase TCW TOTAL RETURN BOND FD CL I FRAC SHR QUANTITY .078 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	TGLMX	196	\$10.20	-\$2,000.00
729-11096 Trust	Settled	7/2/2010	Purchase ASSURANT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AIZ	57	\$35.24	-\$2,008.43
729-11096 Trust	Settled	7/2/2010	Purchase ISHARES IBOXX\$ HIGH YIEL CORPORATE BOND FUND ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	HYG	46	\$84.99	-\$3,909.71

729-11096 Trust	Settled	7/2/2010	Purchase ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IYW	161	\$52.59	-\$8,466.75
729-11096 Trust	Settled	7/6/2010	Purchase STANDARD&POORS DEP RCPT ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	SPY	25	\$103.40	-\$2,585.00
729-11096 Trust	Settled	7/8/2010	Purchase SECTOR SPDR FINANCIAL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLF	214	\$13.48	-\$2,884.72
729-11096 Trust	Settled	7/9/2010	Purchase CORNING INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GLW	236	\$16.66	-\$3,932.44
729-11096 Trust	Settled	7/21/2010	Purchase LOWE'S COMPANIES INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LOW	200	\$20.11	-\$4,021.86
729-11096 Trust	Settled	7/28/2010	Purchase CALL VLO 00023.000 VALERO ENERGY CORP NEW EXP 09-18-10 CLOSE TRAN VLO SEP 23.00000	VLO101812	2	\$0.06	-\$11.66
729-11096 Trust	Settled	8/9/2010	Purchase WALGREEN CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WAG	147	\$28.55	-\$4,197.01
729-11096 Trust	Settled	8/10/2010	Purchase COVENTRY HEALTH CARE INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CVH	200	\$21.40	-\$4,279.82

729-11096 Trust	Settled	8/10/2010	Purchase MCKESSON CORPORATION COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MCK	67	\$62.32	-\$4,175.40
729-11096 Trust	Settled	8/12/2010	Purchase HEWLETT PACKARD CO DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HPQ	13	\$43.17	-\$561.20
729-11096 Trust	Settled	8/12/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	EEM	32	\$42.37	-\$1,355.94
729-11096 Trust	Settled	8/12/2010	Purchase VANGUARD FTSE ALL WORLD EX US ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VEU	31	\$43.92	-\$1,361.41
729-11096 Trust	Settled	8/16/2010	Purchase BLACKROCK INFLATION PROTECTED BD FD INST CL FRAC SHR QUANTITY .629 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	BPRX	78	\$11.23	-\$883.00
729-11096 Trust	Settled	8/16/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD	EEM	33	\$40.38	-\$1,332.42
729-11096 Trust	Settled	8/16/2010	Purchase VANGUARD FTSE ALL WORLD EX US ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VEU	32	\$41.81	-\$1,337.82
729-11096 Trust	Settled	8/19/2010	Purchase TCW TOTAL RETURN BOND FD CL I FRAC SHR QUANTITY .397 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	TGLMX	189	\$10.28	-\$1,947.00
729-11096 Trust	Settled	8/23/2010	Purchase KAYNE ANDERSON MLP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KYN	104	\$25.60	-\$2,662.36

729-11096 Trust	Settled	8/24/2010	Purchase KAYNE ANDERSON MLP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KYN	68	\$25.71	-\$1,748.47
729-11096 Trust	Settled	8/27/2010	Purchase STANDARD&POORS DEP RCPT	SPY	25	\$105.88	-\$2,647.00
729-11096 Trust	Settled	8/27/2010	Purchase STANDARD&POORS DEP RCPT ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	SPY	25	\$105.91	-\$2,647.74
729-11096 Trust	Settled	9/10/2010	Purchase CALL F 00013.000 FORD MOTOR CO NEW EXP 09-18- 10 CLOSE TRAN F SEP 13.00000	F1018113	3	\$0.03	-\$9.00
729-11096 Trust	Settled	9/21/2010	Purchase CLAYMORE BULLETSHARES 2016 CORPORATE BOND ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	BSCG	192	\$21.05	-\$4,042.27
729-11096 Trust	Settled	9/22/2010	Purchase OPPENHEIMER INTERNATIONAL BOND FD Y FRAC SHR QUANTITY .655 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	OIBYX	82	\$6.63	-\$548.00
729-11096 Trust	Settled	9/23/2010	Purchase STATE STREET CORP PER THE PIA AGREEMENT ML ACTED AS AGENT	STT	1	\$38.44	-\$38.44
729-11096 Trust	Settled	9/29/2010	Purchase TEMPLETON GLBL BOND FD ADV CL FRAC SHR QUANTITY .678 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	TGBAX	15	\$13.65	-\$214.00
729-11096 Trust	Settled	10/8/2010	Purchase HESS CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HES	16	\$60.79	-\$972.71
729-11096 Trust	Settled	10/13/2010	Purchase HESS CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HES	53	\$61.75	-\$3,272.75

729-11096 Trust	Settled	10/13/2010	Purchase KAYNE ANDERSON MLP PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KYN	57	\$26.20	-\$1,493.43
729-11096 Trust	Settled	10/18/2010	Purchase CALL XOP 00044.000 SPDR S P OIL GAS EXPLOR EXP 10-16-10 CLOSE TRAN XOP OCT 44.00000	XOP1016J	1	\$0.65	-\$64.98
729-11096 Trust	Settled	11/8/2010	Purchase NEXTERA ENERGY INC SHS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	NEE	79	\$54.70	-\$4,320.94
729-11096 Trust	Settled	11/8/2010	Purchase PRUDENTIAL FINANCIAL INC PER THE PIA AGREEMENT ML ACTED AS AGENT	PRU	20	\$53.20	-\$1,064.00
729-11096 Trust	Settled	11/8/2010	Purchase QUEST DIAGNOSTICS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DGX	85	\$50.60	-\$4,301.32
729-11096 Trust	Settled	11/17/2010	Purchase ASTON/OPTIMUM MID CAP FD CL I FRAC SHR QUANTITY .080 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	ABMIX	118	\$29.48	-\$3,481.00
729-11096 Trust	Settled	11/17/2010	Purchase MARATHON OIL CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MRO	128	\$33.90	-\$4,339.76
729-11096 Trust	Settled	11/19/2010	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IJH	42	\$83.02	-\$3,486.71
729-11096 Trust	Settled	11/29/2010	Purchase SECTOR SPDR ENERGY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLE	222	\$62.38	-\$13,848.03

729-11096 Trust	Settled	11/29/2010	Purchase STANDARD&POORS DEP RCPT ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	SPY	47	\$118.83	-\$5,585.01
729-11096 Trust	Settled	12/7/2010	Purchase ASTON/OPTIMUM MID CAP FD CL I FRAC SHR QUANTITY .796 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	ABMIX	33	\$31.72	-\$1,072.01
729-11096 Trust	Settled	12/8/2010	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PRODUCT DESCRIPTION ENCL	IJH	12	\$88.84	-\$1,066.02
729-11096 Trust	Settled	12/9/2010	Purchase CISCO SYSTEMS INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CSCO	64	\$19.52	-\$1,249.28
729-11096 Trust	Settled	12/14/2010	Purchase JACOBS ENGN GRP INC DELA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JEC	50	\$42.20	-\$2,110.00
729-11096 Trust	Settled	12/15/2010	Purchase JACOBS ENGN GRP INC DELA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JEC	51	\$42.34	-\$2,159.21
729-11096 Trust	Settled	12/21/2010	Purchase ANADARKO PETE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APC	63	\$66.65	-\$4,198.75
729-11096 Trust	Settled	12/21/2010	Purchase ANADARKO PETE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APC	3	\$65.59	-\$196.76



729-11096 Trust	Settled	12/22/2010	Purchase ASTON/OPTIMUM MID CAP FD CL I FRAC SHR QUANTITY .447 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	ABMIX	23	\$32.84	-\$770.00
729-11096 Trust	Settled	12/22/2010	Purchase ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IYW	65	\$64.48	-\$4,191.00
729-11096 Trust	Settled	12/27/2010	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IJH	9	\$90.90	-\$818.09
729-11096 Trust	Settled	3/16/2010	Redeemed FEDERAL HOME LN MTG CORP CALLABLE NOTES 02.000% MAR 16 2011 CALLABLE NOTES 02.000% MAR 16 2011 PAY DATE 03/16/2010	3128X8QH	-10,000		\$10,000.00
729-11096 Trust	Settled	4/15/2010	Redeemed U.S. TRSY INFLATION NOTE 0.875% APR 15 2010. INFL ADJ PRIN FCR 1.1439 0.875% APR 15 2010 PAY DATE 04/15/2010	912828CZ	-3,000		\$3,431.79
729-11096 Trust	Settled	11/15/2010	Redeemed AT&T INC GLB 05.300% NOV 15 2010 GLB 05.300% NOV 15 2010 PAY DATE 11/15/2010	T10A	-10,000		\$10,000.00
729-11096 Trust	Settled	1/11/2010	Sale MONSANTO CO NEW DEL COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MON	-48	\$82.88	\$3,978.29
729-11096 Trust	Settled	1/13/2010	Sale CALL IPI 00038.000 INTREPID POTASH INC EXP 02- 20-10 OPEN TRAN IPI BL FEB 38.00000	IPI BL	-1	\$0.21	\$20.52

729-11096 Trust	Settled	2/1/2010	Sale INTREPID POTASH INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IPI	-174	\$27.25	\$4,740.73
729-11096 Trust	Settled	2/5/2010	Sale PUTNAM HIGH YIELD ADVANTAGE FD CL Y PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	PHAYX	-298	\$5.85	\$1,743.30
729-11096 Trust	Settled	2/5/2010	Sale PUTNAM HIGH YIELD ADVANTAGE FD CL Y PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	PHAYX	-280	\$5.85	\$1,638.00
729-11096 Trust	Settled	2/9/2010	Sale CALL XME 00050.000 SPDR S P METALS MINING EXP 02-20-10 OPEN TRAN XME FEB 50.00000	XME1020E	-2	\$0.44	\$87.99
729-11096 Trust	Settled	2/17/2010	Sale ISHARES BARCLAYS MBS BON FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MBB	-31	\$107.12	\$3,320.68
729-11096 Trust	Settled	2/24/2010	Sale FORD MOTOR CO NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	F	-80	\$11.36	\$908.79
729-11096 Trust	Settled	2/24/2010	Sale SPDR S P METALS MINING A/C CALL ZHN43 XME PER THE PIA AGREEMENT AS OF 02/19 UNSOLICITED ORDER	XME	-200	\$50.00	\$9,999.87
729-11096 Trust	Settled	2/25/2010	Sale CALL F 00014.000 FORD MOTOR CO NEW EXP 06-19- 10 OPEN TRAN F JUN 14.00000	F1019F14	-5	\$0.36	\$179.99
729-11096 Trust	Settled	3/8/2010	Sale ARCHER DANIELS MIDLD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ADM	-132	\$29.84	\$3,938.71
729-11096 Trust	Settled	3/8/2010	Sale CALL EEM 00046.000 ISHARES MSCI EMERGING EXP 06-19-10 OPEN TRAN EEM JUN 46.00000	EEM1019F	-1	\$0.49	\$48.99

729-11096 Trust	Settled	3/8/2010	Sale GILEAD SCIENCES INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GILD	-92	\$47.49	\$4,368.75
729-11096 Trust	Settled	3/12/2010	Sale PUTNAM HIGH YIELD ADVANTAGE FD CL Y FRAC SHR QUANTITY .430 PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	PHAYX	-25	\$5.93	\$150.80
729-11096 Trust	Settled	3/24/2010	Sale ISHARES BARCLAYS TIPS BO PROTECTED SECS FD PER THE PIA AGREEMENT ML ACTED AS AGENT	TIP	-15	\$104.52	\$1,567.78
729-11096 Trust	Settled	3/24/2010	Sale ITT CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ITT	-82	\$52.87	\$4,335.29
729-11096 Trust	Settled	4/12/2010	Sale ISHARES BARCLAYS TIPS BO PROTECTED SECS FD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TIP	-17	\$103.29	\$1,755.90
729-11096 Trust	Settled	5/3/2010	Sale FIRST EAGLE OVERSEAS CL I PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	SGOIX	-136	\$20.93	\$2,846.48
729-11096 Trust	Settled	5/3/2010	Sale PENNSYLVANIA MUTUAL FD PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	PENNX	-209	\$10.58	\$2,211.22
729-11096 Trust	Settled	5/4/2010	Sale ISHARES MSCI EMU INDX FD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	EZU	-139	\$34.28	\$4,764.95
729-11096 Trust	Settled	5/5/2010	Sale CHEVRON CORP PER THE PIA AGREEMENT ML ACTED AS AGENT	CVX	-4	\$82.11	\$328.44

729-11096 Trust	Settled	5/5/2010	Sale CISCO SYSTEMS INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CSCO	-46	\$27.16	\$1,249.38
729-11096 Trust	Settled	5/5/2010	Sale HONEYWELL INTL INC DEL PER THE PIA AGREEMENT ML ACTED AS AGENT	HON	-38	\$47.61	\$1,809.19
729-11096 Trust	Settled	5/5/2010	Sale INTL BUSINESS MACHINES CORP IBM PER THE PIA AGREEMENT ML ACTED AS AGENT	IBM	-1	\$129.58	\$129.57
729-11096 Trust	Settled	5/5/2010	Sale ISHARES DJ US CONSUMER GOODS SECTOR INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IYK	-49	\$59.23	\$2,902.24
729-11096 Trust	Settled	5/5/2010	Sale ISHARES S&P GLB TELECOM- MUNICATIONS SECTOR INDEX PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IXP	-50	\$52.20	\$2,609.75
729-11096 Trust	Settled	5/5/2010	Sale ISHARES S&P GLBL HEALTH CARE SECTOR INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IXJ	-57	\$50.29	\$2,866.23
729-11096 Trust	Settled	5/5/2010	Sale ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IYW	-108	\$59.81	\$6,459.86

729-11096 Trust	Settled	5/5/2010	Sale MCDONALDS CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	MCD	-23	\$70.72	\$1,626.55
729-11096 Trust	Settled	5/5/2010	Sale MOSAIC CO PER THE PIA AGREEMENT ML ACTED AS AGENT	MOS	-6	\$51.36	\$308.16
729-11096 Trust	Settled	5/5/2010	Sale ORACLE CORP \$0.01 DEL PER THE PIA AGREEMENT WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ORCL	-49	\$25.73	\$1,260.77
729-11096 Trust	Settled	5/5/2010	Sale SPDR S P OIL GAS EXPLOR PER THE PIA AGREEMENT ML ACTED AS AGENT	XOP	-4	\$44.97	\$179.88
729-11096 Trust	Settled	5/5/2010	Sale TEVA PHARMACTCL INDS ADR PER THE PIA AGREEMENT WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	TEVA	-29	\$58.72	\$1,702.85
729-11096 Trust	Settled	5/5/2010	Sale TORCHMARK CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	TMK	-7	\$53.74	\$376.19
729-11096 Trust	Settled	5/5/2010	Sale UNITED TECHS CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	UTX	-11	\$75.13	\$826.44
729-11096 Trust	Settled	5/5/2010	Sale VALERO ENERGY CORP NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VLO	-18	\$20.87	\$375.68
729-11096 Trust	Settled	5/5/2010	Sale VANGUARD MID-CAP ETF PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VO	-15	\$67.74	\$1,016.13
729-11096 Trust	Settled	5/7/2010	Sale ISHARES BARCLAYS MBS BON FUND PER THE PIA AGREEMENT ML ACTED AS AGENT	MBB	-15	\$107.08	\$1,606.19
729-11096 Trust	Settled	5/10/2010	Sale AMPHENOL CORP CL A NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APH	-94	\$44.12	\$4,147.27

729-11096 Trust	Settled	5/10/2010	Sale INTL BUSINESS MACHINES CORP IBM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IBM	-33	\$127.25	\$4,199.25
729-11096 Trust	Settled	5/11/2010	Sale ISHARES IBOX \$ INVT GRADE CORP BD FUND PER THE PIA AGREEMENT DERIVED FROM MOODY'S AND SECURITIES OF THIS ISSUE EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LQD	-146	\$104.02	\$15,187.07
729-11096 Trust	Settled	5/12/2010	Sale WISDOMTREE EUR S/C DIV PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DFE	-146	\$32.54	\$4,750.07
729-11096 Trust	Settled	5/26/2010	Sale FORD MOTOR CO NEW PER THE PIA AGREEMENT ML ACTED AS AGENT	F	-118	\$11.14	\$1,314.50
729-11096 Trust	Settled	5/28/2010	Sale ISHARES BARCLAYS MBS BON FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MBB	-32	\$108.49	\$3,471.47
729-11096 Trust	Settled	5/28/2010	Sale ISHARES DJ US CONSUMER GOODS SECTOR INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IYK	-236	\$54.04	\$12,753.20
729-11096 Trust	Settled	6/8/2010	Sale GENUINE PARTS CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GPC	-101	\$41.07	\$4,148.07

729-11096 Trust	Settled	6/9/2010	Sale SPDR S P METALS MINING PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XME	-125	\$47.39	\$5,924.05
729-11096 Trust	Settled	6/9/2010	Sale VANGUARD MID-CAP ETF PER THE PIA AGREEMENT ML ACTED AS AGENT	VO	-165	\$60.70	\$10,015.33
729-11096 Trust	Settled	6/11/2010	Sale CALL F 00013.000 FORD MOTOR CO NEW EXP 07-17- 10 OPEN TRAN F J 1JUL0 13000000	F1017G13	-3	\$0.15	\$44.63
729-11096 Trust	Settled	6/16/2010	Sale CALL VLO 00023.000 VALERO ENERGY CORP NEW EXP 09-18-10 OPEN TRAN VLO SEP 23.00000	VLO1018I2	-2	\$0.23	\$45.99
729-11096 Trust	Settled	6/21/2010	Sale AUTONATION INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AN	-216	\$21.25	\$4,590.50
729-11096 Trust	Settled	7/2/2010	Sale ISHARES S&P GLBL HEALTH CARE SECTOR INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IXJ	-107	\$45.88	\$4,909.09
729-11096 Trust	Settled	7/13/2010	Sale CALL F 00013.000 FORD MOTOR CO NEW EXP 09-18- 10 OPEN TRAN F SEP 13.00000	F1018I13	-3	\$0.24	\$71.99
729-11096 Trust	Settled	7/16/2010	Sale MOSAIC CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MOS	-82	\$42.14	\$3,455.73
729-11096 Trust	Settled	8/2/2010	Sale JACOBS ENGN GRP INC DELA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JEC	-94	\$35.75	\$3,360.45

729-11096 Trust	Settled	8/9/2010	Sale ASSURANT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AIZ	-116	\$36.99	\$4,291.28
729-11096 Trust	Settled	8/9/2010	Sale TORCHMARK CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TMK	-80	\$53.08	\$4,246.40
729-11096 Trust	Settled	8/11/2010	Sale STANDARD&POORS DEP RCPT PER THE PIA AGREEMENT ML ACTED AS AGENT	SPY	-71	\$111.76	\$7,934.83
729-11096 Trust	Settled	8/20/2010	Sale U.S. TREASURY NOTE 3.625% AUG 15 2019 PER THE PIA AGREEMENT YLD TO MATURITY 2.45% MATURITY DATE 8/15/19. 5 DAYS INTEREST	912828LJ7	-4,000	\$109.40	\$4,377.89
729-11096 Trust	Settled	9/8/2010	Sale CALL ORCL 00025.000 ORACLE CORP \$0.01 DEL EXP 10-16-10 OPEN TRAN ORCL OCT 25.00000	ORCL1016	-1	\$0.59	\$58.99
729-11096 Trust	Settled	9/9/2010	Sale CALL XOP 00044.000 SPDR S P OIL GAS EXPLOR EXP 10-16-10 OPEN TRAN XOP OCT 44.00000	XOP1016J	-1	\$0.26	\$25.99
729-11096 Trust	Settled	9/21/2010	Sale CALL STT 00044.000 STATE STREET CORP EXP 11- 20-10 OPEN TRAN STT NOV 44.00000	STT1020K	-1	\$0.42	\$41.99
729-11096 Trust	Settled	9/21/2010	Sale STANDARD&POORS DEP RCPT PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	SPY	-50	\$112.63	\$5,631.44
729-11096 Trust	Settled	9/22/2010	Sale ORACLE CORP \$0.01 DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ORCL	-62	\$26.88	\$1,666.54
729-11096 Trust	Settled	10/20/2010	Sale ORACLE CORP \$0.01 DEL A/C CALL ZJPU1 ORCL PER THE PIA AGREEMENT WE MAKE A MKT IN ISSUE AS OF 10/15 UNSOLICITED ORDER	ORCL	-100	\$25.00	\$2,499.96



729-11096 Trust	Settled	10/22/2010	Sale SPDR GOLD TRUST PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GLD	-30	\$130.91	\$3,927.27
729-11096 Trust	Settled	11/2/2010	Sale KIMBERLY CLARK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KMB	-65	\$62.76	\$4,079.12
729-11096 Trust	Settled	11/8/2010	Sale COVENTRY HEALTH CARE INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CVH	-200	\$23.84	\$4,768.10
729-11096 Trust	Settled	11/8/2010	Sale GENL DYNAMICS CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GD	-57	\$68.43	\$3,900.34
729-11096 Trust	Settled	11/8/2010	Sale LABORATORY CP AMER HLDGS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LH	-55	\$82.33	\$4,528.30
729-11096 Trust	Settled	11/26/2010	Sale SPDR S P OIL GAS EXPLOR PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XOP	-87	\$48.74	\$4,240.05
729-11096 Trust	Settled	11/30/2010	Sale CHEVRON CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CVX	-51	\$82.69	\$4,217.03

729-11096 Trust	Settled	11/30/2010	Sale SPDR S P OIL GAS EXPLOR PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XOP	-100	\$48.18	\$4,818.39
729-11096 Trust	Settled	11/30/2010	Sale STATE STREET CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	STT	-100	\$42.98	\$4,297.75
729-11096 Trust	Settled	12/3/2010	Sale EXXON MOBIL CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XOM	-61	\$69.50	\$4,239.46
729-11096 Trust	Settled	12/7/2010	Sale LOWE'S COMPANIES INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LOW	-200	\$24.92	\$4,984.36
729-11096 Trust	Settled	12/21/2010	Sale TRANSOCEAN LTD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	RIG	-72	\$70.04	\$5,042.46
729-11096 Trust	Settled	12/22/2010	Sale HEWLETT PACKARD CO DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HPQ	-100	\$41.96	\$4,196.13
729-11096 Trust	Settled	12/30/2010	Sale NEXTERA ENERGY INC SHS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	NEE	-79	\$52.13	\$4,118.38

**DAVID PHILIP ROE**

**SCHEDULE 2**



**TOTAL MERRILL**

Online at: [www.mymerrill.com](http://www.mymerrill.com)

24-Hour Assistance: (800) MERRILL

MLPF& S CUST FPO  
PAMELA A ROE IRA  
FBO PAMELA A ROE  
2 N CROSSBOW LN  
JOHNSON CITY TN 37604-3639

**Net Portfolio Value: \$208,715.23**

**Your Financial Advisor:**  
SMITH/CONKIN/HAUSMAN  
206 PRINCETON RD SUITE NO 1  
JOHNSON CITY TN 37601  
(423) 282-5191

## Pam's IRA

This account is enrolled in the Personal Investment Advisory Program

December 01, 2010 - December 31, 2010

ASSETS	December 31	November 30
Cash/Money Accounts	11,545.53	11,091.41
Fixed Income	-	-
Equities	72,754.85	69,319.48
Mutual Funds	124,454.85	114,380.32
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	208,755.23	194,791.21
<b>TOTAL ASSETS</b>	<b>\$208,755.23</b>	<b>\$194,791.21</b>
<b>LIABILITIES</b>		
Debit Balance	-	-
Short Market Value	(40.00)	(68.00)
<b>TOTAL LIABILITIES</b>	<b>(40.00)</b>	<b>(68.00)</b>
<b>NET PORTFOLIO VALUE</b>	<b>\$208,715.23</b>	<b>\$194,723.21</b>

CASH FLOW	This Statement	Year to Date
<b>Opening Cash/Money Accounts</b>	<b>\$11,091.41</b>	
<b>CREDITS</b>		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	4.98	66.99
Subtotal	4.98	66.99
<b>DEBITS</b>		
Electronic Transfers	-	-
Other Debits	(0.86)	(2,127.20)
Subtotal	(0.86)	(2,127.20)
<b>Net Cash Flow</b>	<b>\$4.12</b>	<b>(\$2,060.21)</b>
Dividends/Interest Income	1,362.56	3,276.41
Dividend Reinvestments	(326.82)	(326.82)
Security Purchases/Debits	(9,880.62)	(154,933.11)
Security Sales/Credits	9,294.88	131,975.36
<b>Closing Cash/Money Accounts</b>	<b>\$11,545.53</b>	
Securities You Transferred In/Out	121.92	121.92

Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S) is a registered broker-dealer, Member Securities Investor Protection Corporation (SIPC) and a wholly owned subsidiary of Bank of America Corporation. Investment products: **Are Not FDIC Insured** **Are Not Bank Guaranteed** **May Lose Value**

+

Pam's IRA

24-Hour Assistance: (800) MERRILL

## ACCOUNT INVESTMENT OBJECTIVE

December 01, 2010 - December 31, 2010

**TOTAL RETURN:** Objective is to strike a balance between fixed-income instruments for current income and equities for growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

## ITEMS FOR ATTENTION

Security	Message	Date	Security	Message	Date
CALL F 00017.500	Option Expiring	01/22/11			

## YOUR RETIREMENT ACCOUNT ASSETS

CASH/MONEY ACCOUNTS						
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	0.72	0.72		.72		
FIA CARD SERVICES NA RASP	11,544.81	11,544.81	1.0000	11,544.81	46	.40
<b>TOTAL</b>		11,545.53		11,545.53	46	.40

EQUITIES									
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income Yield%
ANADARKO PETE CORP	APC	12/16/10	46	66.6469	3,065.76	76.1600	3,503.36	437.60	17 .47
		12/16/10	1	65.5900	65.59	76.1600	76.16	10.57	1 .47
<b>Subtotal</b>			47		3,131.35		3,579.52	448.17	18 .47
C.H. ROBINSON WORLDWIDE, INC. NEW	CHRW	05/05/10	49	60.9534	2,986.72	80.1900	3,929.31	942.59	57 1.44
CISCO SYSTEMS INC COM	CSCO	04/21/08	98	24.7500	2,425.50	20.2300	1,982.54	(442.96)	
		08/04/08	3	21.9000	65.70	20.2300	60.69	(5.01)	
		05/07/09	5	18.9400	94.70	20.2300	101.15	6.45	
		12/06/10	50	19.5200	976.00	20.2300	1,011.50	35.50	
<b>Subtotal</b>			156		3,561.90		3,155.88	(406.02)	

+



**TOTAL MERRILL**

Pam's IRA

## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010- December 31, 2010

<b>EQUITIES (continued)</b>									
<b>Description</b>	<b>Symbol</b>	<b>Acquired</b>	<b>Quantity</b>	<b>Unit Cost Basis</b>	<b>Total Cost Basis</b>	<b>Estimated Market Price</b>	<b>Estimated Market Value</b>	<b>Unrealized Gain/(Loss)</b>	<b>Estimated Current Annual Income Yield%</b>
COCA COLA COM	KO	03/02/09	43	40.4890	1,741.03	65.7700	2,828.11	1,087.08	76 2.67
		05/07/09	2	42.8700	85.74	65.7700	131.54	45.80	4 2.67
		08/27/09	4	48.9800	195.92	65.7700	263.08	67.16	8 2.67
		04/29/10	5	53.9880	269.94	65.7700	328.85	58.91	9 2.67
<b>Subtotal</b>			54		2,292.63		3,551.58	1,258.95	97 2.67
CORNING INC	GLW	07/06/10	157	16.6629	2,616.08	19.3200	3,033.24	417.16	32 1.03
FEDERATED INVESTRS B	FII	09/23/09	42	27.1019	1,138.28	26.1700	1,099.14	(39.14)	41 3.66
		09/25/09	8	25.5525	204.42	26.1700	209.36	4.94	8 3.66
		11/03/09	44	26.7865	1,178.61	26.1700	1,151.48	(27.13)	43 3.66
		11/05/09	6	26.4083	158.45	26.1700	157.02	(1.43)	6 3.66
		04/29/10	22	24.7186	543.81	26.1700	575.74	31.93	22 3.66
<b>Subtotal</b>			122		3,223.57		3,192.74	(30.83)	120 3.66
FORD MOTOR CO NEW	F	08/14/09	47	7.8523	369.06	16.7900	789.13	420.07	
		08/25/09	171	7.6000	1,299.60	16.7900	2,871.09	1,571.49	
		09/18/09	40	6.9900	279.60	16.7900	671.60	392.00	
<b>Subtotal</b>			258		1,948.26		4,331.82	2,383.56	
HESS CORP	HES	10/05/10	11	60.7945	668.74	76.5400	841.94	173.20	5 .52
		10/07/10	37	61.7500	2,284.75	76.5400	2,831.98	547.23	15 .52
<b>Subtotal</b>			48		2,953.49		3,673.92	720.43	20 .52
HEWLETT PACKARD CO DEL	HPQ	05/17/10	30	47.4300	1,422.90	42.1000	1,263.00	(159.90)	10 .76
		05/25/10	28	44.4064	1,243.38	42.1000	1,178.80	(64.58)	9 .76
		08/09/10	10	43.1690	431.69	42.1000	421.00	(10.69)	4 .76
<b>Subtotal</b>			68		3,097.97		2,862.80	(235.17)	23 .76
HONEYWELL INTL INC DEL	HON	10/13/08	53	33.0194	1,750.03	53.1600	2,817.48	1,067.45	65 2.27
		02/23/09	5	28.9200	144.60	53.1600	265.80	121.20	7 2.27
		05/07/09	3	33.3100	99.93	53.1600	159.48	59.55	4 2.27
<b>Subtotal</b>			61		1,994.56		3,242.76	1,248.20	76 2.27
JACOBS ENGN GRP INC DELA	JEC	12/09/10	36	42.2000	1,519.20	45.8500	1,650.60	131.40	
		12/10/10	36	42.3375	1,524.15	45.8500	1,650.60	126.45	
<b>Subtotal</b>			72		3,043.35		3,301.20	257.85	
MARATHON OIL CORP	MRO	11/12/10	91	33.9043	3,085.30	37.0300	3,369.73	284.43	91 2.70

+

Pam's IRA

24-Hour Assistance: (800) MERRILL

## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010 - December 31, 2010

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Yield%
Description											
MCDONALDS CORP COM	MCD	06/03/08		39	57.6148	2,246.98	76.7600	2,993.64	746.66	96	3.17
		05/07/09		2	53.0500	106.10	76.7600	153.52	47.42	5	3.17
Subtotal				41		2,353.08		3,147.16	794.08	101	3.17
MCKESSON CORPORATION COM	MCK	08/05/10		46	62.3193	2,866.69	70.3800	3,237.48	370.79	34	1.02
NEXTERA ENERGY INC SHS	NEE	11/03/10		55	54.6954	3,008.25	51.9900	2,859.45	(148.80)	110	3.84
PRUDENTIAL FINANCIAL INC	PRU	06/03/10		26	59.8200	1,555.32	58.7100	1,526.46	(28.86)	30	1.95
		06/08/10		3	54.2533	162.76	58.7100	176.13	13.37	4	1.95
		06/08/10		14	54.9000	768.60	58.7100	821.94	53.34	17	1.95
		11/03/10		15	53.2000	798.00	58.7100	880.65	82.65	18	1.95
Subtotal				58		3,284.68		3,405.18	120.50	69	1.95
QUEST DIAGNOSTICS INC	DGX	11/03/10		60	50.6038	3,036.23	53.9700	3,238.20	201.97	24	.74
TEVA PHARMACTCL INDS ADR	TEVA	06/24/08		48	44.8772	2,154.11	52.1300	2,502.24	348.13	33	1.27
		05/07/09		2	44.8100	89.62	52.1300	104.26	14.64	2	1.27
Subtotal				50		2,243.73		2,606.50	362.77	35	1.27
UNITED TECHS CORP COM	UTX	08/14/09		18	56.6861	1,020.35	78.7200	1,416.96	396.61	31	2.15
		08/28/09		20	59.5985	1,191.97	78.7200	1,574.40	382.43	34	2.15
Subtotal				38		2,212.32		2,991.36	779.04	65	2.15
VALERO ENERGY CORP NEW	VLO	04/22/10		140	19.1112	2,675.58	23.1200	3,236.80	561.22	28	.86
WAL-MART STORES INC	WMT	12/29/08		26	54.9603	1,428.97	53.9300	1,402.18	(26.79)	32	2.24
		01/08/09		10	51.2500	512.50	53.9300	539.30	26.80	13	2.24
		05/07/09		2	50.0000	100.00	53.9300	107.86	7.86	3	2.24
		08/25/09		10	51.9690	519.69	53.9300	539.30	19.61	13	2.24
		04/29/10		6	53.7883	322.73	53.9300	323.58	.85	8	2.24
Subtotal				54		2,883.89		2,912.22	28.33	69	2.24
WALGREEN CO	WAG	08/04/10		100	28.5511	2,855.11	38.9600	3,896.00	1,040.89	70	1.79
<b>TOTAL</b>						<b>61,354.74</b>		<b>72,754.85</b>	<b>11,400.11</b>	<b>1,139</b>	<b>1.57</b>

+



TOTAL MERRILL

Pam's IRA

## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010- December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
ASTON/OPTIMUM MID CAP FD CLI SYMBOL: ABMIX Initial Purchase:11/16/10 Equity 100% .4430 Fractional Share	127	3,862.00	32.3500	4,108.45	246.45	3,829	279		
FIRST EAGLE OVERSEAS CLI SYMBOL: SGOIX Initial Purchase:09/18/08 Equity 100% .0790 Fractional Share	486	9,691.08	22.9800	11,168.28	1,477.20	8,277	2,891	181	1.61
ISHARES S&P 500 VALUE INDEX FUND SYMBOL: IVE Initial Purchase:09/08/09 Equity 100%	134	7,309.96	59.5900	7,985.06	675.10	7,309	675	167	2.08
ISHARES TRUST S&P MIDCAP 400 INDEX FUND SYMBOL: IJH Initial Purchase:11/16/10 Equity 100%	45	3,835.42	90.6900	4,081.05	245.63	3,835	245	44	1.05
ISHARES S&P GLBL HEALTH CARE SECTOR INDEX FUND SYMBOL: IXJ Initial Purchase:01/22/09 Equity 100%	77	3,318.21	51.7600	3,985.52	667.31	3,318	667	88	2.20
ISHARES S&P GLB TELECOM- MUNICATIONS SECTOR INDEX SYMBOL: IXP Initial Purchase:04/21/08 Equity 100%	75	4,296.91	58.2700	4,370.25	73.34	4,296	73	186	4.23

+



Pam's IRA

24-Hour Assistance: (800) MERRILL

## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010 - December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
ISHARES MSCI EMERGING MKTS INDEX FD SYMBOL: EEM Initial Purchase:02/11/10 Equity 100%	203	7,897.75	47.6420	9,671.32	1,773.57	7,897	1,773	132	1.35
ISHARES TR DOW JONES US SYMBOL: IHI Initial Purchase:04/21/08 Equity 100%	122	6,884.03	58.9100	7,187.02	302.99	6,884	302	10	.13
ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F SYMBOL: IYW Initial Purchase:04/21/08 Equity 100%	303	14,004.18	64.3800	19,507.14	5,502.96	14,004	5,502	84	.42
KAYNE ANDERSON MLP SYMBOL: KYN Initial Purchase:08/18/10 Equity 100%	71	1,817.57	31.4700	2,234.37	416.80	1,817	416	138	6.16
PENNSYLVANIA MUTUAL FD SYMBOL: PENNX Initial Purchase:07/22/09 Equity 100%	554	4,585.21	11.6500	6,454.10	1,868.89	4,543	1,910	30	.45
.5500 Fractional Share		6.28	11.6500	6.41	.13			1	.45
SECTOR SPDR ENERGY SYMBOL: XLE Initial Purchase:11/23/10 Equity 100%	157	9,793.42	68.2500	10,715.25	921.83	9,793	921	157	1.45
SECTOR SPDR FINANCIAL SYMBOL: XLF Initial Purchase:05/10/10 Equity 100%	381	5,468.92	15.9500	6,076.95	608.03	5,468	608	60	.97

+



TOTAL MERRILL

Pam's IRA

## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010 - December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
SECTOR SPDR UTILITIES SYMBOL: XLU Initial Purchase:09/25/08 Equity 100%	257	7,654.66	31.3400	8,054.38	399.72	7,654	399	327	4.05
STANDARD&POORS DEP RCPT SYMBOL: SPY Initial Purchase:11/23/10 Equity 100%	34	4,040.22	125.7500	4,275.50	235.28	4,040	235	78	1.80
VANGUARD FTSE ALL WORLD EX US SYMBOL: VEU Initial Purchase:12/04/09 Equity 100%	305	13,599.21	47.7300	14,557.65	958.44	13,599	958	311	2.13
Subtotal (Equities)				124,454.85					
<b>TOTAL</b>		108,081.20		124,454.85	16,373.65		17,854	1,995	1.60

**Total Client Investment:** Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

**Cumulative Investment Return:** Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

**Unrealized Gain or (Loss):** Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

**Initial Purchase:** Date of your initial investment in this fund.

**Market Timing:** Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

Pam's IRA

24-Hour Assistance: (800) MERRILL

## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010 - December 31, 2010

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
<b>TOTAL</b>	180,981.47	208,755.23	27,773.76		3,180	1.52

## YOUR RETIREMENT ACCOUNT LIABILITIES

SHORTS	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
Description									
CALL F 00017.500	11/04/10	2	0.3199	63.99	0.2000	40.00	23.99		
FORD MOTOR CO NEW EXP 01-22-2011									
<b>TOTAL</b>				63.99		40.00	23.99		

## YOUR RETIREMENT ACCOUNT TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS							Income Year To Date
Date	Transaction Type	Quantity	Description	Reinvestment			
12/06	* Rpt Fgn Div		TEVA PHARMACTCL INDS ADR HOLDING 50.0000 PAY DATE 12/06/2010				9.51
12/10	* Dividend		CHEVRON CORP HOLDING 35.0000 PAY DATE 12/10/2010				25.20
12/10	* Dividend		EXXON MOBIL CORP COM HOLDING 42.0000 PAY DATE 12/10/2010				18.48
12/10	* Dividend		HONEYWELL INTL INC DEL HOLDING 61.0000 PAY DATE 12/10/2010				18.45

+

729-45374 Pam's IRA	Settled	12/22/2010	Journal Entry ASTON/OPTIMUM MID CAP FD CL I FULL SHARE ACCUM SHARE VALUE \$32.88	ABMIX	1		\$0.00
729-45374 Pam's IRA	Settled	12/30/2010	Journal Entry ASTON/OPTIMUM MID CAP FD CL I FULL SHARE ACCUM SHARE VALUE \$32.42	ABMIX	1		\$0.00
729-45374 Pam's IRA	Settled	1/12/2010	Purchase AUTONATION INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AN	58	\$20.65	-\$1,197.49
729-45374 Pam's IRA	Settled	1/12/2010	Purchase GENUINE PARTS CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GPC	26	\$38.23	-\$994.01
729-45374 Pam's IRA	Settled	1/19/2010	Purchase ITT CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ITT	28	\$50.71	-\$1,419.98
729-45374 Pam's IRA	Settled	1/20/2010	Purchase ISHARES S&P 500 VALUE INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IVE	66	\$54.98	-\$3,628.96
729-45374 Pam's IRA	Settled	1/20/2010	Purchase VANGUARD FTSE ALL WORLD EX US ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VEU	84	\$45.42	-\$3,815.11
729-45374 Pam's IRA	Settled	1/20/2010	Purchase VANGUARD MID-CAP ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VO	20	\$62.19	-\$1,243.71

Account/Nickname	Activity Status	Settle Date	Description	Symbol	Quantity	Price	Amount
729-45374 Pam's IRA	Settled	12/10/2010	Divd Reinv PENNSYLVANIA MUTUAL FD AGENT REINV AMOUNT \$29.69 REINV PRICE \$11.41000 QUANTITY BOT 2.6020 AS OF 12/09	PENNX	2		\$0.00
729-45374 Pam's IRA	Settled	12/20/2010	Divd Reinv FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT \$176.26 REINV PRICE \$22.51000 QUANTITY BOT 7.8300 AS OF 12/16	SGOIX	7		\$0.00
729-45374 Pam's IRA	Settled	12/20/2010	Divd Reinv FIRST EAGLE OVERSEAS CL I AGENT REINV AMOUNT \$99.50 REINV PRICE \$22.51000 QUANTITY BOT 4.4200 AS OF 12/16	SGOIX	4		\$0.00
729-45374 Pam's IRA	Settled	12/30/2010	Divd Reinv ASTON OPTIMUM MID CAP I AGENT REINV AMOUNT \$11.80 REINV PRICE \$32.48000 QUANTITY BOT .3630 AS OF 12/29	ABMIX			\$0.00
729-45374 Pam's IRA	Settled	12/30/2010	Divd Reinv ASTON OPTIMUM MID CAP I AGENT REINV AMOUNT \$9.57 REINV PRICE \$32.48000 QUANTITY BOT .2950 AS OF 12/29	ABMIX			\$0.00
729-45374 Pam's IRA	Settled	2/22/2010	Exerc Options CALL XME 00050.000 SPDR SER TR EXP 02-20-10 NOCC	XME1020B50	1		\$0.00
729-45374 Pam's IRA	Settled	10/18/2010	Exerc Options CALL ORCL 00025.000 ORACLE CORP SHS EXP 10-16-10 NOCC	ORCL1016J2	1		\$0.00
729-45374 Pam's IRA	Settled	11/22/2010	Exerc Options CALL XOP 00046.000 SPDR SERIES TRUST SHS EXP 11- 20-10 NOCC	XOP1020K46	1		\$0.00
729-45374 Pam's IRA	Settled	12/10/2010	Journal Entry PENNSYLVANIA MUTUAL FD FULL SHARE ACCUM SHARE VALUE \$11.52	PENNX	1		\$0.00
729-45374 Pam's IRA	Settled	12/20/2010	Journal Entry FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM SHARE VALUE \$22.55	SGOIX	1		\$0.00
729-45374 Pam's IRA	Settled	12/20/2010	Journal Entry FIRST EAGLE OVERSEAS CL I FULL SHARE ACCUM SHARE VALUE \$22.55	SGOIX	1		\$0.00

729-45374 Pam's IRA	Settled	2/8/2010	Purchase ITT CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ITT	25	\$49.74	-\$1,243.60
729-45374 Pam's IRA	Settled	2/9/2010	Purchase CALL XME 00056.000 SPDR S P METALS MINING EXP 02-20-10 CLOSE TRAN XME FEB 56.00000	XME1020B56	1	\$0.04	-\$4.00
729-45374 Pam's IRA	Settled	2/9/2010	Purchase SPDR GOLD TRUST	GLD	17	\$104.12	-\$1,770.04
729-45374 Pam's IRA	Settled	2/17/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	EEM	56	\$38.14	-\$2,135.64
729-45374 Pam's IRA	Settled	2/22/2010	Purchase CALL F 00011.000 FORD MOTOR CO NEW EXP 02-20-10 CLOSE TRAN F FEB 11.00000	F1020B11	3	\$0.30	-\$90.00
729-45374 Pam's IRA	Settled	3/2/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD	EEM	60	\$38.00	-\$2,280.00
729-45374 Pam's IRA	Settled	3/8/2010	Purchase GENL DYNAMICS CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GD	37	\$74.04	-\$2,739.54
729-45374 Pam's IRA	Settled	3/9/2010	Purchase TORCHMARK CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TMK	57	\$48.61	-\$2,770.62
729-45374 Pam's IRA	Settled	4/9/2010	Purchase LABORATORY CP AMER HLDGS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LH	37	\$77.19	-\$2,856.14

729-45374 Pam's IRA	Settled	4/9/2010	Purchase STATE STREET CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	STT	61	\$46.52	-\$2,837.56
729-45374 Pam's IRA	Settled	4/14/2010	Purchase SPDR S P OIL GAS EXPLOR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XOP	31	\$44.40	-\$1,376.38
729-45374 Pam's IRA	Settled	4/27/2010	Purchase VALERO ENERGY CORP NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VLO	147	\$19.11	-\$2,809.35
729-45374 Pam's IRA	Settled	5/4/2010	Purchase AMPHENOL CORP CL A NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APH	5	\$46.59	-\$232.94
729-45374 Pam's IRA	Settled	5/4/2010	Purchase AUTONATION INC PER THE PIA AGREEMENT ML ACTED AS AGENT	AN	15	\$20.54	-\$308.08
729-45374 Pam's IRA	Settled	5/4/2010	Purchase COCA COLA COM PER THE PIA AGREEMENT ML ACTED AS AGENT	KO	5	\$53.99	-\$269.94
729-45374 Pam's IRA	Settled	5/4/2010	Purchase FEDERATED INVESTRS B PER THE PIA AGREEMENT ML ACTED AS AGENT	FII	22	\$24.72	-\$543.81
729-45374 Pam's IRA	Settled	5/4/2010	Purchase GENL DYNAMICS CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	GD	2	\$77.71	-\$155.43
729-45374 Pam's IRA	Settled	5/4/2010	Purchase GENUINE PARTS CO PER THE PIA AGREEMENT ML ACTED AS AGENT	GPC	8	\$43.21	-\$345.68

729-45374 Pam's IRA	Settled	5/4/2010	Purchase ISHARES S&P 500 VALUE INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IVE	34	\$58.46	-\$1,987.62
729-45374 Pam's IRA	Settled	5/4/2010	Purchase ISHARES TR DOW JONES US ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IHI	24	\$59.97	-\$1,439.40
729-45374 Pam's IRA	Settled	5/4/2010	Purchase MOSAIC CO PER THE PIA AGREEMENT ML ACTED AS AGENT	MOS	2	\$51.51	-\$103.01
729-45374 Pam's IRA	Settled	5/4/2010	Purchase SECTOR SPDR UTILITIES ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLU	32	\$30.28	-\$968.95
729-45374 Pam's IRA	Settled	5/4/2010	Purchase SPDR GOLD TRUST	GLD	4	\$114.33	-\$457.32
729-45374 Pam's IRA	Settled	5/4/2010	Purchase SPDR S P METALS MINING	XME	83	\$56.87	-\$4,720.11
729-45374 Pam's IRA	Settled	5/4/2010	Purchase SPDR S P OIL GAS EXPLOR	XOP	5	\$44.50	-\$222.50
729-45374 Pam's IRA	Settled	5/4/2010	Purchase STATE STREET CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	STT	8	\$44.32	-\$354.55
729-45374 Pam's IRA	Settled	5/4/2010	Purchase VANGUARD FTSE ALL WORLD EX US ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VEU	100	\$44.11	-\$4,410.67
729-45374 Pam's IRA	Settled	5/4/2010	Purchase WAL-MART STORES INC PER THE PIA AGREEMENT ML ACTED AS AGENT	WMT	6	\$53.79	-\$322.73



729-45374 Pam's IRA	Settled	5/4/2010	Purchase WISDOMTREE EUR S/C DIV EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	DFE	36	\$37.97	-\$1,366.86
729-45374 Pam's IRA	Settled	5/7/2010	Purchase CALL EEM 00046.000 ISHARES MSCI EMERGING EXP 06- 19-10 CLOSE TRAN EEM JUN 46.00000	EEM1019F46	1	\$0.07	-\$7.00
729-45374 Pam's IRA	Settled	5/10/2010	Purchase C.H. ROBINSON WORLDWIDE, INC. NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CHRW	49	\$60.95	-\$2,986.72
729-45374 Pam's IRA	Settled	5/10/2010	Purchase JACOBS ENGN GRP INC DELA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JEC	65	\$45.70	-\$2,970.27
729-45374 Pam's IRA	Settled	5/12/2010	Purchase STANDARD&POORS DEP RCPT ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	SPY	31	\$112.62	-\$3,491.22
729-45374 Pam's IRA	Settled	5/13/2010	Purchase SECTOR SPDR FINANCIAL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLF	115	\$15.89	-\$1,826.87
729-45374 Pam's IRA	Settled	5/19/2010	Purchase CALL F 00014.000 FORD MOTOR CO NEW EXP 06-19-10 CLOSE TRAN F JUN 14.00000	F1019F14	3	\$0.10	-\$30.00
729-45374 Pam's IRA	Settled	5/20/2010	Purchase HEWLETT PACKARD CO DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HPQ	30	\$47.43	-\$1,422.90

729-45374 Pam's IRA	Settled	5/28/2010	Purchase HEWLETT PACKARD CO DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HPQ	28	\$44.41	-\$1,243.38
729-45374 Pam's IRA	Settled	5/28/2010	Purchase KIMBERLY CLARK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KMB	43	\$59.99	-\$2,579.38
729-45374 Pam's IRA	Settled	5/28/2010	Purchase SECTOR SPDR FINANCIAL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLF	124	\$13.93	-\$1,727.89
729-45374 Pam's IRA	Settled	6/1/2010	Purchase ASSURANT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AIZ	38	\$34.73	-\$1,319.89
729-45374 Pam's IRA	Settled	6/8/2010	Purchase ASSURANT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AIZ	2	\$35.94	-\$71.88
729-45374 Pam's IRA	Settled	6/8/2010	Purchase PRUDENTIAL FINANCIAL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PRU	26	\$59.82	-\$1,555.32

729-45374 Pam's IRA	Settled	6/9/2010	Purchase ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F EXECUTED ON MULTIPLE MAR PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IYW	40	\$54.73	-\$2,189.01
729-45374 Pam's IRA	Settled	6/11/2010	Purchase PRUDENTIAL FINANCIAL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PRU	3	\$54.25	-\$162.76
729-45374 Pam's IRA	Settled	6/11/2010	Purchase PRUDENTIAL FINANCIAL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PRU	14	\$54.90	-\$768.60
729-45374 Pam's IRA	Settled	6/15/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD	EEM	23	\$38.28	-\$880.43
729-45374 Pam's IRA	Settled	6/16/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	EEM	12	\$38.48	-\$461.75
729-45374 Pam's IRA	Settled	6/21/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	EEM	8	\$39.93	-\$319.44
729-45374 Pam's IRA	Settled	6/24/2010	Purchase CALL F 00013.000 FORD MOTOR CO NEW EXP 07-17-10 CLOSE TRAN F JUL 13.00000	F1017G13	2	\$0.02	-\$4.00
729-45374 Pam's IRA	Settled	7/2/2010	Purchase ASSURANT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AIZ	38	\$35.24	-\$1,338.95

729-45374 Pam's IRA	Settled	7/2/2010	Purchase ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IYW	103	\$52.59	-\$5,416.62
729-45374 Pam's IRA	Settled	7/6/2010	Purchase STANDARD&POORS DEP RCPT ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	SPY	17	\$103.40	-\$1,757.80
729-45374 Pam's IRA	Settled	7/8/2010	Purchase SECTOR SPDR FINANCIAL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLF	142	\$13.48	-\$1,914.16
729-45374 Pam's IRA	Settled	7/9/2010	Purchase CORNING INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GLW	157	\$16.66	-\$2,616.08
729-45374 Pam's IRA	Settled	7/21/2010	Purchase LOWE'S COMPANIES INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LOW	135	\$20.11	-\$2,714.76
729-45374 Pam's IRA	Settled	7/28/2010	Purchase CALL VLO 00023.000 VALERO ENERGY CORP NEW EXP 09-18-10 CLOSE TRAN VLO SEP 23.00000	VLO1018I23	1	\$0.06	-\$5.83
729-45374 Pam's IRA	Settled	8/9/2010	Purchase WALGREEN CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WAG	100	\$28.55	-\$2,855.11

729-45374 Pam's IRA	Settled	8/10/2010	Purchase COVENTRY HEALTH CARE INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CVH	134	\$21.40	-\$2,867.48
729-45374 Pam's IRA	Settled	8/10/2010	Purchase MCKESSON CORPORATION COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MCK	46	\$62.32	-\$2,866.69
729-45374 Pam's IRA	Settled	8/12/2010	Purchase HEWLETT PACKARD CO DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HPQ	10	\$43.17	-\$431.69
729-45374 Pam's IRA	Settled	8/12/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	EEM	22	\$42.37	-\$932.21
729-45374 Pam's IRA	Settled	8/12/2010	Purchase VANGUARD FTSE ALL WORLD EX US ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VEU	21	\$43.92	-\$922.24
729-45374 Pam's IRA	Settled	8/16/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD	EEM	22	\$40.38	-\$888.28
729-45374 Pam's IRA	Settled	8/16/2010	Purchase VANGUARD FTSE ALL WORLD EX US ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VEU	22	\$41.81	-\$919.75
729-45374 Pam's IRA	Settled	8/23/2010	Purchase KAYNE ANDERSON MLP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KYN	71	\$25.60	-\$1,817.57

729-45374 Pam's IRA	Settled	8/27/2010	Purchase STANDARD&POORS DEP RCPT	SPY	17	\$105.88	-\$1,799.96
729-45374 Pam's IRA	Settled	8/27/2010	Purchase STANDARD&POORS DEP RCPT ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	SPY	17	\$105.91	-\$1,800.46
729-45374 Pam's IRA	Settled	9/10/2010	Purchase CALL F 00013.000 FORD MOTOR CO NEW EXP 09-18-10 CLOSE TRAN F SEP 13.00000	F1018113	2	\$0.03	-\$6.00
729-45374 Pam's IRA	Settled	10/8/2010	Purchase HESS CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HES	11	\$60.79	-\$668.74
729-45374 Pam's IRA	Settled	10/13/2010	Purchase HESS CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HES	37	\$61.75	-\$2,284.75
729-45374 Pam's IRA	Settled	10/18/2010	Purchase CALL XOP 00044.000 SPDR S P OIL GAS EXPLOR EXP 10- 16-10 CLOSE TRAN XOP OCT 44.00000	XOP1016J44	1	\$0.65	-\$64.98
729-45374 Pam's IRA	Settled	11/8/2010	Purchase NEXTERA ENERGY INC SHS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	NEE	55	\$54.70	-\$3,008.25
729-45374 Pam's IRA	Settled	11/8/2010	Purchase PRUDENTIAL FINANCIAL INC PER THE PIA AGREEMENT ML ACTED AS AGENT	PRU	15	\$53.20	-\$798.00
729-45374 Pam's IRA	Settled	11/8/2010	Purchase QUEST DIAGNOSTICS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DGX	60	\$50.60	-\$3,036.23

729-45374 Pam's IRA	Settled	11/17/2010	Purchase ASTON/OPTIMUM MID CAP FD CL I FRAC SHR QUANTITY .786 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	ABMIX	83	\$29.48	-\$2,470.01
729-45374 Pam's IRA	Settled	11/17/2010	Purchase MARATHON OIL CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MRO	91	\$33.90	-\$3,085.30
729-45374 Pam's IRA	Settled	11/19/2010	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IJH	30	\$83.02	-\$2,490.51
729-45374 Pam's IRA	Settled	11/29/2010	Purchase SECTOR SPDR ENERGY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLE	157	\$62.38	-\$9,793.42
729-45374 Pam's IRA	Settled	11/29/2010	Purchase STANDARD&POORS DEP RCPT ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	SPY	34	\$118.83	-\$4,040.22
729-45374 Pam's IRA	Settled	12/7/2010	Purchase ASTON/OPTIMUM MID CAP FD CL I FRAC SHR QUANTITY .180 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	ABMIX	24	\$31.72	-\$766.99
729-45374 Pam's IRA	Settled	12/8/2010	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PRODUCT DESCRIPTION ENCL	IJH	9	\$88.84	-\$799.52

729-45374 Pam's IRA	Settled	12/9/2010	Purchase CISCO SYSTEMS INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CSCO	50	\$19.52	-\$976.00
729-45374 Pam's IRA	Settled	12/14/2010	Purchase JACOBS ENGN GRP INC DELA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JEC	36	\$42.20	-\$1,519.20
729-45374 Pam's IRA	Settled	12/15/2010	Purchase JACOBS ENGN GRP INC DELA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JEC	36	\$42.34	-\$1,524.15
729-45374 Pam's IRA	Settled	12/21/2010	Purchase ANADARKO PETE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APC	46	\$66.65	-\$3,065.76
729-45374 Pam's IRA	Settled	12/21/2010	Purchase ANADARKO PETE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APC	1	\$65.59	-\$65.59
729-45374 Pam's IRA	Settled	12/22/2010	Purchase ASTON/OPTIMUM MID CAP FD CL I FRAC SHR QUANTITY .819 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	ABMIX	18	\$32.84	-\$618.02



729-45374 Pam's IRA	Settled	12/27/2010	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IJH	6	\$90.90	-\$545.39
729-45374 Pam's IRA	Settled	1/11/2010	Sale MONSANTO CO NEW DEL COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MON	-30	\$82.88	\$2,486.44
729-45374 Pam's IRA	Settled	2/1/2010	Sale INTREPID POTASH INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IPI	-111	\$27.25	\$3,024.25
729-45374 Pam's IRA	Settled	2/9/2010	Sale CALL XME 00050.000 SPDR S P METALS MINING EXP 02- 20-10 OPEN TRAN XME FEB 50.00000	XME1020B50	-1	\$0.44	\$43.99
729-45374 Pam's IRA	Settled	2/24/2010	Sale FORD MOTOR CO NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	F	-39	\$11.36	\$443.03
729-45374 Pam's IRA	Settled	2/24/2010	Sale SPDR S P METALS MINING A/C CALL ZHN43 XME PER THE PIA AGREEMENT AS OF 02/19 UNSOLICITED ORDER	XME	-100	\$50.00	\$4,999.94
729-45374 Pam's IRA	Settled	2/25/2010	Sale CALL F 00014.000 FORD MOTOR CO NEW EXP 06-19-10 OPEN TRAN F JUN 14.00000	F1019F14	-3	\$0.36	\$107.99

729-45374 Pam's IRA	Settled	3/8/2010	Sale ARCHER DANIELS MIDLD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ADM	-84	\$29.84	\$2,506.45
729-45374 Pam's IRA	Settled	3/8/2010	Sale CALL EEM 00046.000 ISHARES MSCI EMERGING EXP 06- 19-10 OPEN TRAN EEM JUN 46.00000	EEM1019F46	-1	\$0.49	\$48.99
729-45374 Pam's IRA	Settled	3/8/2010	Sale GILEAD SCIENCES INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GILD	-59	\$47.49	\$2,801.70
729-45374 Pam's IRA	Settled	3/24/2010	Sale ITT CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ITT	-53	\$52.87	\$2,802.08
729-45374 Pam's IRA	Settled	4/30/2010	Sale FIRST EAGLE OVERSEAS CL I PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION..	SGOIX	-46	\$20.79	\$956.34
729-45374 Pam's IRA	Settled	4/30/2010	Sale PENNSYLVANIA MUTUAL FD PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	PENNX	-64	\$10.81	\$691.84
729-45374 Pam's IRA	Settled	5/4/2010	Sale CISCO SYSTEMS INC COM PER THE PIA AGREEMENT WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CSCO	-17	\$27.58	\$468.88
729-45374 Pam's IRA	Settled	5/4/2010	Sale EXXON MOBIL CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	XOM	-3	\$68.54	\$205.62
729-45374 Pam's IRA	Settled	5/4/2010	Sale HONEYWELL INTL INC DEL PER THE PIA AGREEMENT ML ACTED AS AGENT	HON	-14	\$48.36	\$677.05

729-45374 Pam's IRA	Settled	5/4/2010	Sale ISHARES DJ US CONSUMER GOODS SECTOR INDEX FUND PER THE PIA AGREEMENT ML ACTED AS AGENT	IYK	-10	\$59.65	\$596.51
729-45374 Pam's IRA	Settled	5/4/2010	Sale ISHARES MSCI EMU INDX FD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	EZU	-89	\$34.28	\$3,050.94
729-45374 Pam's IRA	Settled	5/4/2010	Sale ISHARES S&P GLB TELECOM- MUNICATIONS SECTOR INDEX PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IXP	-23	\$52.32	\$1,203.38
729-45374 Pam's IRA	Settled	5/4/2010	Sale ISHARES S&P GLBL HEALTH CARE SECTOR INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IXJ	-18	\$50.81	\$914.59
729-45374 Pam's IRA	Settled	5/4/2010	Sale ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IYW	-48	\$60.92	\$2,924.34
729-45374 Pam's IRA	Settled	5/4/2010	Sale MCDONALDS CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	MCD	-15	\$71.50	\$1,072.48
729-45374 Pam's IRA	Settled	5/4/2010	Sale ORACLE CORP \$0.01 DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ORCL	-24	\$25.91	\$621.83

729-45374 Pam's IRA	Settled	5/4/2010	Sale TEVA PHARMACTCL INDS ADR PER THE PIA AGREEMENT WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	TEVA	-12	\$58.33	\$699.97
729-45374 Pam's IRA	Settled	5/4/2010	Sale TORCHMARK CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	TMK	-2	\$54.87	\$109.73
729-45374 Pam's IRA	Settled	5/4/2010	Sale UNITED TECHS CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	UTX	-4	\$75.93	\$303.72
729-45374 Pam's IRA	Settled	5/4/2010	Sale VALERO ENERGY CORP NEW PER THE PIA AGREEMENT ML ACTED AS AGENT	VLO	-7	\$21.13	\$147.92
729-45374 Pam's IRA	Settled	5/4/2010	Sale VANGUARD MID-CAP ETF PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VO	-4	\$68.72	\$274.87
729-45374 Pam's IRA	Settled	5/10/2010	Sale AMPHENOL CORP CL A NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APH	-65	\$44.12	\$2,867.79
729-45374 Pam's IRA	Settled	5/10/2010	Sale INTL BUSINESS MACHINES CORP IBM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IBM	-22	\$127.25	\$2,799.50
729-45374 Pam's IRA	Settled	5/12/2010	Sale WISDOMTREE EUR S/C DIV PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DFE	-104	\$32.54	\$3,383.61
729-45374 Pam's IRA	Settled	5/26/2010	Sale FORD MOTOR CO NEW PER THE PIA AGREEMENT ML ACTED AS AGENT	F	-70	\$11.14	\$779.79

729-45374 Pam's IRA	Settled	5/28/2010	Sale ISHARES DJ US CONSUMER GOODS SECTOR INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IYK	-164	\$54.04	\$8,862.39
729-45374 Pam's IRA	Settled	6/8/2010	Sale GENUINE PARTS CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GPC	-70	\$41.07	\$2,874.90
729-45374 Pam's IRA	Settled	6/9/2010	Sale SPDR S P METALS MINING PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XME	-86	\$47.39	\$4,075.75
729-45374 Pam's IRA	Settled	6/9/2010	Sale VANGUARD MID-CAP ETF PER THE PIA AGREEMENT ML ACTED AS AGENT	VO	-114	\$60.70	\$6,919.68
729-45374 Pam's IRA	Settled	6/11/2010	Sale CALL F 00013.000 FORD MOTOR CO NEW EXP 07-17-10 OPEN TRAN F J 1JUL0 13000000	F1017G13	-2	\$0.15	\$29.75
729-45374 Pam's IRA	Settled	6/16/2010	Sale CALL VLO 00023.000 VALERO ENERGY CORP NEW EXP 09-18-10 OPEN TRAN VLO SEP 23.00000	VLO1018I23	-1	\$0.23	\$22.99
729-45374 Pam's IRA	Settled	6/21/2010	Sale AUTONATION INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AN	-148	\$21.25	\$3,145.35
729-45374 Pam's IRA	Settled	7/2/2010	Sale ISHARES S&P GLBL HEALTH CARE SECTOR INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IXJ	-76	\$45.88	\$3,486.83

729-45374 Pam's IRA	Settled	7/13/2010	Sale CALL F 00013.000 FORD MOTOR CO NEW EXP 09-18-10 OPEN TRAN F SEP 13.00000	F1018I13	-2	\$0.24	\$47.99
729-45374 Pam's IRA	Settled	7/16/2010	Sale MOSAIC CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MOS	-58	\$42.14	\$2,444.30
729-45374 Pam's IRA	Settled	8/2/2010	Sale JACOBS ENGN GRP INC DELA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES; REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JEC	-65	\$35.75	\$2,323.72
729-45374 Pam's IRA	Settled	8/9/2010	Sale ASSURANT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AIZ	-78	\$36.99	\$2,885.51
729-45374 Pam's IRA	Settled	8/9/2010	Sale TORCHMARK CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TMK	-55	\$53.08	\$2,919.40
729-45374 Pam's IRA	Settled	8/11/2010	Sale STANDARD&POORS DEP RCPT PER THE PIA AGREEMENT ML ACTED AS AGENT	SPY	-48	\$111.76	\$5,364.39
729-45374 Pam's IRA	Settled	9/8/2010	Sale CALL ORCL 00025.000 ORACLE CORP \$0.01 DEL EXP 10- 16-10 OPEN TRAN ORCL OCT 25.00000	ORCL1016J2	-1	\$0.59	\$58.99
729-45374 Pam's IRA	Settled	9/9/2010	Sale CALL XOP 00044.000 SPDR S P OIL GAS EXPLOR EXP 10- 16-10 OPEN TRAN XOP OCT 44.00000	XOP1016J44	-1	\$0.26	\$25.99

729-45374 Pam's IRA	Settled	9/21/2010	Sale STANDARD&POORS DEP RCPT PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	SPY	-34	\$112.63	\$3,829.38
729-45374 Pam's IRA	Settled	9/22/2010	Sale ORACLE CORP \$0.01 DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ORCL	-12	\$26.88	\$322.55
729-45374 Pam's IRA	Settled	10/18/2010	Sale CALL XOP 00046.000 SPDR S P OIL GAS EXPLOR EXP 11- 20-10 OPEN TRAN XOP NOV 46.00000	XOP1020K46	-1	\$0.85	\$84.97
729-45374 Pam's IRA	Settled	10/20/2010	Sale ORACLE CORP \$0.01 DEL A/C CALL ZJPU1 ORCL PER THE PIA AGREEMENT WE MAKE A MKT IN ISSUE AS OF 10/15 UNSOLICITED ORDER	ORCL	-100	\$25.00	\$2,499.96
729-45374 Pam's IRA	Settled	10/22/2010	Sale SPDR GOLD TRUST PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GLD	-21	\$130.91	\$2,749.09
729-45374 Pam's IRA	Settled	11/2/2010	Sale KIMBERLY CLARK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KMB	-43	\$62.76	\$2,698.49
729-45374 Pam's IRA	Settled	11/5/2010	Sale CALL F 00017.500 FORD MOTOR CO NEW EXP 01-22-11 OPEN TRAN F JAN 17.50000	F1122A17.5	-2	\$0.32	\$63.99

729-45374 Pam's IRA	Settled	11/8/2010	Sale COVENTRY HEALTH CARE INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CVH	-134	\$23.84	\$3,194.63
729-45374 Pam's IRA	Settled	11/8/2010	Sale GENL DYNAMICS CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GD	-39	\$68.43	\$2,668.65
729-45374 Pam's IRA	Settled	11/8/2010	Sale LABORATORY CP AMER HLDGS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LH	-37	\$82.33	\$3,046.32
729-45374 Pam's IRA	Settled	11/24/2010	Sale SPDR S P OIL GAS EXPLOR A/C CALL ZQ256 XOP PER THE PIA AGREEMENT AS OF 11/19 UNSOLICITED ORDER	XOP	-100	\$46.00	\$4,599.92
729-45374 Pam's IRA	Settled	11/26/2010	Sale SPDR S P OIL GAS EXPLOR PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XOP	-32	\$48.74	\$1,559.55
729-45374 Pam's IRA	Settled	11/30/2010	Sale CHEVRON CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CVX	-35	\$82.69	\$2,894.04
729-45374 Pam's IRA	Settled	11/30/2010	Sale STATE STREET CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	STT	-69	\$42.98	\$2,965.45



729-45374 Pam's IRA	Settled	12/3/2010	Sale EXXON MOBIL CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XOM	-42	\$69.50	\$2,918.97
729-45374 Pam's IRA	Settled	12/7/2010	Sale LOWE'S COMPANIES INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LOW	-135	\$24.92	\$3,364.44
729-45374 Pam's IRA	Settled	12/21/2010	Sale TRANSOCEAN LTD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	RIG	-43	\$70.04	\$3,011.47
<EOF>							

**DAVID PHILIP ROE**

**SCHEDULE 3**



**TOTAL MERRILL**

Online at: [www.mymerrill.com](http://www.mymerrill.com)

MLPF& S CUST FPO  
DR DAVID PHILIP ROE IRRA  
FBO DR DAVID PHILIP ROE  
2 N CROSSBOW LN  
JOHNSON CITY TN 37604-3639

24-Hour Assistance: (800) MERRILL

**Net Portfolio Value: \$295,845.10**

**Your Financial Advisor:**  
SMITH/CONKIN/HAUSMAN  
206 PRINCETON RD SUITE NO 1  
JOHNSON CITY TN 37601  
(423) 282-5191

## Alt Investments

December 01, 2010- December 31, 2010

ASSETS	December 31	November 30
Cash/Money Accounts	1,429.51	1,429.20
Fixed Income	-	-
Equities	51,800.00	50,650.00
Mutual Funds	-	-
Options	-	-
Other	-	-
Alternative Investments	242,615.59	235,973.54
Subtotal (Long Portfolio)	295,845.10	288,052.74
<b>TOTAL ASSETS</b>	<b>\$295,845.10</b>	<b>\$288,052.74</b>
<b>LIABILITIES</b>		
Debit Balance	-	-
<b>TOTAL LIABILITIES</b>	<b>-</b>	<b>-</b>
<b>NET PORTFOLIO VALUE</b>	<b>\$295,845.10</b>	<b>\$288,052.74</b>

CASH FLOW	This Statement	Year to Date
Opening Cash/Money Accounts	\$1,429.20	
<b>CREDITS</b>		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	-	-
Subtotal	-	-
<b>DEBITS</b>		
Electronic Transfers	-	-
Other Debits	-	(45,000.00)
Subtotal	-	(45,000.00)
<b>Net Cash Flow</b>	<b>-</b>	<b>(\$45,000.00)</b>
Dividends/Interest Income	0.31	9.56
Security Purchases/Debits	-	(50,000.00)
Security Sales/Credits	-	93,049.02
<b>Closing Cash/Money Accounts</b>	<b>\$1,429.51</b>	
Securities You Transferred In/Out	-	-

Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S) is a registered broker-dealer, Member Securities Investor Protection Corporation (SIPC) and a wholly owned subsidiary of Bank of America Corporation. Investment products: **Are Not FDIC Insured** **Are Not Bank Guaranteed** **May Lose Value**

+

## ACCOUNT INVESTMENT OBJECTIVE

December 01, 2010 - December 31, 2010

**TOTAL RETURN:** Objective is to strike a balance between fixed-income instruments for current income and equities for growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

## YOUR RETIREMENT ACCOUNT ASSETS

CASH/MONEY ACCOUNTS		Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
Description							
CASH		0.24	0.24		.24		
FIA CARD SERVICES NA RASP		1,429.27	1,429.27	1.0000	1,429.27	4	.25
<b>TOTAL</b>			1,429.51		1,429.51	4	.25

EQUITIES		Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Yield%
Description											
BAC CLIRN SPX		CDK	07/29/10	5,000	10.0000	50,000.00	10.3600	51,800.00	1,800.00		
SV=1101.53 S&P 500 INDX DUE JULY 27, 2012											
<b>TOTAL</b>						50,000.00		51,800.00	1,800.00		

ALTERNATIVE INVESTMENTS		Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Current Yield%
Description										
BANK OF AMERICA NA DJUBS		05/27/09	5,000	10.0000	50,000.00	12.3000	61,500.00	11,500.00		
SV=121.449 DJUBS MPCD DUE JUNE 3, 2014										
ELEMENTS - ROGERS TR		09/24/08	2,007	10.9859	22,048.84	9.2400	18,544.68	(3,504.16)		
SV=4126.81 - ROGRTR DUE OCTOBER 24, 2022										
ELEMENTS - ROGERS TR		09/24/08	301	10.9797	3,304.89	9.2400	2,781.24	(523.65)		
Subtotal			2,308		25,353.73		21,325.92	(4,027.81)		
THE ENDOWMENT TEI FUND		01/01/07	1,814	55.1040	99,958.73	56.3632	102,242.84	2,284.11		
LP Est Mkt Price as of 11/30/10										
THE ENDOWMENT TEI FUND		11/01/08	1,021	48.9421	49,969.93	56.3632	57,546.83	7,576.90		
Subtotal			2,835		149,928.66		159,789.67	9,861.01		



**TOTAL MERRILL**

Alt Investments

## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010 - December 31, 2010

<b>ALTERNATIVE INVESTMENTS (continued)</b>									
Description	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
<b>TOTAL</b>				225,282.39		242,615.59	17,333.20		
<b>LONG PORTFOLIO</b>									
			Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%	
<b>TOTAL</b>			276,711.90	295,845.10	19,133.20		3		

## YOUR RETIREMENT ACCOUNT TRANSACTIONS

<b>DIVIDENDS/INTEREST INCOME TRANSACTIONS</b>					
Date	Transaction Type	Quantity	Description	Income	Income Year To Date
	Income Total		FIA CARD SERVICES NA RASP	.31	
	Subtotal (Tax-Exempt Dividends)			.31	9.56
	<b>NET TOTAL</b>			<b>.31</b>	<b>9.56</b>

## REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	Gains/(Losses) * This Statement	Year to Date
Subtotal (Long-Term)							(7,110.33)
<b>TOTAL</b>							<b>(7,110.33)</b>

\* - Excludes transactions for which we have insufficient data

The capital gains and losses shown above may not reflect all transactions which must be reported on your 2010 tax return. These reportable transactions will appear on your January statement.

## YOUR RETIREMENT ACCOUNT CONTRIBUTIONS AND DISTRIBUTIONS

Year-End Plan Value as of December 31, 2010: \$295,845.10

Contributions after December 31, 2009 for 2009 : \$.00

+

Account/Nickname	Activity Status	Settle Date	Description	Symbol	Quantity	Price	Amount
729-12216 Alt Investments	Settled	8/9/2010	Purchase BAC CLIRN SPX SV=1101.53 S&P 500 INDX DUE JULY 27, 2012	CDK	5,000	\$10.00	-\$50,000.00
729-12216 Alt Investments	Settled	8/3/2010	Redeemed ML&CO STR ACC REDEMP SEC SV=1284.26 S&P 500 DUE AUGUST 03, 2010 SV=1284.26 S&P 500 DUE AUGUST 03, 2010 PAY DATE 08/03/2010	59023V589	-5,600		\$54,169.02
729-12216 Alt Investments	Settled	8/31/2010	Redeemed ML&CO STR ACC REDEMP SEC SV=190.876 DJAIG INDEX DUE AUGUST 31, 2010 SV=190.876 DJAIG INDEX DUE AUGUST 31, 2010 PAY DATE 08/31/2010	MLOCH	-5,000		\$38,880.00

**DAVID PHILIP ROE**

**SCHEDULE 4**



**TOTAL MERRILL**

Online at: [www.mymerrill.com](http://www.mymerrill.com)

24-Hour Assistance: (800) MERRILL

MLPF& S CUST FPO  
DR DAVID PHILIP ROE IRRA  
FBO DR DAVID PHILIP ROE  
2 N CROSSBOW LN  
JOHNSON CITY TN 37604-3639

**Net Portfolio Value:** **\$1,672,063.88**

**Your Financial Advisor:**  
SMITH/CONKIN/HAUSMAN  
206 PRINCETON RD SUITE NO 1  
JOHNSON CITY TN 37601  
(423) 282-5191

## PIA

This account is enrolled in the Personal Investment Advisory Program

December 01, 2010 - December 31, 2010

ASSETS	December 31	November 30
Cash/Money Accounts	126,206.02	125,056.66
Fixed Income	286,315.98	289,503.05
Equities	368,016.04	356,579.08
Mutual Funds	889,909.53	838,116.11
Options	-	-
Other	-	-
Subtotal (Long Portfolio)	1,670,447.57	1,609,254.90
Estimated Accrued Interest	2,674.81	2,424.81
<b>TOTAL ASSETS</b>	<b>\$1,673,122.38</b>	<b>\$1,611,679.71</b>
<b>LIABILITIES</b>		
Debit Balance	-	-
Short Market Value	(1,058.50)	(894.00)
<b>TOTAL LIABILITIES</b>	<b>(1,058.50)</b>	<b>(894.00)</b>
<b>NET PORTFOLIO VALUE</b>	<b>\$1,672,063.88</b>	<b>\$1,610,785.71</b>

CASH FLOW	This Statement	Year to Date
<b>Opening Cash/Money Accounts</b>	<b>\$125,056.66</b>	
<b>CREDITS</b>		
Funds Received	-	-
Electronic Transfers	-	-
Other Credits	33.39	45,426.17
Subtotal	33.39	45,426.17
<b>DEBITS</b>		
Electronic Transfers	-	-
Other Debits	(10,004.36)	(190,419.09)
Subtotal	(10,004.36)	(190,419.09)
<b>Net Cash Flow</b>	<b>(\$9,970.97)</b>	<b>(\$144,992.92)</b>
Dividends/Interest Income	11,184.89	41,706.93
Dividend Reinvestments	(4,643.48)	(8,139.08)
Security Purchases/Debits	(47,368.07)	(945,082.08)
Security Sales/Credits	51,946.99	959,026.18
<b>Closing Cash/Money Accounts</b>	<b>\$126,206.02</b>	
Securities You Transferred In/Out	232.29	610.48

Merrill Lynch, Pierce, Fenner & Smith Incorporated (MLPF&S) is a registered broker-dealer, Member Securities Investor Protection Corporation (SIPC) and a wholly owned subsidiary of Bank of America Corporation. Investment products:

**Are Not FDIC Insured    Are Not Bank Guaranteed    May Lose Value**



PIA

24-Hour Assistance: (800) MERRILL

## ACCOUNT INVESTMENT OBJECTIVE

December 01, 2010 - December 31, 2010

**TOTAL RETURN:** Objective is to strike a balance between fixed-income instruments for current income and equities for growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss.

If you have changes to your investment objective, please contact your Financial Advisor(s).

## ITEMS FOR ATTENTION

Security	Message	Date	Security	Message	Date
CALL JEC 00048.000	Option Expiring	01/22/11	CALL CHRW 00080.000	Option Expiring	02/19/11
CALL F 00017.500	Option Expiring	01/22/11	CALL HES 00085.000	Option Expiring	02/19/11
WAL-MART STORES	Maturing	02/15/11			

## YOUR RETIREMENT ACCOUNT ASSETS

CASH/MONEY ACCOUNTS		Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
Description							
CASH		106.70	106.70		106.70		
FIA CARD SERVICES NA RASP		126,099.32	126,099.32	1.0000	126,099.32	504	.40
<b>TOTAL</b>			126,206.02		126,206.02	504	.40

GOVERNMENT AND AGENCY SECURITIES <sup>1</sup>		Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
Description	Acquired								
FEDERAL HOME LN MTG CORP CALLABLE NOTES 03.500% OCT 14 2011 CUSIP: 3128X7FA7	05/28/09	20,000	20,960.51	101.9360	20,387.20	(573.31)	149.72	700	3.43
FEDERAL NATL MTG ASSOC CALLABLE NOTES 02.375% MAR 23 2012 CUSIP: 3136FHEV3	05/28/09	20,000	20,238.80	100.3430	20,068.60	(170.20)	129.31	475	2.36
FEDERAL HOME LOAN BANK BONDS 03.625% OCT 18 2013 CUSIP: 3133XSAE8	06/12/09	10,000	10,277.71	106.7810	10,678.10	400.39	73.51	363	3.39

+



**TOTAL MERRILL**

PIA

## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010- December 31, 2010

<b>GOVERNMENT AND AGENCY SECURITIES <sup>1</sup> (continued)</b>									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
FEDERAL HOME LOAN BANK CALLABLE BONDS 03.300% MAR 17 2016 CUSIP: 3133XXAG2	03/04/10	10,000	9,990.00	100.5120	<b>10,051.20</b>	61.20	95.33	<b>330</b>	3.28
U.S. TREASURY NOTE 3.250% MAY 31 2016 CUSIP: 912828KW9	06/11/09	8,000	7,851.25	105.4770	8,438.16	586.91	22.14	260	3.08
U.S. TREASURY NOTE Subtotal	02/12/10	6,000 14,000	6,135.94 13,987.19	105.4770	6,328.62 <b>14,766.78</b>	192.68 779.59	16.61 38.75	195 <b>455</b>	3.08
FEDERAL HOME LOAN BANK BONDS 03.500% DEC 09 2016 CUSIP: 3133XVRJ2	12/08/09	10,000	10,143.10	103.9820	<b>10,398.20</b>	255.10	21.39	<b>350</b>	3.36
FEDERAL HOME LOAN BANK CALLABLE BONDS 03.250% MAY 26 2020 CUSIP: 313370MX3	09/03/10	10,000	9,990.00	96.4600	<b>9,646.00</b>	(344.00)	31.60	<b>325</b>	3.36
<b>TOTAL</b>		<b>94,000</b>	<b>95,587.31</b>		<b>95,996.08</b>	<b>408.77</b>	<b>539.61</b>	<b>2,998</b>	<b>3.12</b>
<b>CORPORATE BONDS</b>									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
WAL-MART STORES GLB 04.125% FEB 15 2011 MOODY'S: AA2 S&P: AA CUSIP: 931142BV4	05/19/08	25,000	25,558.75	100.4240	<b>25,106.00</b>	(452.75)	389.58	<b>1,032</b>	4.10
TOYOTA MOTOR CREDIT CORP GLB 05.450% MAY 18 2011 MOODY'S: AA2 S&P: AA CUSIP: 892332AQ0	05/22/08	25,000	26,372.50	101.9470	<b>25,486.75</b>	(885.75)	162.74	<b>1,363</b>	5.34
WACHOVIA CORPORATION GLB 05.300% OCT 15 2011 MOODY'S: A1 S&P: AA- CUSIP: 929903CF7	07/23/08	20,000	18,957.20	103.6490	<b>20,729.80</b>	1,772.60	223.78	<b>1,060</b>	5.11
HEWLETT-PACKARD CO GLB 05.250% MAR 01 2012 MOODY'S: A2 S&P: A CUSIP: 428236AL7	06/05/08	25,000	25,733.75	105.1110	<b>26,277.75</b>	544.00	437.50	<b>1,313</b>	4.99

+

PIA

24-Hour Assistance: (800) MERRILL

## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010 - December 31, 2010

<b>CORPORATE BONDS</b> (continued)									
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Current Annual Income	Yield%
GENERAL ELECTRIC COMPANY GLB 05.000% FEB 01 2013 MOODY'S: AA2 S&P: AA+ CUSIP: 369604AY9	05/19/08	25,000	25,785.75	106.8970	<b>26,724.25</b>	938.50	520.83	<b>1,250</b>	4.67
E.I. DU PONT DE NEMOURS GLB 04.875% APR 30 2014 MOODY'S: A2 S&P: A CUSIP: 263534BN8	05/19/08	25,000	25,367.25	108.9060	<b>27,226.50</b>	1,859.25	203.13	<b>1,219</b>	4.47
PROCTER & GAMBLE CO GLB 04.850% DEC 15 2015 MOODY'S: AA3 S&P: AA- CUSIP: 742718BZ1	05/22/08	25,000	25,830.00	111.5190	<b>27,879.75</b>	2,049.75	53.89	<b>1,213</b>	4.34
GOLDMAN SACHS GROUP INC GLB 05.750% OCT 01 2016 MOODY'S: A1 S&P: A CUSIP: 38141GER1	06/18/10	10,000	10,505.80	108.8910	<b>10,889.10</b>	383.30	143.75	<b>575</b>	5.28
<b>TOTAL</b>		<b>180,000</b>	<b>184,111.00</b>		<b>190,319.90</b>	<b>6,208.90</b>	<b>2,135.20</b>	<b>9,025</b>	<b>4.74</b>

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

<b>EQUITIES</b>									
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income Yield%
ANADARKO PETE CORP	APC	12/16/10	10	65.5880	655.88	76.1600	761.60	105.72	4 .47
		12/16/10	220	66.6469	14,662.32	76.1600	16,755.20	2,092.88	80 .47
Subtotal			230		15,318.20		<b>17,516.80</b>	2,198.60	<b>84</b> .47
C.H. ROBINSON WORLDWIDE, INC. NEW	CHRW	05/05/10	247	60.9534	15,055.49	80.1900	<b>19,806.93</b>	4,751.44	<b>287</b> 1.44
CISCO SYSTEMS INC COM	CSCO	08/10/07	54	31.2300	1,686.42	20.2300	1,092.42	(594.00)	
		10/05/07	109	32.5600	3,549.04	20.2300	2,205.07	(1,343.97)	
		10/18/07	38	32.3100	1,227.78	20.2300	768.74	(459.04)	
		05/19/08	125	26.6580	3,332.26	20.2300	2,528.75	(803.51)	
		07/07/08	56	23.1473	1,296.25	20.2300	1,132.88	(163.37)	

+



TOTAL MERRILL

PIA

## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010- December 31, 2010

<b>EQUITIES (continued)</b> <i>Description</i>	<i>Symbol</i>	<i>Acquired</i>	<i>Quantity</i>	<i>Unit Cost Basis</i>	<i>Total Cost Basis</i>	<i>Estimated Market Price</i>	<i>Estimated Market Value</i>	<i>Unrealized Gain/(Loss)</i>	<i>Estimated Current Annual Income</i>	<i>Yield%</i>
CISCO SYSTEMS INC COM	CSCO	08/04/08	11	21.9000	240.90	20.2300	222.53	(18.37)		
		12/09/08	17	17.2000	292.40	20.2300	343.91	51.51		
		02/24/09	128	14.6599	1,876.47	20.2300	2,589.44	712.97		
		12/06/10	222	19.5200	4,333.44	20.2300	4,491.06	157.62		
<i>Subtotal</i>			760		17,834.96		<b>15,374.80</b>	(2,460.16)		
COCA COLA COM	KO	03/02/09	255	40.4890	10,324.71	65.7700	16,771.35	6,446.64	449	2.67
		08/27/09	18	48.9800	881.64	65.7700	1,183.86	302.22	32	2.67
<i>Subtotal</i>			273		11,206.35		<b>17,955.21</b>	6,748.86	<b>481</b>	2.67
CORNING INC	GLW	07/06/10	817	16.6629	13,613.59	19.3200	<b>15,784.44</b>	2,170.85	<b>184</b>	1.03
FEDERATED INVESTRS B	FII	09/23/09	240	27.1020	6,504.48	26.1700	6,280.80	(223.68)	231	3.66
		09/25/09	43	25.5525	1,098.76	26.1700	1,125.31	26.55	42	3.66
		11/03/09	249	26.7867	6,669.89	26.1700	6,516.33	(153.56)	240	3.66
		11/05/09	29	26.4075	765.82	26.1700	758.93	(6.89)	28	3.66
		04/30/10	58	24.4496	1,418.08	26.1700	1,517.86	99.78	56	3.66
<i>Subtotal</i>			619		16,457.03		<b>16,199.23</b>	(257.80)	<b>597</b>	3.66
FORD MOTOR CO NEW	F	08/14/09	175	7.8522	1,374.14	16.7900	2,938.25	1,564.11		
		08/25/09	975	7.6000	7,410.00	16.7900	16,370.25	8,960.25		
		09/18/09	204	6.9900	1,425.96	16.7900	3,425.16	1,999.20		
<i>Subtotal</i>			1,354		10,210.10		<b>22,733.66</b>	12,523.56		
HESS CORP	HES	10/05/10	56	60.7946	3,404.50	76.5400	4,286.24	881.74	23	.52
		10/07/10	188	61.7500	11,609.00	76.5400	14,389.52	2,780.52	76	.52
<i>Subtotal</i>			244		15,013.50		<b>18,675.76</b>	3,662.26	<b>99</b>	.52
HEWLETT PACKARD CO DEL	HPQ	05/17/10	151	47.4300	7,161.93	42.1000	6,357.10	(804.83)	49	.76
		05/25/10	154	44.4066	6,838.62	42.1000	6,483.40	(355.22)	50	.76
		08/09/10	38	43.1692	1,640.43	42.1000	1,599.80	(40.63)	13	.76
<i>Subtotal</i>			343		15,640.98		<b>14,440.30</b>	(1,200.68)	<b>112</b>	.76
HONEYWELL INTL INC DEL	HON	10/13/08	266	33.0194	8,783.17	53.1600	14,140.56	5,357.39	322	2.27
		12/09/08	11	27.6600	304.26	53.1600	584.76	280.50	14	2.27
		02/23/09	31	28.9200	896.52	53.1600	1,647.96	751.44	38	2.27
<i>Subtotal</i>			308		9,983.95		<b>16,373.28</b>	6,389.33	<b>374</b>	2.27

+

## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010 - December 31, 2010

<b>EQUITIES (continued)</b>									
<i>Description</i>	<i>Symbol</i>	<i>Acquired</i>	<i>Quantity</i>	<i>Unit Cost Basis</i>	<i>Total Cost Basis</i>	<i>Estimated Market Price</i>	<i>Estimated Market Value</i>	<i>Unrealized Gain/(Loss)</i>	<i>Estimated Current Annual Income Yield%</i>
JACOBS ENGN GRP INC DELA	JEC	12/09/10	173	42.2000	7,300.60	45.8500	7,932.05	631.45	
		12/10/10	178	42.3374	7,536.06	45.8500	8,161.30	625.24	
<i>Subtotal</i>			351		14,836.66		<b>16,093.35</b>	1,256.69	
MARATHON OIL CORP	MRO	11/12/10	451	33.9043	15,290.88	37.0300	<b>16,700.53</b>	1,409.65	451 2.70
MCDONALDS CORP COM	MCD	06/03/08	175	57.6145	10,082.55	76.7600	13,433.00	3,350.45	427 3.17
		07/07/08	27	57.9400	1,564.38	76.7600	2,072.52	508.14	66 3.17
		12/09/08	6	59.6600	357.96	76.7600	460.56	102.60	15 3.17
<i>Subtotal</i>			208		12,004.89		<b>15,966.08</b>	3,961.19	508 3.17
MCKESSON CORPORATION COM	MCK	08/05/10	232	62.3193	14,458.10	70.3800	<b>16,328.16</b>	1,870.06	168 1.02
NEXTERA ENERGY INC SHS	NEE	11/03/10	278	54.6955	15,205.35	51.9900	<b>14,453.22</b>	(752.13)	556 3.84
PRUDENTIAL FINANCIAL INC	PRU	06/03/10	130	59.8200	7,776.60	58.7100	7,632.30	(144.30)	150 1.95
		06/08/10	75	54.9000	4,117.50	58.7100	4,403.25	285.75	87 1.95
		06/08/10	14	54.2528	759.54	58.7100	821.94	62.40	17 1.95
		11/03/10	68	53.2000	3,617.60	58.7100	3,992.28	374.68	79 1.95
<i>Subtotal</i>			287		16,271.24		<b>16,849.77</b>	578.53	333 1.95
QUEST DIAGNOSTICS INC	DGX	11/03/10	301	50.6037	15,231.74	53.9700	<b>16,244.97</b>	1,013.23	121 .74
TEVA PHARMACTCL INDS ADR	TEVA	06/24/08	214	44.8772	9,603.73	52.1300	11,155.82	1,552.09	143 1.27
		07/07/08	30	43.8600	1,315.80	52.1300	1,563.90	248.10	21 1.27
		12/09/08	7	42.1700	295.19	52.1300	364.91	69.72	5 1.27
		06/17/10	4	53.8450	215.38	52.1300	208.52	(6.86)	3 1.27
<i>Subtotal</i>			255		11,430.10		<b>13,293.15</b>	1,863.05	172 1.27
UNITED TECHS CORP COM	UTX	08/14/09	82	56.6860	4,648.26	78.7200	6,455.04	1,806.78	140 2.15
		08/28/09	113	59.5984	6,734.62	78.7200	8,895.36	2,160.74	193 2.15
<i>Subtotal</i>			195		11,382.88		<b>15,350.40</b>	3,967.52	333 2.15
VALERO ENERGY CORP NEW	VLO	04/22/10	703	19.1112	13,435.18	23.1200	16,253.36	2,818.18	141 .86
		06/23/10	57	18.1264	1,033.21	23.1200	1,317.84	284.63	12 .86
<i>Subtotal</i>			760		14,468.39		<b>17,571.20</b>	3,102.81	153 .86



**TOTAL MERRILL**

PIA

## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010- December 31, 2010

<b>EQUITIES (continued)</b>									
Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income Yield%
WAL-MART STORES INC	WMT	11/06/08	196	53.7961	10,544.04	53.9300	10,570.28	26.24	238 2.24
		12/09/08	5	55.2000	276.00	53.9300	269.65	(6.35)	7 2.24
		01/08/09	23	51.2500	1,178.75	53.9300	1,240.39	61.64	28 2.24
		08/25/09	48	51.9689	2,494.51	53.9300	2,588.64	94.13	59 2.24
Subtotal			272		14,493.30		14,668.96	175.66	332 2.24
WALGREEN CO	WAG	08/04/10	504	28.5510	14,389.75	38.9600	19,635.84	5,246.09	353 1.79
<b>TOTAL</b>					309,797.43		368,016.04	58,218.61	5,678 1.54

<b>MUTUAL FUNDS/CLOSED END FUNDS/UIT</b>									
Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2	1,331	12,450.05	11.2700	15,000.37	2,550.32	10,800	4,200	1,106	7.37
SYMBOL: AHIFX Initial Purchase:05/12/09									
Fixed Income 100%									
.1580 Fractional Share		1.77	11.2700	1.78	.01			1	7.37
ASTON/OPTIMUM MID CAP FD CL I	614	18,634.68	32.3500	19,862.90	1,228.22	18,537	1,325		
SYMBOL: ABMIX Initial Purchase:11/16/10									
Equity 100%									
.3190 Fractional Share		10.36	32.3500	10.32	(0.04)				
BLACKROCK INFLATION PROTECTED BD FD INST CL	2,516	27,222.36	10.8900	27,399.24	176.88	25,856	1,542	501	1.82
SYMBOL: BPRIX Initial Purchase:08/20/09									
Fixed Income 100%									
.9330 Fractional Share		10.13	10.8900	10.16	.03			1	1.82
FIRST EAGLE OVERSEAS CL I	2,417	49,327.68	22.9800	55,542.66	6,214.98	41,359	14,182	899	1.61
SYMBOL: SGOIX Initial Purchase:07/28/08									

+

PIA

24-Hour Assistance: (800) MERRILL

## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010 - December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
Equity 100%									
.9110 Fractional Share		20.51	22.9800	20.93	.42			1	1.61
GUGGENHEIM BULLETSHARES 2016 CORPORATE BOND ETF SYMBOL: BSCG Initial Purchase:09/16/10 Fixed Income 100%	677	14,253.22	20.6700	13,993.59	(259.63)	14,253	(259)	416	2.96
ISHARES S&P 500 VALUE INDEX FUND SYMBOL: IVE Initial Purchase:09/08/09 Equity 100%	677	36,545.08	59.5900	40,342.43	3,797.35	36,545	3,797	841	2.08
ISHARES TRUST S&P MIDCAP 400 INDEX FUND SYMBOL: IJH Initial Purchase:11/16/10 Equity 100%	219	18,649.27	90.6900	19,861.11	1,211.84	18,649	1,211	210	1.05
ISHARES S&P GLBL HEALTH CARE SECTOR INDEX FUND SYMBOL: IXJ Initial Purchase:01/22/09 Equity 100%	392	16,980.04	51.7600	20,289.92	3,309.88	16,980	3,309	447	2.20
ISHARES S&P GLB TELECOM- MUNICATIONS SECTOR INDEX SYMBOL: IXP Initial Purchase:07/07/08 Equity 100%	374	16,876.41	58.2700	21,792.98	4,916.57	16,876	4,916	923	4.23
ISHARES MSCI EMERGING MKTS INDEX FD SYMBOL: EEM Initial Purchase:02/11/10	1,043	40,483.28	47.6420	49,690.61	9,207.33	40,483	9,207	674	1.35

+



TOTAL MERRILL

PIA

## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010- December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
Equity 100%									
ISHARES BARCLAYS AGGRGT AGGREGATE BD FUND SYMBOL: AGG Initial Purchase:01/23/09 Fixed Income 100%	247	25,046.53	105.7500	26,120.25	1,073.72	25,046	1,073	911	3.48
ISHARES TR DOW JONES US SYMBOL: IHI Initial Purchase:03/05/07 Equity 100%	610	33,755.40	58.9100	35,935.10	2,179.70	33,755	2,179	50	.13
ISHARES BARCLAYS INTERME SYMBOL: CIU Initial Purchase:05/12/10 Fixed Income 100%	138	14,368.82	105.1800	14,514.84	146.02	14,368	146	604	4.16
ISHARES IBOX\$ HIGH YEL CORPORATE BOND FUND SYMBOL: HYG Initial Purchase:06/29/10 Fixed Income 100%	159	13,513.98	90.2900	14,356.11	842.13	13,513	842	1,185	8.25
ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F SYMBOL: IYW Initial Purchase:07/07/08 Equity 100%	1,546	68,678.66	64.3800	99,531.48	30,852.82	68,678	30,852	426	.42
KAYNE ANDERSON MLP SYMBOL: KYN Initial Purchase:08/18/10 Equity 100%	813	20,970.81	31.4700	25,585.11	4,614.30	20,970	4,614	1,578	6.16
LORD ABBETT FLOATING RATE FUND CL F	1,003	9,296.17	9.3200	9,347.96	51.79	8,927	420	498	5.32

+



PIA

24-Hour Assistance: (800) MERRILL

## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010 - December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
SYMBOL: LFRFX Initial Purchase:03/29/10 Fixed Income 100% .3530 Fractional Share		3.28	9.3200	3.29	.01			1	5.32
OPPENHEIMER INTERNATIONAL BOND FD Y SYMBOL: OIBYX Initial Purchase:07/07/08 Fixed Income 100% .3640 Fractional Share	2,688	17,245.54	6.5600	17,633.28	387.74	13,608	4,024	777	4.40
PENNSYLVANIA MUTUAL FD SYMBOL: PENNX Initial Purchase:07/22/09 Equity 100% .1190 Fractional Share	2,732	22,732.70	11.6500	31,827.80	9,095.10	22,549	9,278	145	.45
PIMCO TOTAL RETURN FUND CL P SYMBOL: PTPPX Initial Purchase:05/21/10 Fixed Income 100% .1210 Fractional Share	1,058	11,764.58	10.8500	11,479.30	(285.28)	11,029	449	361	3.14
POWERSHARES BUILD AMERIC BOND ETF SYMBOL: BAB Initial Purchase:02/12/10 Fixed Income 100%	849	21,133.65	25.0800	21,292.92	159.27	21,133	159	1,254	5.88
SECTOR SPDR ENERGY SYMBOL: XLE Initial Purchase:11/23/10 Equity 100%	779	48,592.85	68.2500	53,166.75	4,573.90	48,592	4,573	776	1.45
SECTOR SPDR FINANCIAL	1,978	28,394.34	15.9500	31,549.10	3,154.76	28,394	3,154	309	.97

+



TOTAL MERRILL

PIA

## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010-December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
SYMBOL: XLF Initial Purchase:05/10/10 Equity 100%									
SECTOR SPDR UTILITIES SYMBOL: XLU Initial Purchase:09/25/08 Equity 100%	1,280	38,091.52	31.3400	40,115.20	2,023.68	38,091	2,023	1,626	4.05
SPDR BARCLAYS CAPTL CONV SYMBOL: CWB Initial Purchase:09/11/09 Fixed Income 24% Equity 76%	473	17,465.60	41.0500	19,416.65	1,951.05	17,465	1,951	834	4.29
SPDR DB INTL GOV INFL SYMBOL: WIP Initial Purchase:05/19/08 Fixed Income 100%	324	19,289.13	58.1100	18,827.64	(461.49)	19,289	(461)	444	2.35
STANDARD&POORS DEP RCPT SYMBOL: SPY Initial Purchase:11/23/10 Equity 100%	165	19,606.95	125.7500	20,748.75	1,141.80	19,606	1,141	374	1.80
SUNAMERICA SENIOR FLOATING RATE FUND CL A SYMBOL: SASFX Initial Purchase:05/24/10 Fixed Income 100% .8220 Fractional Share	1,056	8,522.75	8.2700	8,733.12	210.37	8,336	396	363	4.14
		6.70	8.2700	6.80	.10			1	4.14
TCW TOTAL RETURN BOND FD CL I SYMBOL: TGLMX Initial Purchase:06/29/10 Fixed Income 100% .7240 Fractional Share	1,411	14,435.91	9.9200	13,997.12	(438.79)	13,547	449	1,073	7.66
		7.17	9.9200	7.18	.01			1	7.66

+

## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010 - December 31, 2010

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
TEMPLETON GLBL BOND FD ADV CL SYMBOL: TGBAX Initial Purchase:06/30/09 Fixed Income 100% .9840 Fractional Share	1,288	15,657.76	13.5600	17,465.28	1,807.52	14,467	2,998	790	4.52
		13.24	13.5600	13.34	.10			1	4.52
VANGUARD FTSE ALL WORLD EX US SYMBOL: VEU Initial Purchase:12/04/09 Equity 100%	1,559	69,587.08	47.7300	74,411.07	4,823.99	69,587	4,823	1,589	2.13
Subtotal (Fixed Income)				234,867.27					
Subtotal (Equities)				655,042.26					
<b>TOTAL</b>		789,651.01		889,909.53	100,258.52		118,513	21,994	2.47

**Total Client Investment:** Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

**Cumulative Investment Return:** Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

**Market Timing:** Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

**Unrealized Gain or (Loss):** Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

**Initial Purchase:** Date of your initial investment in this fund.



TOTAL MERRILL

PIA

## YOUR RETIREMENT ACCOUNT ASSETS

December 01, 2010 - December 31, 2010

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
<b>TOTAL</b>	<b>1,505,352.77</b>	<b>1,670,447.57</b>	<b>165,094.80</b>	<b>2,674.81</b>	<b>40,199</b>	<b>2.41</b>

## Notes

\* Some agency securities are not backed by the full faith and credit of the United States government.

For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

## YOUR RETIREMENT ACCOUNT LIABILITIES

SHORTS	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
CALL CHRW 00080.000 C.H. ROBINSON WORLDWIDE, EXP 02-19-2011	11/22/10	2	0.5999	119.99	2.8600	572.00	(452.01)		
CALL JEC 00048.000 JACOBS ENGN GRP INC DELA EXP 01-22-2011	12/22/10	3	0.9499	284.99	0.3750	112.50	172.49		
CALL F 00017.500 FORD MOTOR CO NEW EXP 01-22-2011	11/04/10	13	0.3199	415.99	0.2000	260.00	155.99		
CALL HES 00085.000 HESS CORP EXP 02-19-2011	12/01/10	2	0.7899	157.99	0.5700	114.00	43.99		
<b>TOTAL</b>				<b>978.96</b>		<b>1,058.50</b>	<b>(79.54)</b>		

+

729-12217 PIA	Settled	1/12/2010	Purchase AUTONATION INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AN	313	\$20.65	-\$6,462.29
729-12217 PIA	Settled	1/12/2010	Purchase GENUINE PARTS CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GPC	151	\$38.23	-\$5,772.88
729-12217 PIA	Settled	1/19/2010	Purchase ITT CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ITT	150	\$50.71	-\$7,607.06
729-12217 PIA	Settled	1/20/2010	Purchase ISHARES S&P 500 VALUE INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IVE	348	\$54.98	-\$19,134.54
729-12217 PIA	Settled	1/20/2010	Purchase VANGUARD FTSE ALL WORLD EX US ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VEU	456	\$45.42	-\$20,710.61
729-12217 PIA	Settled	1/20/2010	Purchase VANGUARD MID-CAP ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VO	76	\$62.19	-\$4,726.09
729-12217 PIA	Settled	1/26/2010	Purchase CALL IPI 00038.000 INTREPID POTASH INC EXP 02- 20-10 CLOSE TRAN IPI FEB 38.00000	IPI1020B	6	\$0.05	-\$30.00

729-12217 PIA	Settled	2/8/2010	Purchase ITT CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ITT	141	\$49.74	-\$7,013.88
729-12217 PIA	Settled	2/9/2010	Purchase CALL XME 00056.000 SPDR S P METALS MINING EXP 02-20-10 CLOSE TRAN XME FEB 56.00000	XME1020	6	\$0.04	-\$24.00
729-12217 PIA	Settled	2/9/2010	Purchase SPDR GOLD TRUST	GLD	94	\$104.12	-\$9,787.27
729-12217 PIA	Settled	2/10/2010	Purchase CALL MOS 00070.000 MOSAIC CO EXP 02-20-10 CLOSE TRAN MOS FEB 70.00000	MOS1020	3	\$0.05	-\$15.00
729-12217 PIA	Settled	2/16/2010	Purchase CALL RIG 00095.000 TRANSOCEAN LTD EXP 02-20- 10 CLOSE TRAN RIG FEB 95.00000	RIG1020	2	\$0.03	-\$6.00
729-12217 PIA	Settled	2/16/2010	Purchase U.S. TREASURY NOTE 3.250% MAY 31 2016 PER THE PIA AGREEMENT YLD TO MATURITY 2.85% MATURITY DATE 5/31/16. 78 DAYS INTEREST	912828K	6,000	\$102.27	-\$6,177.73
729-12217 PIA	Settled	2/16/2010	Purchase U.S. TREASURY NOTE 3.625% AUG 15 2019 PER THE PIA AGREEMENT YLD TO MATURITY 3.64% MATURITY DATE 8/15/19. 1 DAYS INTEREST	912828L	6,000	\$99.80	-\$5,988.88
729-12217 PIA	Settled	2/17/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	EEM	316	\$38.14	-\$12,051.13
729-12217 PIA	Settled	2/18/2010	Purchase POWERSHARES BUILD AMERIC BOND ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	BAB	352	\$24.59	-\$8,656.84

729-12217 PIA	Settled	2/22/2010	Purchase CALL F 00011.000 FORD MOTOR CO NEW EXP 02- 20-10 CLOSE TRAN F FEB 11.00000	F1020B1	20	\$0.30	-\$600.00
729-12217 PIA	Settled	3/2/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD	EEM	329	\$38.00	-\$12,502.00
729-12217 PIA	Settled	3/8/2010	Purchase GENL DYNAMICS CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GD	200	\$74.04	-\$14,808.34
729-12217 PIA	Settled	3/9/2010	Purchase TORCHMARK CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TMK	309	\$48.61	-\$15,019.69
729-12217 PIA	Settled	3/17/2010	Purchase FEDERAL HOME LOAN BANK CALLABLE BONDS 03.300% MAR 17 2016 CALLABLE-MAY AFFECT YIEL YLD TO MATURITY 3.31% MATURITY DATE 3/17/16. YLD TO CURR CALL 3.40% CURR CALL DATE 3/17/11 CURR CALL PRICE \$100.00. INTEREST FROM 03/17/10 FIRST COUPON 09/17/10	3133XXA	10,000	\$99.90	-\$9,990.00
729-12217 PIA	Settled	3/22/2010	Purchase BLACKROCK INFLATION PROTECTED BD FD INST CL FRAC SHR QUANTITY .349 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR U SEPARATE COVER	BPRIX	565	\$10.88	-\$6,151.00
729-12217 PIA	Settled	3/30/2010	Purchase LORD ABBETT FLOATING RATE FUND CL F FRAC SHR QUANTITY .107 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR U SEPARATE COVER	LFRFX	963	\$9.27	-\$8,928.00

729-12217 PIA	Settled	4/1/2010	Purchase POWERSHARES BUILD AMERIC BOND ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	BAB	366	\$24.86	-\$9,098.36
729-12217 PIA	Settled	4/9/2010	Purchase LABORATORY CP AMER HLDGS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LH	195	\$77.19	-\$15,052.65
729-12217 PIA	Settled	4/9/2010	Purchase LABORATORY CP AMER HLDGS PER THE PIA AGREEMENT ML ACTED AS AGENT	LH	5	\$77.19	-\$385.95
729-12217 PIA	Settled	4/9/2010	Purchase STATE STREET CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	STT	324	\$46.52	-\$15,071.64
729-12217 PIA	Settled	4/12/2010	Purchase ISHARES BARCLAYS MBS BON FUND ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	MBB	7	\$106.35	-\$744.45
729-12217 PIA	Settled	4/12/2010	Purchase SPDR DB INTL GOV INFL	WIP	31	\$55.53	-\$1,721.43
729-12217 PIA	Settled	4/14/2010	Purchase SPDR S P OIL GAS EXPLOR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XOP	144	\$44.40	-\$6,393.50
729-12217 PIA	Settled	4/16/2010	Purchase BLACKROCK INFLATION PROTECTED BD FD INST CL FRAC SHR QUANTITY .956 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR U SEPARATE COVER	BPRIX	570	\$10.88	-\$6,212.00



729-12217 PIA	Settled	4/20/2010	Purchase CALL MOS 00070.000 MOSAIC CO EXP 05-22-10 CLOSE TRAN MOS MAY 70.00000	MOS102	3	\$0.10	-\$30.00
729-12217 PIA	Settled	4/27/2010	Purchase VALERO ENERGY CORP NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VLO	775	\$19.11	-\$14,811.18
729-12217 PIA	Settled	5/4/2010	Purchase CALL RIG 00095.000 TRANSOCEAN LTD EXP 05-22- 10 CLOSE TRAN RIG MAY 95.00000	RIG1022	2	\$0.09	-\$18.00
729-12217 PIA	Settled	5/4/2010	Purchase VANGUARD FTSE ALL WORLD EX US ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VEU	442	\$44.11	-\$19,495.16
729-12217 PIA	Settled	5/5/2010	Purchase AUTONATION INC PER THE PIA AGREEMENT ML ACTED AS AGENT	AN	28	\$20.17	-\$564.74
729-12217 PIA	Settled	5/5/2010	Purchase EXXON MOBIL CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	XOM	9	\$68.53	-\$616.75
729-12217 PIA	Settled	5/5/2010	Purchase FEDERATED INVESTRS B PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	FII	58	\$24.45	-\$1,418.08
729-12217 PIA	Settled	5/5/2010	Purchase GENUINE PARTS CO PER THE PIA AGREEMENT ML ACTED AS AGENT	GPC	1	\$43.12	-\$43.12
729-12217 PIA	Settled	5/5/2010	Purchase ISHARES S&P 500 VALUE INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IVE	129	\$57.75	-\$7,449.48

729-12217 PIA	Settled	5/5/2010	Purchase ISHARES TR DOW JONES US EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IHI	75	\$60.08	-\$4,506.11
729-12217 PIA	Settled	5/5/2010	Purchase SPDR GOLD TRUST ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	GLD	12	\$115.51	-\$1,386.10
729-12217 PIA	Settled	5/5/2010	Purchase SPDR S P METALS MINING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XME	436	\$55.95	-\$24,392.33
729-12217 PIA	Settled	5/5/2010	Purchase STATE STREET CORP PER THE PIA AGREEMENT ML ACTED AS AGENT	STT	22	\$43.80	-\$963.57
729-12217 PIA	Settled	5/5/2010	Purchase VANGUARD FTSE ALL WORLD EX US	VEU	16	\$43.73	-\$699.67
729-12217 PIA	Settled	5/5/2010	Purchase WISDOMTREE EUR S/C DIV EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	DFE	124	\$37.86	-\$4,694.64
729-12217 PIA	Settled	5/7/2010	Purchase CALL EEM 00046.000 ISHARES MSCI EMERGING EXP 06-19-10 CLOSE TRAN EEM JUN 46.00000	EEM1019	6	\$0.07	-\$42.00
729-12217 PIA	Settled	5/10/2010	Purchase C.H. ROBINSON WORLDWIDE, INC. NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CHRW	247	\$60.95	-\$15,055.49

729-12217 PIA	Settled	5/10/2010	Purchase JACOBS ENGN GRP INC DELA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JEC	329	\$45.70	-\$15,034.12
729-12217 PIA	Settled	5/12/2010	Purchase STANDARD&POORS DEP RCPT ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	SPY	159	\$112.62	-\$17,906.58
729-12217 PIA	Settled	5/13/2010	Purchase SECTOR SPDR FINANCIAL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLF	594	\$15.89	-\$9,436.17
729-12217 PIA	Settled	5/17/2010	Purchase ISHARES BARCLAYS INTERME ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	CIU	138	\$104.12	-\$14,368.82
729-12217 PIA	Settled	5/19/2010	Purchase CALL F 00014.000 FORD MOTOR CO NEW EXP 06- 19-10 CLOSE TRAN F JUN 14.00000	F1019F1	18	\$0.10	-\$180.00
729-12217 PIA	Settled	5/20/2010	Purchase HEWLETT PACKARD CO DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HPQ	151	\$47.43	-\$7,161.93
729-12217 PIA	Settled	5/24/2010	Purchase PIMCO TOTAL RETURN FUND CL P FRAC SHR QUANTITY .734 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	PTTPX	991	\$11.13	-\$11,038.00
729-12217 PIA	Settled	5/26/2010	Purchase CALL MOS 00060.000 MOSAIC CO EXP 06-19-10 CLOSE TRAN MOS JUN 60.00000	MOS101	2	\$0.06	-\$11.68

729-12217 PIA	Settled	5/27/2010	Purchase SUNAMERICA SENIOR FLOATING RATE FUND CL A FRAC SHR QUANTITY .333 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER SALES CHG PD \$0.00 SALES CHG % 0.000	SASFX	1,033	\$8.07	-\$8,339.00
729-12217 PIA	Settled	5/28/2010	Purchase HEWLETT PACKARD CO DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HPQ	154	\$44.41	-\$6,838.62
729-12217 PIA	Settled	5/28/2010	Purchase KIMBERLY CLARK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KMB	227	\$59.99	-\$13,616.73
729-12217 PIA	Settled	5/28/2010	Purchase SECTOR SPDR FINANCIAL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLFX	664	\$13.93	-\$9,252.57
729-12217 PIA	Settled	6/1/2010	Purchase ASSURANT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AIZ	198	\$34.73	-\$6,877.33
729-12217 PIA	Settled	6/2/2010	Purchase CALL RIG 00075.000 TRANSOCEAN LTD EXP 07-17 10 CLOSE TRAN RIG JUL 75.00000	RIG1017	2	\$0.20	-\$40.00
729-12217 PIA	Settled	6/2/2010	Purchase POWERSHARES BUILD AMERIC BOND ETF EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	BAB	131	\$25.79	-\$3,378.45

729-12217 PIA	Settled	6/8/2010	Purchase ASSURANT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AIZ	4	\$35.94	-\$143.76
729-12217 PIA	Settled	6/8/2010	Purchase PRUDENTIAL FINANCIAL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PRU	130	\$59.82	-\$7,776.60
729-12217 PIA	Settled	6/9/2010	Purchase ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F EXECUTED ON MULTIPLE MAR PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IYW	201	\$54.73	-\$10,999.77
729-12217 PIA	Settled	6/11/2010	Purchase PRUDENTIAL FINANCIAL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PRU	75	\$54.90	-\$4,117.50
729-12217 PIA	Settled	6/11/2010	Purchase PRUDENTIAL FINANCIAL INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	PRU	14	\$54.25	-\$759.54
729-12217 PIA	Settled	6/15/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD	EEM	117	\$38.28	-\$4,478.69

729-12217 PIA	Settled	6/16/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	EEM	59	\$38.48	-\$2,270.26
729-12217 PIA	Settled	6/18/2010	Purchase CALL JEC 00055.000 JACOBS ENGN GRP INC DELA EXP 07-17-10 CLOSE TRAN JEC JUL 55.00000	JEC1017	3	\$0.08	-\$24.66
729-12217 PIA	Settled	6/21/2010	Purchase CALL RIG 00055.000 TRANSOCEAN LTD EXP 07-17- 10 CLOSE TRAN RIG JUL 55.00000	RIG1017	2	\$2.28	-\$456.72
729-12217 PIA	Settled	6/22/2010	Purchase TEVA PHARMACTCL INDS ADR PER THE PIA AGREEMENT WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	TEVA	4	\$53.85	-\$215.38
729-12217 PIA	Settled	6/23/2010	Purchase GOLDMAN SACHS GROUP INC GLB 05.750% OCT 01 2016 RATINGS ARE SUBJ. TO CHG PER THE PIA AGREEMENT MOODYS A1 S&P A YLD TO MATURITY 4.80% MATURITY DATE 10/01/16. 82 DAYS INTEREST	GS16A	10,000	\$105.06	-\$10,636.77
729-12217 PIA	Settled	6/24/2010	Purchase CALL F 00013.000 FORD MOTOR CO NEW EXP 07- 17-10 CLOSE TRAN F JUL 13.00000	F1017G1	13	\$0.02	-\$26.00
729-12217 PIA	Settled	6/28/2010	Purchase VALERO ENERGY CORP NEW PER THE PIA AGREEMENT ML ACTED AS AGENT	VLO	57	\$18.13	-\$1,033.21
729-12217 PIA	Settled	6/30/2010	Purchase AMER FUNDS AMERICAN HIGH INCOME TRUST FD CL F2 FRAC SHR QUANTITY .396 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	AHIFX	34	\$10.67	-\$367.01
729-12217 PIA	Settled	6/30/2010	Purchase TCW TOTAL RETURN BOND FD CL I FRAC SHR QUANTITY .824 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	TGLMX	663	\$10.20	-\$6,771.00

729-12217 PIA	Settled	7/2/2010	Purchase ASSURANT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AIZ	196	\$35.24	-\$6,906.18
729-12217 PIA	Settled	7/2/2010	Purchase ISHARES IBOXX\$ HIGH YIEL CORPORATE BOND FUND ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	HYG	159	\$84.99	-\$13,513.98
729-12217 PIA	Settled	7/2/2010	Purchase ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IYW	528	\$52.59	-\$27,766.73
729-12217 PIA	Settled	7/6/2010	Purchase STANDARD&POORS DEP RCPT ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	SPY	86	\$103.40	-\$8,892.39
729-12217 PIA	Settled	7/8/2010	Purchase CALL PRU 00065.000 PRUDENTIAL FINANCIAL INC EXP 07-17-10 CLOSE TRAN PRU JUL 65.00000	PRU1017	2	\$0.06	-\$12.24
729-12217 PIA	Settled	7/8/2010	Purchase SECTOR SPDR FINANCIAL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLF	720	\$13.48	-\$9,705.60
729-12217 PIA	Settled	7/9/2010	Purchase CORNING INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GLW	817	\$16.66	-\$13,613.59

729-12217 PIA	Settled	7/21/2010	Purchase CALL JEC 00046.000 JACOBS ENGN GRP INC DELA EXP 08-21-10 CLOSE TRAN JEC AUG 46.00000	JEC1021	3	\$0.05	-\$15.00
729-12217 PIA	Settled	7/21/2010	Purchase LOWE'S COMPANIES INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LOW	683	\$20.11	-\$13,734.65
729-12217 PIA	Settled	7/22/2010	Purchase CALL RIG 00060.000 TRANSOCEAN LTD EXP 08-21- 10 CLOSE TRAN RIG AUG 60.00000	RIG1021	2	\$0.25	-\$50.00
729-12217 PIA	Settled	7/28/2010	Purchase CALL VLO 00023.000 VALERO ENERGY CORP NEW EXP 09-18-10 CLOSE TRAN VLO SEP 23.00000	VLO1018	7	\$0.06	-\$40.81
729-12217 PIA	Settled	8/5/2010	Purchase CALL TMK 00060.000 TORCHMARK CORP COM EXP 11-20-10 CLOSE TRAN TMK NOV 60.00000	TMK1020	2	\$0.87	-\$174.80
729-12217 PIA	Settled	8/9/2010	Purchase WALGREEN CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WAG	504	\$28.55	-\$14,389.75
729-12217 PIA	Settled	8/10/2010	Purchase COVENTRY HEALTH CARE INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CVH	673	\$21.40	-\$14,401.59



729-12217 PIA	Settled	8/10/2010	Purchase MCKESSON CORPORATION COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MCK	232	\$62.32	-\$14,458.10
729-12217 PIA	Settled	8/12/2010	Purchase HEWLETT PACKARD CO DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HPQ	38	\$43.17	-\$1,640.43
729-12217 PIA	Settled	8/12/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	EEM	109	\$42.37	-\$4,618.66
729-12217 PIA	Settled	8/12/2010	Purchase VANGUARD FTSE ALL WORLD EX US ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VEU	105	\$43.92	-\$4,611.22
729-12217 PIA	Settled	8/16/2010	Purchase BLACKROCK INFLATION PROTECTED BD FD INST CL FRAC SHR QUANTITY .293 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	BPRIX	177	\$11.23	-\$1,991.00
729-12217 PIA	Settled	8/16/2010	Purchase ISHARES MSCI EMERGING MKTS INDEX FD	EEM	113	\$40.38	-\$4,562.54
729-12217 PIA	Settled	8/16/2010	Purchase VANGUARD FTSE ALL WORLD EX US ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	VEU	109	\$41.81	-\$4,556.94

729-12217 PIA	Settled	8/19/2010	Purchase TCW TOTAL RETURN BOND FD CL I FRAC SHR QUANTITY .019 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	TGLMX	660	\$10.28	-\$6,785.00
729-12217 PIA	Settled	8/23/2010	Purchase KAYNE ANDERSON MLP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KYN	358	\$25.60	-\$9,164.66
729-12217 PIA	Settled	8/24/2010	Purchase KAYNE ANDERSON MLP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KYN	236	\$25.71	-\$6,068.22
729-12217 PIA	Settled	8/27/2010	Purchase STANDARD&POORS DEP RCPT	SPY	85	\$105.88	-\$8,999.80
729-12217 PIA	Settled	8/27/2010	Purchase STANDARD&POORS DEP RCPT ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	SPY	85	\$105.91	-\$9,002.30
729-12217 PIA	Settled	9/1/2010	Purchase CALL PRU 00065.000 PRUDENTIAL FINANCIAL INC EXP 09-18-10 CLOSE TRAN PRU SEP 65.00000	PRU1018	2	\$0.05	-\$10.00
729-12217 PIA	Settled	9/1/2010	Purchase CALL RIG 00070.000 TRANSOCEAN LTD EXP 09-18- 10 CLOSE TRAN RIG SEP 70.00000	RIG1018	2	\$0.06	-\$12.00

729-12217 PIA	Settled	9/7/2010	Purchase FEDERAL HOME LOAN BANK CALLABLE BONDS 03.250% MAY 26 2020 CALLABLE-MAY AFFECT YIEL ENCLSR PREVIOUSLY MAILED YLD TO MATURITY 3.26% MATURITY DATE 5/26/20. YLD TO CURR CALL 3.35% CURR CALL DATE 8/26/11 CURR CALL PRICE \$100.00. INTEREST FROM 08/26/10 FIRST COUPON 11/26/10	313370M	10,000	\$99.90	-\$9,999.93
729-12217 PIA	Settled	9/10/2010	Purchase CALL F 00013.000 FORD MOTOR CO NEW EXP 09- 18-10 CLOSE TRAN F SEP 13.00000	F1018113	13	\$0.03	-\$39.00
729-12217 PIA	Settled	9/21/2010	Purchase CLAYMORE BULLETSHARES 2016 CORPORATE BOND ETF ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	BSCG	677	\$21.05	-\$14,253.22
729-12217 PIA	Settled	9/22/2010	Purchase OPPENHEIMER INTERNATIONAL BOND FD Y FRAC SHR QUANTITY .555 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	OIBYX	464	\$6.63	-\$3,080.00
729-12217 PIA	Settled	9/29/2010	Purchase TEMPLETON GLBL BOND FD ADV CL FRAC SHR QUANTITY .407 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	TGBAX	33	\$13.65	-\$456.01
729-12217 PIA	Settled	9/30/2010	Purchase CALL RIG 00065.000 TRANSOCEAN LTD EXP 10-16- 10 CLOSE TRAN RIG OCT 65.00000	RIG1016	2	\$1.70	-\$340.00
729-12217 PIA	Settled	10/8/2010	Purchase HESS CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HES	56	\$60.79	-\$3,404.50

729-12217 PIA	Settled	10/13/2010	Purchase HESS CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	HES	188	\$61.75	-\$11,609.00
729-12217 PIA	Settled	10/13/2010	Purchase KAYNE ANDERSON MLP PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KYN	219	\$26.20	-\$5,737.93
729-12217 PIA	Settled	10/18/2010	Purchase CALL XOP 00044.000 SPDR S P OIL GAS EXPLOR EXP 10-16-10 CLOSE TRAN XOP OCT 44.00000	XOP1016	6	\$0.65	-\$389.88
729-12217 PIA	Settled	11/8/2010	Purchase NEXTERA ENERGY INC SHS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	NEE	278	\$54.70	-\$15,205.35
729-12217 PIA	Settled	11/8/2010	Purchase PRUDENTIAL FINANCIAL INC PER THE PIA AGREEMENT ML ACTED AS AGENT	PRU	68	\$53.20	-\$3,617.60
729-12217 PIA	Settled	11/8/2010	Purchase QUEST DIAGNOSTICS INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DGX	301	\$50.60	-\$15,231.74
729-12217 PIA	Settled	11/17/2010	Purchase ASTON/OPTIMUM MID CAP FD CL I FRAC SHR QUANTITY .366 FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER	ABMIX	415	\$29.48	-\$12,244.99

729-12217 PIA	Settled	11/17/2010	Purchase MARATHON OIL CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MRO	451	\$33.90	-\$15,290.88
729-12217 PIA	Settled	11/19/2010	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IJH	148	\$83.02	-\$12,286.50
729-12217 PIA	Settled	11/22/2010	Purchase CALL CHRW 00072.500 C.H. ROBINSON WORLDWIDE, EXP 11-20-10 CLOSE TRAN CHRW NOV 72.50000	CHRW10	2	\$0.15	-\$30.00
729-12217 PIA	Settled	11/29/2010	Purchase SECTOR SPDR ENERGY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	XLE	779	\$62.38	-\$48,592.85
729-12217 PIA	Settled	11/29/2010	Purchase STANDARD&POORS DEP RCPT ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	SPY	165	\$118.83	-\$19,606.95
729-12217 PIA	Settled	12/7/2010	Purchase ASTON/OPTIMUM MID CAP FD CL I FRAC SHR QUANTITY .222 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	ABMIX	118	\$31.72	-\$3,750.00
729-12217 PIA	Settled	12/8/2010	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PRODUCT DESCRIPTION ENCL	IJH	42	\$88.84	-\$3,731.07

729-12217 PIA	Settled	12/9/2010	Purchase CISCO SYSTEMS INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CSCO	222	\$19.52	-\$4,333.44
729-12217 PIA	Settled	12/10/2010	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IJH	3	\$89.44	-\$268.32
729-12217 PIA	Settled	12/14/2010	Purchase JACOBS ENGN GRP INC DELA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JEC	173	\$42.20	-\$7,300.60
729-12217 PIA	Settled	12/15/2010	Purchase JACOBS ENGN GRP INC DELA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JEC	178	\$42.34	-\$7,536.06
729-12217 PIA	Settled	12/17/2010	Purchase CALL RIG 00075.000 TRANSOCEAN LTD EXP 01-22- 11 CLOSE TRAN RIG JAN 75.00000	RIG1122	2	\$1.10	-\$220.00
729-12217 PIA	Settled	12/21/2010	Purchase ANADARKO PETE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APC	10	\$65.59	-\$655.88

729-12217 PIA	Settled	12/21/2010	Purchase ANADARKO PETE CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APC	220	\$66.65	-\$14,662.32
729-12217 PIA	Settled	12/22/2010	Purchase ASTON/OPTIMUM MID CAP FD CL I FRAC SHR QUANTITY .558 FUND SUBJECT TO RED FEE. PER THE PIA AGREEMENT PROSPECTUS ENCLOSED OR UNDER SEPARATE COVER ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS	ABMIX	77	\$32.84	-\$2,547.00
729-12217 PIA	Settled	12/27/2010	Purchase ISHARES TRUST S&P MIDCAP 400 INDEX FUND PRODUCT DESCRIPTION ENCL ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST.	IJH	26	\$90.90	-\$2,363.38
729-12217 PIA	Settled	3/2/2010	Redeemed FEDERAL NATL MTG ASSOC CALLABLE NOTES 02.000% MAR 02 2011 CALLABLE NOTES 02.000% MAR 02 2011 PAY DATE 03/02/2010	31398AV	-20,000		\$20,000.00
729-12217 PIA	Settled	4/15/2010	Redeemed U.S. TRSY INFLATION NOTE 0.875% APR 15 2010 INFL ADJ PRIN FCR 1.1439 0.875% APR 15 2010 PAY DATE 04/15/2010	912828C	-15,000		\$17,158.95
729-12217 PIA	Settled	6/15/2010	Redeemed GOLDMAN SACHS GROUP LP GLB 04.500% JUN 15 2010 GLB 04.500% JUN 15 2010 PAY DATE 06/15/2010	GS10A	-26,000		\$26,000.00

729-12217 PIA	Settled	7/6/2010	Redeemed FEDERAL HOME LOAN BANK CALLABLE BONDS 02.420% DEC 17 2013 CALLABLE BONDS 02.420% DEC 17 2013 PAY DATE 07/06/2010	3133XVV	-10,000		\$10,000.00
729-12217 PIA	Settled	1/11/2010	Sale MONSANTO CO NEW DEL COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MON	-171	\$82.88	\$14,172.67
729-12217 PIA	Settled	1/13/2010	Sale CALL IPI 00038.000 INTREPID POTASH INC EXP 02- 20-10 OPEN TRAN IPI BL FEB 38.00000	IPI BL	-6	\$0.21	\$123.17
729-12217 PIA	Settled	2/1/2010	Sale INTREPID POTASH INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IPI	-620	\$27.25	\$16,892.25
729-12217 PIA	Settled	2/5/2010	Sale PUTNAM HIGH YIELD ADVANTAGE FD CL Y PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	PHAYX	-1,064	\$5.85	\$6,224.40
729-12217 PIA	Settled	2/5/2010	Sale PUTNAM HIGH YIELD ADVANTAGE FD CL Y PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	PHAYX	-1,003	\$5.85	\$5,867.55
729-12217 PIA	Settled	2/9/2010	Sale CALL XME 00050.000 SPDR S P METALS MINING EXP 02-20-10 OPEN TRAN XME FEB 50.00000	XME1020	-6	\$0.44	\$263.99
729-12217 PIA	Settled	2/10/2010	Sale CALL MOS 00065.000 MOSAIC CO EXP 03-20-10 OPEN TRAN MOS MAR 65.00000	MOS1020	-3	\$0.94	\$281.99



729-12217 PIA	Settled	2/17/2010	Sale ISHARES BARCLAYS MBS BON FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MBB	-107	\$107.12	\$11,461.70
729-12217 PIA	Settled	2/24/2010	Sale FORD MOTOR CO NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	F	-272	\$11.36	\$3,089.88
729-12217 PIA	Settled	2/24/2010	Sale SPDR S P METALS MINING A/C CALL ZHN43 XME PER THE PIA AGREEMENT AS OF 02/19 UNSOLICITED ORDER	XME	-600	\$50.00	\$29,999.62
729-12217 PIA	Settled	2/25/2010	Sale CALL F 00014.000 FORD MOTOR CO NEW EXP 06- 19-10 OPEN TRAN F JUN 14.00000	F1019F1	-18	\$0.36	\$647.99
729-12217 PIA	Settled	3/8/2010	Sale ARCHER DANIELS MIDLD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ADM	-464	\$29.84	\$13,845.16
729-12217 PIA	Settled	3/8/2010	Sale CALL EEM 00046.000 ISHARES MSCI EMERGING EXP 06-19-10 OPEN TRAN EEM JUN 46.00000	EEM1019	-6	\$0.49	\$293.99
729-12217 PIA	Settled	3/8/2010	Sale CALL RIG 00095.000 TRANSOCEAN LTD EXP 05-22- 10 OPEN TRAN RIG MAY 95.00000	RIG1022	-2	\$1.07	\$213.99

729-12217 PIA	Settled	3/8/2010	Sale GILEAD SCIENCES INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	GILD	-331	\$47.49	\$15,718.03
729-12217 PIA	Settled	3/12/2010	Sale PUTNAM HIGH YIELD ADVANTAGE FD CL Y FRAC SHR QUANTITY .897 PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	PHAYX	-90	\$5.93	\$539.02
729-12217 PIA	Settled	3/24/2010	Sale ISHARES BARCLAYS TIPS BO PROTECTED SECS FD PER THE PIA AGREEMENT ML ACTED AS AGENT	TIP	-53	\$104.52	\$5,539.50
729-12217 PIA	Settled	3/24/2010	Sale ITT CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	ITT	-291	\$52.87	\$15,385.00
729-12217 PIA	Settled	3/30/2010	Sale CALL MOS 00070.000 MOSAIC CO EXP 05-22-10 OPEN TRAN MOS MAY 70.00000	MOS102	-3	\$0.85	\$255.17
729-12217 PIA	Settled	4/12/2010	Sale ISHARES BARCLAYS TIPS BO PROTECTED SECS FD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TIP	-58	\$103.29	\$5,990.73
729-12217 PIA	Settled	5/3/2010	Sale FIRST EAGLE OVERSEAS CL I PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	SGOIX	-754	\$20.93	\$15,781.22
729-12217 PIA	Settled	5/3/2010	Sale PENNSYLVANIA MUTUAL FD PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	PENNX	-785	\$10.58	\$8,305.30

729-12217 PIA	Settled	5/4/2010	Sale ISHARES MSCI EMU INDX FD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	EZU	-499	\$34.28	\$17,105.83
729-12217 PIA	Settled	5/5/2010	Sale AMPHENOL CORP CL A NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APH	-1	\$46.21	\$46.20
729-12217 PIA	Settled	5/5/2010	Sale CHEVRON CORP PER THE PIA AGREEMENT ML ACTED AS AGENT	CVX	-25	\$82.11	\$2,052.75
729-12217 PIA	Settled	5/5/2010	Sale CISCO SYSTEMS INC COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	CSCO	-187	\$27.16	\$5,078.98
729-12217 PIA	Settled	5/5/2010	Sale COCA COLA COM PER THE PIA AGREEMENT ML ACTED AS AGENT	KO	-2	\$53.61	\$107.22
729-12217 PIA	Settled	5/5/2010	Sale GENL DYNAMICS CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	GD	-2	\$76.73	\$153.44
729-12217 PIA	Settled	5/5/2010	Sale HONEYWELL INTL INC DEL PER THE PIA AGREEMENT ML ACTED AS AGENT	HON	-159	\$47.61	\$7,570.02
729-12217 PIA	Settled	5/5/2010	Sale INTL BUSINESS MACHINES CORP IBM PER THE PIA AGREEMENT ML ACTED AS AGENT	IBM	-4	\$129.58	\$518.32

729-12217 PIA	Settled	5/5/2010	Sale ISHARES DJ US CONSUMER GOODS SECTOR INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IYK	-224	\$59.23	\$13,267.41
729-12217 PIA	Settled	5/5/2010	Sale ISHARES S&P GLB TELECOM- MUNICATIONS SECTOR INDEX PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IXP	-186	\$52.20	\$9,708.24
729-12217 PIA	Settled	5/5/2010	Sale ISHARES S&P GLBL HEALTH CARE SECTOR INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IXJ	-256	\$50.29	\$12,872.89
729-12217 PIA	Settled	5/5/2010	Sale ISHARES TR DOW JONES US TECHNOLOGY SECTOR INDX F PER THE PIA AGREEMENT EXECUTED 100% AGENCY EXECUTED ON MULTIPLE MAR ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IYW	-449	\$59.81	\$26,856.26
729-12217 PIA	Settled	5/5/2010	Sale LABORATORY CP AMER HLDGS PER THE PIA AGREEMENT ML ACTED AS AGENT	LH	-8	\$78.67	\$629.35
729-12217 PIA	Settled	5/5/2010	Sale MCDONALDS CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	MCD	-54	\$70.72	\$3,818.87
729-12217 PIA	Settled	5/5/2010	Sale MOSAIC CO PER THE PIA AGREEMENT ML ACTED AS AGENT	MOS	-28	\$51.36	\$1,438.11

729-12217 PIA	Settled	5/5/2010	Sale ORACLE CORP \$0.01 DEL PER THE PIA AGREEMENT WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ORCL	-78	\$25.73	\$2,006.95
729-12217 PIA	Settled	5/5/2010	Sale SECTOR SPDR UTILITIES PER THE PIA AGREEMENT ML ACTED AS AGENT	XLU	-4	\$30.50	\$122.01
729-12217 PIA	Settled	5/5/2010	Sale SPDR S P OIL GAS EXPLOR PER THE PIA AGREEMENT ML ACTED AS AGENT	XOP	-29	\$44.97	\$1,304.15
729-12217 PIA	Settled	5/5/2010	Sale TEVA PHARMACTCL INDS ADR PER THE PIA AGREEMENT WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	TEVA	-59	\$58.72	\$3,464.42
729-12217 PIA	Settled	5/5/2010	Sale TORCHMARK CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	TMK	-28	\$53.74	\$1,504.76
729-12217 PIA	Settled	5/5/2010	Sale UNITED TECHS CORP COM PER THE PIA AGREEMENT ML ACTED AS AGENT	UTX	-43	\$75.13	\$3,230.63
729-12217 PIA	Settled	5/5/2010	Sale VALERO ENERGY CORP NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VLO	-72	\$20.87	\$1,502.71
729-12217 PIA	Settled	5/5/2010	Sale VANGUARD MID-CAP ETF PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	VO	-71	\$67.74	\$4,809.71

729-12217 PIA	Settled	5/5/2010	Sale WAL-MART STORES INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	WMT	-4	\$53.85	\$215.40
729-12217 PIA	Settled	5/7/2010	Sale ISHARES BARCLAYS MBS BON FUND PER THE PIA AGREEMENT ML ACTED AS AGENT	MBB	-58	\$107.08	\$6,210.61
729-12217 PIA	Settled	5/10/2010	Sale AMPHENOL CORP CL A NEW PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	APH	-329	\$44.12	\$14,515.43
729-12217 PIA	Settled	5/10/2010	Sale CALL MOS 00060.000 MOSAIC CO EXP 06-19-10 OPEN TRAN MOS JUN 60.00000	MOS1019	-2	\$0.50	\$99.99
729-12217 PIA	Settled	5/10/2010	Sale INTL BUSINESS MACHINES CORP IBM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IBM	-117	\$127.25	\$14,888.26
729-12217 PIA	Settled	5/11/2010	Sale ISHARES IBOX \$ INVT GRADE CORP BD FUND PER THE PIA AGREEMENT DERIVED FROM MOODY'S AND SECURITIES OF THIS ISSUE EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LQD	-540	\$104.02	\$56,171.36

729-12217 PIA	Settled	5/12/2010	Sale WISDOMTREE EUR S/C DIV PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	DFE	-513	\$32.54	\$16,690.33
729-12217 PIA	Settled	5/19/2010	Sale CALL JEC 00055.000 JACOBS ENGN GRP INC DELA EXP 07-17-10 OPEN TRAN JEC JUL 55.00000	JEC1017	-3	\$0.55	\$164.99
729-12217 PIA	Settled	5/26/2010	Sale FORD MOTOR CO NEW PER THE PIA AGREEMENT ML ACTED AS AGENT	F	-453	\$11.14	\$5,046.33
729-12217 PIA	Settled	5/28/2010	Sale CALL RIG 00075.000 TRANSOCEAN LTD EXP 07-17- 10 OPEN TRAN RIG JUL 75.00000	RIG1017	-2	\$1.15	\$229.09
729-12217 PIA	Settled	5/28/2010	Sale ISHARES BARCLAYS MBS BON FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MBB	-111	\$108.49	\$12,041.67
729-12217 PIA	Settled	5/28/2010	Sale ISHARES DJ US CONSUMER GOODS SECTOR INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IYK	-826	\$54.04	\$44,636.21
729-12217 PIA	Settled	6/8/2010	Sale GENUINE PARTS CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GPC	-353	\$41.07	\$14,497.71

729-12217 PIA	Settled	6/9/2010	Sale CALL RIG 00055.000 TRANSOCEAN LTD EXP 07-17-10 OPEN TRAN RIG JUL 55.00000	RIG1017	-2	\$1.35	\$269.99
729-12217 PIA	Settled	6/9/2010	Sale SPDR S P METALS MINING PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XME	-436	\$47.39	\$20,663.09
729-12217 PIA	Settled	6/9/2010	Sale VANGUARD MID-CAP ETF PER THE PIA AGREEMENT ML ACTED AS AGENT	VO	-577	\$60.70	\$35,023.31
729-12217 PIA	Settled	6/10/2010	Sale CALL PRU 00065.000 PRUDENTIAL FINANCIAL INC EXP 07-17-10 OPEN TRAN PRU JUL 65.00000	PRU1017	-2	\$0.49	\$98.45
729-12217 PIA	Settled	6/11/2010	Sale CALL F 00013.000 FORD MOTOR CO NEW EXP 07-17-10 OPEN TRAN F J 1JUL0 13000000	F1017G1	-13	\$0.15	\$193.43
729-12217 PIA	Settled	6/16/2010	Sale CALL VLO 00023.000 VALERO ENERGY CORP NEW EXP 09-18-10 OPEN TRAN VLO SEP 23.00000	VLO1018	-7	\$0.23	\$160.99
729-12217 PIA	Settled	6/21/2010	Sale AUTONATION INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AN	-757	\$21.25	\$16,088.02
729-12217 PIA	Settled	6/21/2010	Sale CALL RIG 00060.000 TRANSOCEAN LTD EXP 08-21-10 OPEN TRAN RIG AUG 60.00000	RIG1021	-2	\$2.08	\$416.71
729-12217 PIA	Settled	6/28/2010	Sale CALL JEC 00046.000 JACOBS ENGN GRP INC DELA EXP 08-21-10 OPEN TRAN JEC AUG 46.00000	JEC1021	-3	\$0.50	\$149.99



729-12217 PIA	Settled	7/2/2010	Sale ISHARES S&P GBL HEALTH CARE SECTOR INDEX FUND PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	IXJ	-384	\$45.88	\$17,617.66
729-12217 PIA	Settled	7/8/2010	Sale CALL PRU 00065,000 PRUDENTIAL FINANCIAL INC EXP 09-18-10 OPEN TRAN PRU SEP 65.00000	PRU1018	-2	\$1.01	\$201.15
729-12217 PIA	Settled	7/8/2010	Sale CALL TMK 00060.000 TORCHMARK CORP COM EXP 11-20-10 OPEN TRAN TMK NOV 60.00000	TMK1020	-2	\$0.85	\$169.99
729-12217 PIA	Settled	7/13/2010	Sale CALL F 00013.000 FORD MOTOR CO NEW EXP 09- 18-10 OPEN TRAN F SEP 13.00000	F1018113	-13	\$0.24	\$311.99
729-12217 PIA	Settled	7/16/2010	Sale MOSAIC CO PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	MOS	-286	\$42.14	\$12,052.93
729-12217 PIA	Settled	7/22/2010	Sale OPPENHEIMER INTERNATIONAL BOND FD Y PER THE PIA AGREEMENT THIS SALE CONSTITUTES A REDEMPTION.	OIBYX	-212	\$6.37	\$1,350.44
729-12217 PIA	Settled	8/2/2010	Sale JACOBS ENGN GRP INC DELA PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	JEC	-329	\$35.75	\$11,761.58
729-12217 PIA	Settled	8/6/2010	Sale CALL RIG 00070.000 TRANSOCEAN LTD EXP 09-18- 10 OPEN TRAN RIG SEP 70.00000	RIG1018	-2	\$0.50	\$99.99

729-12217 PIA	Settled	8/9/2010	Sale ASSURANT INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	AIZ	-398	\$36.99	\$14,723.52
729-12217 PIA	Settled	8/9/2010	Sale TORCHMARK CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	TMK	-281	\$53.08	\$14,915.48
729-12217 PIA	Settled	8/11/2010	Sale STANDARD&POORS DEP RCPT PER THE PIA AGREEMENT ML ACTED AS AGENT	SPY	-245	\$111.76	\$27,380.74
729-12217 PIA	Settled	8/20/2010	Sale U.S. TREASURY NOTE 3.625% AUG 15 2019 PER THE PIA AGREEMENT YLD TO MATURITY 2.45% MATURITY DATE 8/15/19. 5 DAYS INTEREST	912828L	-14,000	\$109.40	\$15,322.63
729-12217 PIA	Settled	8/24/2010	Sale CALL CHRW 00072.500 C.H. ROBINSON WORLDWIDE, EXP 11-20-10 OPEN TRAN CHRW NOV 72.50000	CHRW10	-2	\$1.00	\$199.99
729-12217 PIA	Settled	9/2/2010	Sale CALL RIG 00065.000 TRANSOCEAN LTD EXP 10-16- 10 OPEN TRAN RIG OCT 65.00000	RIG1016	-2	\$0.40	\$79.99
729-12217 PIA	Settled	9/8/2010	Sale CALL ORCL 00025.000 ORACLE CORP \$0.01 DEL EXP 10-16-10 OPEN TRAN ORCL OCT 25.00000	ORCL10	-5	\$0.59	\$294.99
729-12217 PIA	Settled	9/9/2010	Sale CALL XOP 00044.000 SPDR S P OIL GAS EXPLOR EXP 10-16-10 OPEN TRAN XOP OCT 44.00000	XOP1016	-6	\$0.26	\$155.99
729-12217 PIA	Settled	9/15/2010	Sale CALL GLD 00132.000 SPDR GOLD TRUST EXP 10- 16-10 OPEN TRAN GLD OCT 132.00000	GLD1016	-1	\$0.42	\$41.99

729-12217 PIA	Settled	9/16/2010	Sale CALL MCK 00070.000 MCKESSON CORPORATION COM EXP 11-20-10 OPEN TRAN MCK NOV 70.00000	MCK1020	-2	\$0.55	\$109.99
729-12217 PIA	Settled	9/21/2010	Sale CALL STT 00044.000 STATE STREET CORP EXP 11-20-10 OPEN TRAN STT NOV 44.00000	STT1020	-3	\$0.42	\$125.99
729-12217 PIA	Settled	9/21/2010	Sale STANDARD&POORS DEP RCPT PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	SPY	-170	\$112.63	\$19,146.90
729-12217 PIA	Settled	9/22/2010	Sale ORACLE CORP \$0.01 DEL PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT	ORCL	-69	\$26.88	\$1,854.70
729-12217 PIA	Settled	9/30/2010	Sale CALL RIG 00075.000 TRANSOCEAN LTD EXP 01-22- 11 OPEN TRAN RIG JAN 75.00000	RIG1122	-2	\$1.70	\$339.99
729-12217 PIA	Settled	10/18/2010	Sale CALL XOP 00046.000 SPDR S P OIL GAS EXPLOR EXP 11-20-10 OPEN TRAN XOP NOV 46.00000	XOP1020	-6	\$0.85	\$509.87
729-12217 PIA	Settled	10/20/2010	Sale ORACLE CORP \$0.01 DEL A/C CALL ZJPU1 ORCL PER THE PIA AGREEMENT WE MAKE A MKT IN ISSUE AS OF 10/15 UNSOLICITED ORDER	ORCL	-500	\$25.00	\$12,499.79
729-12217 PIA	Settled	10/20/2010	Sale SPDR GOLD TRUST A/C CALL V16F2 GLD PER THE PIA AGREEMENT AS OF 10/15 UNSOLICITED ORDER	GLD	-100	\$132.00	\$13,199.78

729-12217 PIA	Settled	10/22/2010	Sale SPDR GOLD TRUST PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GLD	-6	\$130.91	\$785.46
729-12217 PIA	Settled	11/2/2010	Sale KIMBERLY CLARK PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	KMB	-227	\$62.76	\$14,245.53
729-12217 PIA	Settled	11/5/2010	Sale CALL F 00017.500 FORD MOTOR CO NEW EXP 01- 22-11 OPEN TRAN F JAN 17.50000	F1122A1	-13	\$0.32	\$415.99
729-12217 PIA	Settled	11/8/2010	Sale COVENTRY HEALTH CARE INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CVH	-673	\$23.84	\$16,044.66
729-12217 PIA	Settled	11/8/2010	Sale GENL DYNAMICS CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	GD	-198	\$68.43	\$13,548.57
729-12217 PIA	Settled	11/8/2010	Sale LABORATORY CP AMER HLDGS PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LH	-192	\$82.33	\$15,807.90
729-12217 PIA	Settled	11/23/2010	Sale CALL CHRW 00080.000 C.H. ROBINSON WORLDWIDE, EXP 02-19-11 OPEN TRAN CHRW FEB 80.00000	CHRW11	-2	\$0.60	\$119.99

729-12217 PIA	Settled	11/24/2010	Sale SPDR S P OIL GAS EXPLOR A/C CALL ZQ256 XOP PER THE PIA AGREEMENT AS OF 11/19 UNSOLICITED ORDER	XOP	-600	\$46.00	\$27,599.53
729-12217 PIA	Settled	11/26/2010	Sale SPDR S P OIL GAS EXPLOR PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XOP	-55	\$48.74	\$2,680.49
729-12217 PIA	Settled	11/30/2010	Sale CHEVRON CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	CVX	-179	\$82.69	\$14,800.94
729-12217 PIA	Settled	11/30/2010	Sale STATE STREET CORP PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	STT	-346	\$42.98	\$14,870.21
729-12217 PIA	Settled	12/2/2010	Sale CALL HES 00085.000 HESS CORP EXP 02-19-11 OPEN TRAN HES FEB 85.00000	HES1119	-2	\$0.79	\$157.99
729-12217 PIA	Settled	12/3/2010	Sale EXXON MOBIL CORP COM PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	XOM	-214	\$69.50	\$14,872.86

729-12217 PIA	Settled	12/7/2010	Sale LOWE'S COMPANIES INC PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	LOW	-683	\$24.92	\$17,021.57
729-12217 PIA	Settled	12/21/2010	Sale TRANSOCEAN LTD PER THE PIA AGREEMENT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. ML ACTED AS AGENT	RIG	-280	\$70.04	\$19,609.58
729-12217 PIA	Settled	12/23/2010	Sale CALL JEC 00048.000 JACOBS ENGN GRP INC DELA EXP 01-22-11 OPEN TRAN JEC JAN 48.00000	JEC1122	-3	\$0.95	\$284.99

**DAVID PHILIP ROE**

**SCHEDULE 5**

5/9/2011

Fidelity NetBenefits



State Of Franklin Healthcare  
Associates, PLLC 401(k)

DAVID P ROE  
2 NORTH CROSSBOW  
JOHNSON CITY, TN 37604-

## Retirement Savings Statement

Customer Service: (800) 890-4015  
Fidelity Investments Institutional Operations  
Company, Inc.  
82 Devonshire Street  
Boston, MA 02109

---

### Your Account Summary

Statement Period: 12/01/2010 to 12/31/2010

<b>Beginning Balance</b>	<b>\$352,551.26</b>
Fees	-\$107.58
Change in Market Value	\$15,591.50
<b>Ending Balance</b>	<b>\$368,035.18</b>
<b>Additional Information</b>	
Vested Balance	\$368,035.18
Dividends & Interest	\$2,322.35

---

### Your Personal Rate of Return

**This Period** **4.4%**

Your Personal Rate of Return is calculated with a time-weighted formula, widely used by financial analysts to calculate investment earnings. It reflects the results of your investment selections as well as any activity in the plan account(s) shown. There are other Personal Rate of Return formulas used that may yield different results. Remember that past performance is no guarantee of future results.

---

### Your Asset Allocation

Statement Period: 12/01/2010 to 12/31/2010

#### Why is This Important?

☒ e-Learning: [Learn about asset allocation.](#)



Your account is allocated among the asset classes specified above as of 12/31/2010. Percentages and totals may not be exact due to rounding.

## Market Value of Your Account

Statement Period: 12/01/2010 to 12/31/2010

Displayed in this section is the value of your account for the statement period, in both shares and dollars.

<i>Investment</i>	Shares as of 11/30/2010	Shares as of 12/31/2010	Price as of 11/30/2010	Price as of 12/31/2010	Market Value as of 11/30/2010	Market Value as of 12/31/2010
<b>Stock Investments</b>					<b>\$251,224.91</b>	<b>\$267,461.14</b>
Large Cap						
Blk Cap Appr I	3,572.223	3,572.223	\$22.67	\$23.78	\$80,982.30	\$84,947.46
Drey Basic S&P 500	50.766	50.960	\$24.17	\$25.67	\$1,227.02	\$1,308.14
Htfd Capital Appr R5	2,424.660	2,423.714	\$35.10	\$37.42	\$85,105.56	\$90,695.37
Mainstay MAP I	71.757	72.497	\$30.04	\$31.90	\$2,155.58	\$2,312.66
Mid-Cap						
FA Mid Cap II I	99.888	99.849	\$17.53	\$18.12	\$1,751.04	\$1,809.27
Small Cap						
Drey SM Cap StkIdx	118.208	121.295	\$19.48	\$20.42	\$2,302.69	\$2,476.85
International						
FA Intl Discovery I	2,494.405	2,543.540	\$31.15	\$32.99	\$77,700.72	\$83,911.39
<b>Bond Investments</b>					<b>\$54,065.83</b>	<b>\$53,331.57</b>
Income						
FA GOV Income Inst	5,052.882	5,108.368	\$10.70	\$10.44	\$54,065.83	\$53,331.57
<b>Short-Term Investments</b>					<b>\$47,260.52</b>	<b>\$47,242.47</b>
FID Ret Govt MM	47,260.520	47,242.470	\$1.00	\$1.00	\$47,260.52	\$47,242.47
<b>Account Totals</b>					<b>\$352,551.26</b>	<b>\$368,035.16</b>

Remember that a dividend payment to fund shareholders reduces the share price of the fund, so a decrease in the share price for the statement period does not necessarily reflect lower fund performance.

Please refer to NetBenefits and other Plan Information, such as your SPD, for a description of your right to direct investments under the Plan. For information on any plan restrictions on those rights, please contact your benefits office.

To help achieve long-term retirement security, you should give careful consideration to the benefits  
[...fidelity.com/netbenefits/.../navigation](http://fidelity.com/netbenefits/.../navigation)

HAND DELIVERED

<b>UNITED STATES HOUSE OF REPRESENTATIVES</b> <b>CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT</b>		<b>FORM A</b> <span style="float: right;">Page 1 of 5</span> For use by Members, officers, and employees	
John J. Duncan, Jr. (Full Name)		202-225-5435 (Daytime Telephone)	
<b>Filer Status</b>	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives State: <u>TN</u> District: <u>2</u>	<input type="checkbox"/> Officer Or Employee	Employing Office: _____
<b>Report Type</b>	<input checked="" type="checkbox"/> Annual (May 15)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination Termination Date: _____

LEGISLATIVE RESOURCE CENTER  
 2011 MAY -5 AM 10:24  
 OFFICE OF CLERK  
 U.S. HOUSE OF REPRESENTATIVES

**A \$200 penalty shall be assessed against anyone who files more than 30 days late.**

### PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS

<b>I.</b> Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule I.	<b>VI.</b> Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VI.
<b>II.</b> Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule II.	<b>VII.</b> Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VII.
<b>III.</b> Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule III.	<b>VIII.</b> Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VIII.
<b>IV.</b> Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule IV.	<b>IX.</b> Did you have any reportable agreement or arrangement with an outside entity? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule IX.
<b>V.</b> Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule V.	<b>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</b>

### EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS

<b>Trusts--</b>	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions--</b>	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

Name John J. Duncan, Jr.

Page 2 of 5

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Lincoln Memorial University-Director of Major Gifts and Contributions	Spouse Salary	N/A

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name John J. Duncan, Jr.

Page 3 of 5

<b>BLOCK A</b>  <b>Asset and/or Income Source</b> Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.  Provide complete names of stocks and mutual funds (do not use ticker symbols.)  For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.  For rental or other real property held for investment, provide a complete address.  For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.  Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or	<b>BLOCK B</b>  <b>Year-End Value of Asset</b> at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	<b>BLOCK C</b>  <b>Type of Income</b> Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	<b>BLOCK D</b>  <b>Amount of Income</b> For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	<b>BLOCK E</b>  <b>Transaction</b> Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
Hilliard Lyons-IRA Fidelity Value Fund	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Hilliard Lyons-IRA Fidelity Fund Inc.	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Hilliard Lyons-IRA Income Fund of America	\$15,001 - \$50,000	DIVIDENDS	\$201 - \$1,000	
Hilliard Lyons-IRA BB&T Stock	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	
State of TN. Pension Fund	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
Hilliard Lyons-MM Good Gov. Fund	\$1,001 - \$15,000	INTEREST	\$1 - \$200	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name John J. Duncan, Jr.

Page 4 of 5

	Congressional Credit Un. Checking and Savings	\$15,001 - \$50,000	INTEREST	\$201 - \$1,000	
	BB&T Stock	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
	Pfizer Inc.- Stock - IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Citigroup Cap. VIII-Stock	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
	BankEast Corp.-Stock	\$1,001 - \$15,000	None	NONE	
	BankEast-CD	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
	Gap Inc.-Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Walgreen Company-Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	Philip Morris Intl. Inc.-Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	Permian Basin Royalty Trust	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	Northrop Grumman Corp.-Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Altria Group, Inc. Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Lowes Companies-Stock IRA	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Host Hotels&Resorts-Stock	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name John J. Duncan, Jr.

Page 5 of 5

	Boeing Company-Stock	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	Hilliard Lyons-IRA Good Govt. Func	\$15,001 - \$50,000	INTEREST	\$1 - \$200	

**UNITED STATES HOUSE OF REPRESENTATIVES  
CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT**

**FORM A** Page 1 of 6  
For use by Members, officers, and employees

Charles J. Fleischmann  
(Full Name)

423-413-3767  
(Daytime Telephone)

MAY 16 2011

LEGISLATIVE RESOURCE CENTER

MC 2011 MAY 23 AM 11:52

(Office Use Only)

**Filer Status**

☒ Member of the U.S. House of Representative  
State: TN  
District: 03

☐ Officer Or Employee  
Employing Office:

**Report Type**

☒ Annual (May 15) ☐ Amendment ☐ Termination

Termination Date:

**A \$200 penalty shall be assessed against anyone who files more than 30 days late.**

**PRELIMINARY INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<p><b>I.</b> Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule I.</p>	<p><b>VI.</b> Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VI.</p>
<p><b>II.</b> Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule II.</p>	<p><b>VII.</b> Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VII.</p>
<p><b>III.</b> Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule III.</p>	<p><b>VIII.</b> Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule VIII.</p>
<p><b>IV.</b> Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule IV.</p>	<p><b>IX.</b> Did you have any reportable agreement or arrangement with an outside entity? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule IX.</p>
<p><b>V.</b> Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule V.</p>	<p><b>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</b></p>

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION -- ANSWER EACH OF THESE QUESTIONS**

<p><b>Trusts-</b></p>	<p>Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>
<p><b>Exemptions--</b></p>	<p>Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics. Yes <input type="checkbox"/> No <input checked="" type="checkbox"/></p>

**SCHEDULE I - EARNED INCOME**

Name Charles J. Fleischmann

Page 2 of 6

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Fleischmann & Fleischmann Attorneys	Salary	\$163,144
Fleischmann & Fleischmann Attorneys	Spouse Salary	N/A



# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name Charles J. Fleischmann

Page 3 of 6

<p><b>BLOCK A</b></p> <p><b>Asset and/or Income Source</b></p> <p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or</p>	<p><b>BLOCK B</b></p> <p><b>Year-End Value of Asset</b></p> <p>at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."</p>	<p><b>BLOCK C</b></p> <p><b>Type of Income</b></p> <p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.</p>	<p><b>BLOCK D</b></p> <p><b>Amount of Income</b></p> <p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.</p>	<p><b>BLOCK E</b></p> <p><b>Transaction</b></p> <p>Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p>
JT Bank of America Accounts	\$100,001 - \$250,000	INTEREST	\$201 - \$1,000	
JT BB&T Bank Account	\$1,001 - \$15,000	None	NONE	
JT CapitalMark Bank Accounts	\$250,001 - \$500,000	INTEREST	\$5,001 - \$15,000	
JT Cornerstone Bank Accounts	\$250,001 - \$500,000	INTEREST	\$2,501 - \$5,000	
JT First Bank Savings	\$15,001 - \$50,000	INTEREST	\$1,001 - \$2,500	
JT Interest in Fleischmann & Fleischmann Attorneys	\$50,001 - \$100,000	None	NONE	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name Charles J. Fleischmann

Page 4 of 6

JT	Northwest Georgia Bank Accounts	\$250,001 - \$500,000	INTEREST	\$2,501 - \$5,000	
JT	Regions Bank Accounts	\$250,001 - \$500,000	INTEREST	\$2,501 - \$5,000	
JT	TVA Credit Union Accounts	\$1 - \$1,000	None	NONE	
JT	Wells Fargo Investments	\$500,001 - \$1,000,000	DIVIDENDS/INTEREST/CAPITAL GAINS	\$15,001 - \$50,000	S(part)

# SCHEDULE IV - TRANSACTIONS

Name Charles J. Fleischmann

Page 5 of 6

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
JT	Wells Fargo Investments (partial sale of securities held through Wells Fargo Investments)	S(part)	Yes	Various	\$1,001 - \$15,000

## SCHEDULE VIII - POSITIONS

Name Charles J. Fleischmann

Page 6 of 6

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Sole Proprietor	Fleischmann & Fleischmann Attorneys
Board Member	Cherokee Area Council of Boy Scouts of America

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT**

**FORM A** Page 1 of 10  
For use by Members, officers, and employees

LEGISLATIVE RESOURCE CENTER

2011 MAY 16 PM 4:18

U.S. HOUSE OF REPRESENTATIVES

**HAND DELIVERED**  
(Office Use Only)

James H.S. ("Jim") Cooper  
(Full Name)

202-225-4311  
(Daytime Telephone)

Filer Status	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>TN</u> District: <u>5</u>	<input type="checkbox"/> Officer Or Employee	Employing Office: _____	A \$200 penalty shall be assessed against anyone who files more than 30 days late.
	Report Type	<input checked="" type="checkbox"/> Annual (May 15)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	

**PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS**

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$336 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$336 from one source)? If yes, complete and attach Schedule VII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
IV. Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.	

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS**

<b>Trusts--</b>	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions--</b>	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

Name James H.S. ("Jim") Cooper

Page 2 of 10

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Vanderbilt University Owen School of Management	Approved Teaching Fee	\$23,500

# SCHEDULE III - ASSETS AND "UNEARNED" INCOME

Name James H.S. ("Jim") Cooper

Page 3 of 10

BLOCK A	BLOCK B	BLOCK C	BLOCK D	BLOCK E
<b>Asset and/or Income Source</b> Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.  Provide complete names of stocks and mutual funds (do not use ticker symbols.)  For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.  For rental or other real property held for investment, provide a complete address.  For an ownership interest in a privately-held business that is not publically traded, state the name of the business, the nature of its activities, and its geographic location in Block A.  Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any domestic totaling \$5,000 or less in a personal checking or	<b>Year-End Value of Asset</b>  at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	<b>Type of Income</b>  Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	<b>Amount of Income</b>  For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	<b>Transaction</b>  Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
2319 Woodmont Blvd, Nashville, TN (vacant lot)	\$500,001 - \$1,000,000	None	NONE	
Adams Properties (owns property at 1504 Beach Dr. In Gulfport, MS)	\$100,001 - \$250,000	Partnership Income	\$201 - \$1,000	
Assistive Technology Company stock, wheelchair distribution, Naperville, IL	\$100,001 - \$250,000	None	NONE	
AT&T (ATT)	\$1 - \$1,000	DIVIDENDS	\$1 - \$200	
Berkshire Hathaway (BRK/A)(IRA)	\$100,001 - \$250,000	None	NONE	
City Holding Company (CHCO)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	Other

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name James H.S. ("Jim") Cooper

Page 4 of 10

	Coca-Cola Co. (KO)(IRA and brokerage acct)	\$1,001 - \$15,000	None/DIVIDEND S	None/\$1 - \$200	
	Comcast Corp. Class A (CMCSA)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Congressional Federal Credit Union Accts	\$15,001 - \$50,000	INTEREST	\$1 - \$200	
	Cooper Bros. Land Co. Partnership, farm, land and lots, Shelbyville, TN and Smith Mills, KY, and Nashville TN	\$1,000,001 - \$5,000,000	Partnership Income	\$100,001 - \$1,000,000	
	DNP Select Income FD Inc. (DNP)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	Federated GNMA Inst. Fund (FGMAX)	\$1,001 - \$15,000	DIVIDENDS	\$201 - \$1,000	
	Fidelity Contra Fund (FCNTX)	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
	Fidelity Growth and Income Fund (FGRIX)	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
	Fidelity Municipal Money Market Fund (FTEXX)	\$15,001 - \$50,000	DIVIDENDS	\$1 - \$200	
	Fifth Third Bancorp. (FITB)	None	DIVIDENDS/CAPITAL GAINS	\$201 - \$1,000	S
	General Electric Co. (GE)(IRA and brokerage acct)	\$15,001 - \$50,000	None/DIVIDEND S	None/\$1 - \$200	
	Hamilton Fairfax, LLC (apt. Bldg at 2106 Fairfax, Nashville. TN)	\$100,001 - \$250,000	Partnership Income	\$5,001 - \$15,000	



**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name James H.S. ("Jim") Cooper

Page 5 of 10

	Harpeth Green Properties, LLC, Brentwood TN (holds interest in Heritage Retirement Facilities, LLC and Heritage Health Center LLC)	\$100,001 - \$250,000	None	NONE	
	Helios Strategic Mortgage Fund (HSM)	\$15,001 - \$50,000	DIVIDENDS	\$1,001 - \$2,500	Other
	Hewlett Packard Co. (HPQ)(IRA)	\$1,001 - \$15,000	None	NONE	
	Intel Corp. (INTC)	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
	International Business Machines (IBM)	\$50,001 - \$100,000	DIVIDENDS	\$1,001 - \$2,500	
	Lennox Intl Inc. (IRA)	\$50,001 - \$100,000	None	NONE	
	Microsoft Corp. (MSFT)(IRA)	\$1,001 - \$15,000	None	NONE	
	Nortel Networks (NT)(IRA)	\$1 - \$1,000	None	NONE	
	Northern Trust Inst. Gov't Portfolio	\$100,001 - \$250,000	INTEREST	\$1 - \$200	
	Northwestern Mutual "Adjustable Comp Life" policy	\$100,001 - \$250,000	DIVIDENDS	\$5,001 - \$15,000	
	Phoenix Companies Inc. (PNX)(IRA)	\$1,001 - \$15,000	None	NONE	
	PLC Properties, Brentwood, TN Virginia Way, undeveloped land, and warehouse at 5557 Hwy 31, Orinda TN	\$500,001 - \$1,000,000	Partnership Income	\$15,001 - \$50,000	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name James H.S. ("Jim") Cooper

Page 6 of 10

	Proctor and Gamble Co. (PG)	\$1,001 - \$15,000	DIVIDENDS	\$1 - \$200	
	Qualcomm Inc. (QCOM)(IRA)	\$15,001 - \$50,000	None	NONE	
	Sun Trust Accounts	\$100,001 - \$250,000	INTEREST	\$201 - \$1,000	
	Suntrust Banks Inc. (STI)(IRA)	\$15,001 - \$50,000	None	NONE	
	Thoma Cressey Friends Fund VI LP	\$15,001 - \$50,000	Partnership income	\$15,001 - \$50,000	
	Thoma Cressey Friends Fund VII LP (IRA)	\$100,001 - \$250,000	None	NONE	
	TIAA CREF Stock	\$15,001 - \$50,000	None	NONE	
	TIAA Traditional	\$1,001 - \$15,000	None	NONE	
	Virtus Investment Partners Inc. (VRTS)(IRA)(fn)	\$1,001 - \$15,000	None	NONE	
	Wells Fargo Co. (WFC)(IRA)	\$1,001 - \$15,000	None	NONE	
	Wells Fargo Money Market and Cash Deposits	\$500,001 - \$1,000,000	INTEREST	\$201 - \$1,000	

**SCHEDULE IV - TRANSACTIONS**

Name James H.S. ("Jim") Cooper

Page 7 of 10

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	City Holding Company (CHCO)	P	N/A	6-30-10	\$1,001 - \$15,000
	Fifth Third Bankcorp (FITB)	S	Yes	6-24-10	\$1,001 - \$15,000
	Helios Strategic Mortgage Fund (HSM)	P	N/A	4-15-10	\$15,001 - \$50,000

**SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

Name James H.S. ("Jim") Cooper

Page 8 of 10

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure— Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Alliance for Health Reform/Commonwealth Fund	Jan. 15-17	Nashville, TN-Fort Lauderdale, FL-Nashville, TN	Y	Y	Y	None
Aspen Institute	Feb. 15-21	Nashville, TN-Madrid, Spain- Nashville, TN	Y	Y	Y	None
The Third Way	Jul. 18-19	Nashville, TN-New York, NY- Washington, DC	N	Y	N	1 day

**SCHEDULE VIII - POSITIONS**

Name James H.S. ("Jim") Cooper

Page 9 of 10

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or any business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States. Exclude: Positions held in any religious, social, fraternal, or political entities; positions solely of an honorary nature; and positions listed on Schedule I.

Position	Name of Organization
Adjunct Professor	Owen School of Management, Vanderbilt University
General Partner	PLC Properties, LLC
Member	Hamilton Fairfax, LLC
Partner	Cooper Bros. Land Company
Member	Harpeth Green Properties, LLC

**FOOTNOTES**

Name James H.S. ("Jim") Cooper

Page 10 of 10

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule III	Previously not reported because valued at less than \$1,000.	Virtus Investment Partners Inc. (VRTS)(IRA)
2	Schedule IV	Gift to dependent children from relative.	Helios Strategic Mortgage Fund (HSM)
3	Schedule III	Dividend is applied to the cash value of the policy.	Northwestern Mutual "Adjustable Comp Life" policy
4	Schedule IV	Gift to dependent children from relative.	City Holding Company (CHCO)

**2010 Financial Disclosure Statement  
Supplementary Schedule**

**Schedule III**

**Cooper Brothers Partnership**

**Assets:**

- Located in or near Shelbyville, TN
  - Lots at 756 Madison Street
    - Purchased 12/31/65, 7/29/77, and 2/16/88; tax appraisal value \$665,400
  - Lot on Holland Street
    - Purchased 7/29/77, 12/27/72, and 1/31/70; tax appraisal \$43,300
  - Lot on Depot Street
    - Purchased 12/31/85; tax appraisal \$35,500
  - Lots at 319, 401, 409, and 414 East Lane Street, and 410 Deery Street
    - Purchased 7/1/54, tax appraisal value \$21,000 – Sold on Dec.13, 2006
    - Purchased 11/25/03, tax appraisal value \$111,700
    - Purchased 12/30/89, tax appraisal value \$26,700
    - Purchased 12/28/92, tax appraisal value \$59,500
    - Purchased 410 Deery St. on 10/19/06 for \$34,000
  - Farm at 497 Shofner Bridge Road, 463 acres
    - Purchased 7/29/77; tax appraised value \$447,200
  - Field on Thompson Creek Road, 80 acres
    - Purchased 12/31/87, tax appraisal \$30,300

Located in or near Smith Mills, KY (near Henderson, KY)

- Bank appraised value of all KY farms in 1999 at \$1.6 million
  - Tweedy and Floyd Farms, Hwy. 266, 575 acres
    - Purchased 5/18/69 and 3/27/74
  - Utley Farms, Burbank Road, 75 acres
    - Purchased 1979
  - Mueth and Brahm Farms, Alzey-Uniontown Road, 270 acres
    - Purchased 1/28/87
  - Klondike Farm, Klondike Road, 453 acres
    - Purchased 5/18/69, 1988

Located in Brentwood, TN

- Park Center Partnership I, owns 21% of Gambro Bldg.
  - Purchased 9/30/00; tax appraised value \$9,352,300
- Park Center Partnership II, owns 21% of LBMC Bldg.
  - Purchased 9/30/00; tax appraised value \$8,853,200

These partnerships were formed to develop the Gambro and LBMC Financial Center office buildings on Virginia Way in Maryland Farms

Located in Nashville, TN

- Lot 6A at 648 Grassmere Office Park, Trousdale Pike, Nashville, TN
  - This lot was contributed to a development entity, Grassmere Five LLC in return for a 58% ownership interest; tax appraised value \$656,900



2011 MAY 25 PM 2:05

MC

**UNITED STATES HOUSE OF REPRESENTATIVES**  
**CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT**
**FORM A** Page 1 of 8  
 For use by Members, officers, and employees

MARSHA WEDGEWORTH BLACKBURN

202-225-2811

(Full Name)

(Daytime Telephone)

 U.S. HOUSE OF REPRESENTATIVES  
 CLERK

 MAY 16 2011  
 (Office Use Only)

**Filer Status**
☒ Member of the U.S. House of Representatives

 State: TN  
 District: 07
☐ Officer Or Employee

Employing Office: \_\_\_\_\_

**Report Type**
☒ Annual (May 15)

☐ Amendment

☐ Termination

Termination Date: \_\_\_\_\_

**A \$200 penalty shall be assessed against anyone who files more than 30 days late.**
**PRELIMINARY INFORMATION – ANSWER EACH OF THESE QUESTIONS**

<b>I.</b> Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule I.	<b>VI.</b> Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VI.
<b>II.</b> Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule II.	<b>VII.</b> Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule VII.
<b>III.</b> Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule III.	<b>VIII.</b> Did you hold any reportable positions on or before the date of filing in the current calendar year? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule VIII.
<b>IV.</b> Did you, your spouse, or dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> If yes, complete and attach Schedule IV.	<b>IX.</b> Did you have any reportable agreement or arrangement with an outside entity? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule IX.
<b>V.</b> Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> If yes, complete and attach Schedule V.	<b>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</b>

**EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION – ANSWER EACH OF THESE QUESTIONS**

<b>Trusts-</b>	Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>Exemptions-</b>	Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

**SCHEDULE I - EARNED INCOME**

Name MARSHA WEDGEWORTH BLACKBURN

Page 2 of 8

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totaling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000.

Source	Type	Amount
Consulting	Spouse Consulting Income	N/A
State of Tennessee	Legislative Pension	\$4,118

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name MARSHA WEDGEWORTH BLACKBURN

Page 3 of 8

<b>BLOCK A</b>  <b>Asset and/or Income Source</b> Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in "unearned" income during the year.  Provide complete names of stocks and mutual funds (do not use ticker symbols.)  For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.  For rental or other real property held for investment, provide a complete address.  For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.  Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or	<b>BLOCK B</b>  <b>Year-End Value of Asset</b>  at close of reporting year. If you use a valuation method other than fair market value, please specify the method used. If an asset was sold and is included only because it is generated income, the value should be "None."	<b>BLOCK C</b>  <b>Type of Income</b>  Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if the asset generated no income during the reporting period.	<b>BLOCK D</b>  <b>Amount of Income</b>  For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the "None" column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check "None" if no income was earned or generated.	<b>BLOCK E</b>  <b>Transaction</b>  Indicate if asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.
Prudential IRA as follows:				
Alliance Bernstein Growth & Income	\$1,001 - \$15,000	None	NONE	
Marisco Large Cap Growth	\$15,001 - \$50,000	None	NONE	
Federated Aggressive Growth	\$1,001 - \$15,000	None	NONE	
Small Cap Value	\$1,001 - \$15,000	None	NONE	
Newberger Berman Mid Cap Growth	\$1,001 - \$15,000	None	NONE	

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name MARSHA WEDGEWORTH BLACKBURN

Page 4 of 8

	MFS Global Equity Fund	\$1,001 - \$15,000	None	NONE	
	Goldman Sachs High Yield	\$1,001 - \$15,000	None	NONE	
	PIMCO Total Return Bond	\$1,001 - \$15,000	None	NONE	
	Guaranteed Options (GRO) Plus	\$15,001 - \$50,000	None	NONE	
SP	Prudential IRA as follows:				
SP	Guaranteed Return Options (GRO) Plus	\$15,001 - \$50,000	None	NONE	
SP	AST Money Market	\$50,001 - \$100,000	None	NONE	
JT	Green Bank, Franklin, TN accounts	\$1,001 - \$15,000	INTEREST	\$1 - \$200	
SP	Investors Town Center Partners	\$1,001 - \$15,000	None	NONE	
SP	Schwab IRA as follows:				
SP	Money Market	\$1,001 - \$15,000	None	NONE	
SP	Ishars Trust Cohen & Steer Realty Majors Index	\$1,001 - \$15,000	None	NONE	
SP	Powershares Exch Trad Fd Tr Dymanic Mkt Portfolio	\$1,001 - \$15,000	None	NONE	
SP	Powershares Exch Trad Fd Tr Zacks Small Cap Portfoliio	None	None	NONE	S

**SCHEDULE III - ASSETS AND "UNEARNED" INCOME**

Name MARSHA WEDGEWORTH BLACKBURN

Page 5 of 8

SP	Wisdomtree Diefa	\$1,001 - \$15,000	None	NONE	
SP	Powershares Harvest Fund	\$1,001 - \$15,000	None	NONE	
SP	Powershares Commodity Index	\$1,001 - \$15,000	None	NONE	
SP	Powershares Dynamic Developed Intl Opportunities Portfolio	None	None	NONE	S
SP	Powershares Rafi Emerging Markets Portfolio	None	None	NONE	S
SP	Powershares Ftse Rafi US 1000 Portfolio	None	None	NONE	S
SP	Wisdomtree Intl Real Estate Secdtr Fd	\$1,001 - \$15,000	None	NONE	
SP	Barklays Aggregate Bond Fund	\$1,001 - \$15,000	None	NONE	
SP	Powershares Preferred Portfolioi ETF	None	None	NONE	S
SP	Powershares S&P 500 Buywrite Port	None	None	NONE	S
SP	Barklays Cap Intl Treasury Bond ETF	\$1,001 - \$15,000	None	NONE	
SP	Synergy Bank, Franklin TN accounts	\$15,001 - \$50,000	None	NONE	

**SCHEDULE IV - TRANSACTIONS**

Name MARSHA WEDGEWORTH BLACKBURN

Page 6 of 8

Report any purchase, sale, or exchange by you, your spouse, or dependent child during the reporting year of any real property, stocks, bonds, commodities futures, or other securities when the amount of the transaction exceeded \$1,000. Include transactions that resulted in a loss. Provide a brief description of any exchange transaction. Do not report a transaction between you, your spouse, or your dependent child, or the purchase or sale of your personal residence, unless it is rented out. If only a portion of an asset is sold, please so indicate (i.e., "partial sale"). See example below.

SP, DC, JT	Asset	Type of Transaction	Capital Gain in Excess of \$200?	Date	Amount of Transaction
	Note: the sales of Poweshare Securities listed as sold on Schedule III were all under \$1,000 and with no gain, and are therefore not required to be reported on Schedule IV. (see footnote)				

**SCHEDULE VII - TRAVEL PAYMENTS AND REIMBURSEMENTS**

Name MARSHA WEDGEWORTH BLACKBURN

Page 7 of 8

Identify the source and list travel itinerary, dates, and nature of expenses provided for travel and travel-related expenses totaling more than \$335 received by you, your spouse, or a dependent child during the reporting period. Indicate whether a family member accompanied the traveler at the sponsor's expense, and the amount of time, if any, that was not at the sponsor's expense. Disclosure is required regardless of whether the expenses were reimbursed or paid directly by the sponsor. Exclude: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (5 U.S.C § 7342); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to you.

Source	Date(s)	Point of Departure— Destination—Point of Return	Lodging? (Y/N)	Food? (Y/N)	Was a Family Member Included? (Y/N)	Days not at sponsor's expense
Club for Growth	Mar 5	DC-Palm Beach, FL-DC	Y	Y	N	None
National Foundation for Women Legislators	Nov 20	DC-Orlando-DC	Y	Y	N	None

**FOOTNOTES**

Name MARSHA WEDGEWORTH BLACKBURN

Page 8 of 8

Number	Section / Schedule	Footnote	This note refers to the following item
1	Schedule IV	Sales prices of securities sold on Shedule III were not in excess of \$1,000, and no gain was recognized from any sales. Therefore they are not detailed on Schedule IV	Prudential IRA



# HAND DELIVERED

Page 1 of 2

LEGISLATIVE RESOURCE CENTER

2011 MAY 16 PM 3:21

OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVES

(Office Use Only)

<b>UNITED STATES HOUSE OF REPRESENTATIVES</b>		<b>Form A</b>	
<b>CALENDAR YEAR 2010 FINANCIAL DISCLOSURE STATEMENT</b>		For use by Members, officers, and employees	
<b>Name:</b> Stephen Lee Fincher		<b>Daytime Telephone:</b> 731.676.3555	
<b>Filer Status</b>	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>Tennessee</u> District: <u>8th</u>	<input type="checkbox"/> Officer or Employee
<b>Report Type</b>	<input checked="" type="checkbox"/> Annual (May 16, 2011)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination
		Employing Office: _____ Termination Date: _____	

**A \$200 penalty shall be assessed against anyone who files more than 30 days late.**

## PRELIMINARY INFORMATION — ANSWER EACH OF THESE QUESTIONS

I. Did you or your spouse have "earned" income (e.g., salaries or fees) of \$200 or more from any source in the reporting period? If yes, complete and attach Schedule I.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VI. Did you, your spouse, or a dependent child receive any reportable gift in the reporting period (i.e., aggregating more than \$335 and not otherwise exempt)? If yes, complete and attach Schedule VI.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
II. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article in the reporting period? If yes, complete and attach Schedule II.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	VII. Did you, your spouse, or a dependent child receive any reportable travel or reimbursements for travel in the reporting period (worth more than \$335 from one source)? If yes, complete and attach Schedule VII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
III. Did you, your spouse, or a dependent child receive "unearned" income of more than \$200 in the reporting period or hold any reportable asset worth more than \$1,000 at the end of the period? If yes, complete and attach Schedule III.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	VIII. Did you hold any reportable positions on or before the date of filing in the current calendar year? If yes, complete and attach Schedule VIII.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
IV. Did you, your spouse, or a dependent child purchase, sell, or exchange any reportable asset in a transaction exceeding \$1,000 during the reporting period? If yes, complete and attach Schedule IV.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	IX. Did you have any reportable agreement or arrangement with an outside entity? If yes, complete and attach Schedule IX.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
V. Did you, your spouse, or a dependent child have any reportable liability (more than \$10,000) during the reporting period? If yes, complete and attach Schedule V.	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	<b>Each question in this part must be answered and the appropriate schedule attached for each "Yes" response.</b>	

## EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION — ANSWER EACH OF THESE QUESTIONS

<b>TRUSTS</b> —Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
<b>EXEMPTION</b> —Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

List the source, type, and amount of earned income from any source (other than the filer's current employment by the U.S. Government) totalling \$200 or more during the preceding calendar year. For a spouse, list the source and amount of any honoraria; list only the source for other spouse earned income exceeding \$1,000. See examples below.  
**Exclude:** Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

**For payments to charity in lieu of honoraria, use Schedule II.**

List the source, activity (i.e., speech, appearance, or article), date, and amount of any payment made by the sponsor of an event to a charitable organization in lieu of an honorarium. A separate confidential list of charities receiving such payments must be filed directly with the Committee on Ethics. A green envelope for transmitting the list is included in each Member's filing package.

[illegible]

# SCHEDULE III—ASSETS AND “UNEARNED” INCOME

Name **Stephen Lee Fincher**

Page **4** of **9**

BLOCK A Asset and/or Income Source			BLOCK B Value of Asset												BLOCK C Type of Income	BLOCK D Amount of Income											BLOCK E Transaction						
<p>Identify (a) each asset held for investment or production of income with a fair market value exceeding \$1,000 at the end of the reporting period, and (b) any other reportable asset or sources of income which generated more than \$200 in “unearned” income during the year.</p> <p>Provide complete names of stocks and mutual funds (do not use ticker symbols.)</p> <p>For all IRAs and other retirement plans (such as 401(k) plans) that are self-directed (i.e., plans in which you have the power, even if not exercised, to select the specific investments), provide the value for each asset held in the account that exceeds the reporting thresholds. For retirement accounts which are not self-directed, provide only the name of the institution holding the account and its value at the end of the reporting period.</p> <p>For rental or other real property held for investment, provide a complete address.</p> <p>For an ownership interest in a privately-held business that is not publicly traded, state the name of the business, the nature of its activities, and its geographic location in Block A.</p> <p>Exclude: Your personal residence, including second homes and vacation homes (unless there was rental income during the reporting period); any deposits totaling \$5,000 or less in a personal checking or saving accounts; and any financial interest in, or income derived from, a federal retirement program, including the Thrift Savings Plan.</p> <p>If you so choose, you may indicate that an asset or income source is that of your spouse (SP) or dependent child (DC), or is jointly held with your spouse (JT), in the optional column on the far left.</p> <p>For a detailed discussion of Schedule III requirements, please refer to the instruction booklet.</p>			<p>Indicate value of asset at close of reporting year. If you use a valuation method other than fair market value, please specify the method used.</p> <p>If an asset was sold during the reporting year and is included only because it generated income, the value should be “None.”</p>												<p>Check all columns that apply. For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the “None” column. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check “None” if the asset generated no income during the reporting period.</p>	<p>For retirement accounts that do not allow you to choose specific investments or that generate tax-deferred income (such as 401(k) plans or IRAs), you may check the “None” column. For all other assets, indicate the category of income by checking the appropriate box below. Dividends, interest, and capital gains, even if reinvested, must be disclosed as income. Check “None” if no income was earned or generated.</p>											<p>Indicate if the asset had purchases (P), sales (S), or exchanges (E) exceeding \$1,000 in reporting year.</p> <p>If only a portion of an asset is sold, please indicate as follows: (S) (partial) See below for example.</p>						
			A	B	C	D	E	F	G	H	I	J	K	L		None	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV		V	VI	VII	VIII	IX	X
SP, DC, JT	Examples:	SP Mega Corp. Stock				X									X			X		Royalties				X						X			S (partial)
		Simon & Schuster														X																	
		1st Bank of Paducah, KY Accounts				X																		X									
JT		Stephen & Lynn Fincher Farms						X										Farm Income									X						
		Row Crop Farming																															
		12067 Johnson Grove Rd.																															
		Halls, TN 38040																															

For additional assets and unearned income, use next page.

[illegible]

Name Stephen Lee Fincher

Page 6 of 9

**SCHEDULE V— LIABILITIES**

Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report **revolving charge accounts** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor		Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability									
					A \$10,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000
JP	Example:	First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE				X						
	Gates Banking		Apr 2010	Loan on Crops/Equipment/Products					X					
JT	Agricredit Acceptance		May 2008	Loan on Harrow/Two Cutters/Tractor				X						
	Gates Banking		July 2008	Loan on Grain Buggy		X								
JT	Agricredit Acceptance		Dec 2008	Loan on Plow & Gator		X								
JT	Deere & Co.		Feb 2009	Loan on Planter & Row Crop Tractor				X						

Please see following page

**SCHEDULE VI— GIFTS**

Report the source, a brief description, and the value of all gifts totalling more than \$335 received by you, your spouse, or a dependent child from any source during the year.

**Exclude:** Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$335 disclosure threshold.

**Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
<i>Example:</i> Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	\$345
N/A		

Use additional sheets if more space is required.

**SCHEDULE V— LIABILITIES**

Report liabilities of over \$10,000 owed to any one creditor **at any time** during the reporting period by you, your spouse, or dependent child. Mark the highest amount owed during the year. **Exclude:** Any mortgage on your personal residence (unless it is rented out); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to a spouse, or the child, parent, or sibling of you or your spouse. Report **revolving charge accounts** (i.e., credit cards) only if the balance at the close of the preceding calendar year exceeded \$10,000.

SP, DC, JT	Creditor		Date Liability Incurred Mo/Year	Type of Liability	Amount of Liability									
					A \$10,001- \$15,000	B \$15,001- \$50,000	C \$50,001- \$100,000	D \$100,001- \$250,000	E \$250,001- \$500,000	F \$500,001- \$1,000,000	G \$1,000,001- \$5,000,000	H \$5,000,001- \$25,000,000	I \$25,000,001- \$50,000,000	J Over \$50,000,000
	Example:	First Bank of Wilmington, DE	May 1998	Mortgage on 123 Main St., Dover, DE				X						
JP	Helena Chemical		Apr 2010	Crop Inputs							X			
	Deere & Co.*		Feb 2010	Loan on Planter			X							
JP	Deere & Co.**		Mar 2010	Loan on Row Crop Tractor				X						
	Ford Credit		Mar 2009	Loan on Ford F450	X									

\* Loan on Planter paid off on 1/11/10.

\*\* Loan on Row Crop Tractor paid off on 2/3/10.

**SCHEDULE VI— GIFTS**

Report the source, a brief description, and the value of all gifts totalling more than \$335 received by you, your spouse, or a dependent child from any source during the year.

**Exclude:** Gifts from relatives, gifts of personal hospitality of an individual, local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$134 or less need not be added towards the \$335 disclosure threshold.

**Note:** The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule.

Source	Description	Value
<i>Example:</i> Mr. Joseph H. Smith, Anytown, Anystate	Silver Platter (determination on personal friendship received from Committee on Standards)	\$345

Use additional sheets if more space is required.

[illegible]



## SCHEDULE VIII—POSITIONS

Report all positions, compensated or uncompensated, held during the current calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, any nonprofit organization, any labor organization, or any educational or other institution other than the United States.

**Exclude:** Positions listed on Schedule I; positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Proprietor	Stephen & Lynn Fincher Farms

## SCHEDULE IX—AGREEMENTS

Identify the date, parties to, and general terms of any agreement or arrangement with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. Government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties To	Terms of Agreement
N/A		